



Innovative Workforce Solutions

Arizona Department of Economic Security

Identifying the True Value of Eligible Training Provider List (ETPL) Participation

November 30, 2018

Identifying the True Value of Eligible Training Provider List (ETPL) Participation

Agenda:

- Workforce Innovation and Opportunity Act (WIOA) and the ETPL
- True Value of ETPL Participation
- Performance and Responsibility
- ETP Annual Report
- Required Data to Collect
- Types of Recognized Credentials
- Performance Indicators
- Supplemental Data
- AJC Updates and Resources



WIOA and the ETPL

The Workforce Innovation and Opportunity Act (WIOA) is designed to help job seekers access employment, education, training, and support services to succeed in the labor market and to match employers with the skilled workers they need to compete in the global economy. WIOA mandates each state maintain and publish an ETPL.

The ETPL represents eligible providers and programs that are approved to provide training services to participants who are determined to be eligible to receive WIOA Title I-B program funds.

WIOA Title I-B **Adults, Dislocated Workers, and Out-of-School Youth** who are determined eligible for training services may choose any training program listed on the ETPL after consultation with his/her case manager.

True Value of ETPL Participation

- Expanding consumer choice for WIOA Title I-B -eligible individuals by offering additional well-paying opportunities and a career-pathway;
- Providing employers in the Local Workforce Development Area with an effective way to recruit, train and retain skilled workers in job-driven training;
- Providing information to job seekers and older youth utilizing Individual Training Accounts (ITA) about supportive services; and
- Promoting better performance outcomes and results with ongoing support for achievement and accountability.



Performance and Responsibility

All states must collect and report performance data to DOL Employment and Training Administration (ETA) on all individuals and WIOA Title I-B Adult, Dislocated Worker and Youth Programs served by each program of study on the state list of ETPL.

Training providers are responsible for reporting performance data on all students to the DES. DES is responsible to submit the ETP Annual Report to DOL ETA.

Each training provider must submit data for **each program listed on the ETPL**, even if the training program received no referrals from the ARIZONA@WORK Job Center or did not train any WIOA Title I-B Adult, Dislocated Worker or Youth Program Participants.

Training providers are required to submit data as a criteria for continued eligibility on the ETPL.

ETP Annual Report

The annual ETP report is comprised of performance information supplied from the state directly (either information collected from training providers during eligibility determinations or Unemployment Insurance wage matching) and data elements collected and reported by training providers to the state.

- The first ETP Annual Report is Due October 1, 2019 for Program Year (PY) 2018
- The PY 18 report requires data collected from July 1, 2018 to June 30, 2019.
- Each subsequent program year will add another year of data to the report until the requirement for the three previous program years are met. The PY 2021 report will include data on PY 21, PY 20, PY 19, and PY 18.

ETP Annual Report (Continued)

- States are required to submit ETP performance reports for **all programs** of study on the State list of eligible providers.
- The performance reports are to include information on everyone served by the program of study not just WIOA Title I-B participants.
- The exception is Registered Apprenticeship providers are not required to report performance information.

Data that Training Providers are Required to Collect

- Name of program of Study Completed for all students
- Total number of individuals served
- Total number of individuals exited
- Credential attainment rate for all individuals (numerator)
- Credential attainment rate for all WIOA Title I-B Participants (numerator)

Types of Recognized Credentials

Educational Credentials

- High School diploma or High School Equivalency
- Educational Certificate
- Associate's diploma/degree
- Bachelor's diploma/degree

Industry Recognized Credentials

- Occupational certificate, including Registered Apprenticeship and Career and Technical Education (CTE) certificates
- Occupational licensure
- Occupational certification

Examples of Attainments that do not meet the Definition of a Credential

- OSHA 9/10 Certificate
- Work/Career Readiness Certificates
- ARIZONA@WORK Career Readiness Credential
- CPR Certificate
- Food Handler's Card
- Completion of Assistive Technology training program (e.g. screen reading software;
- Completion of orientation
- Certificates of Completion (non-credit based, single course, test prep)

WIOA Title I-B ETP Performance Indicators Cohorts Part I

- Employment in 2nd quarter after exit:
The total number of WIOA and non-WIOA exiters who were in the 2nd quarter after exit and have been determined to be in unsubsidized employment through a wage record match or other supplemental means within the reporting period.
- Employment in the 4th quarter after exit:
The total number of WIOA and non-WIOA exiters who were in the 4th quarter after exit and have been determined to be in unsubsidized employment through a wage record match or other supplemental means within the reporting period.

WIOA Title I-B ETP Performance Indicators Cohorts Part II

- Median Earnings:
For all individuals in the training program of study who were employed in the 2nd quarter after exit during the reporting period , report the wage that is at the midpoint between the highest and lowest wage earned in the second quarter after exit. This indicator also includes individuals who are verified to be self- employment through supplemental data.
- Credential attainment:
The percentage of training program participants who attained a recognized postsecondary credential during the training program or within one year after exit from the training program; OR Attained a secondary school diploma or its recognized equivalent during the training program or within one year after exit from the program AND who were also employed or enrolled in an education or training program

WIOA Title I-B Additional Indicators

- Average Earnings 2nd quarter after exit
The average earnings of individuals in the training program of study who are in unsubsidized employment during the second quarter after exit.
- Average Earnings 4th quarter after exit
Average earnings of individuals in the program of study who are in unsubsidized employment during the fourth quarter after exit.

Definition of Exit: The exit should still use the 90-day gap in order to align the cohorts to the best extent possible, though since these students are not WIOA participants they will not have other services after completing training. Therefore, providers may technically know when a student has exited before the 90 days have elapsed (they should still wait until 90 days has passed to lock in that date).

WIOA Title I-B ETP Performance Indicator Cohorts Reporting Periods

Program Year 2018 (PY18) July 1, 2018 – June 30, 2019 Annual Report	
Report Due Date	October 1, 2019
Number Served (Reportable Individual)	7/01/18 to 6/30/19
Number Exited (Reportable Individual)	4/01/18 to 3/31/19
Funds Expended	7/01/18 to 6/30/19
Number Served (Participant)	7/01/18 to 6/30/19
Number Exited (Participant)	4/1/18 to 3/31/19
Employment Rate Second Quarter After Exit	7/01/17 to 6/30/18
Employment Rate Fourth Quarter After Exit	1/01/17 to 12/31/17
Median Earnings Second Quarter After Exit	7/01/17 to 6/30/18
Credential Attainment Rate	1/01/17 to 12/31/17
Measurable Skill Gains	7/01/18 to 6/30/19
Effectiveness in Serving Employers	7/01/17 to 6/30/18
Veterans' Priority of Service	7/01/18 to 6/30/19

Supplemental Data Follow-Up Guidelines

- Develop a method for identifying participants to contact for follow-up;
- Ensure the Training Provider has a uniform way to conduct follow-up;
- Conduct follow-up as close to the reference period as possible;
- Train staff to conduct follow-up;
- Implement procedures to provide quantity and quality of participant responses;
and
- Ensure training providers have a database and procedures for supplemental wage information reporting.

Supplemental Data Part I

Training Providers may use supplemental wage data for employment-indicators, including but not limited to:

- Copies of quarterly tax payment forms to the Internal Revenue Service, such as a Form 941 (Employer's Quarterly Tax Return);
- Copies of paystubs (minimum of 2 paystubs); or
- A signed letter from an employer on company letterhead, attesting to an individual's employment status and earnings.

Supplemental Data Part II

Training Providers may use the following types of supplemental wage data:

- Follow-up survey (self-reported) from program participants;
- Income earned from commission in sales or similar positions;
- Self-employment worksheets signed and attested to by the program participant.

AJC Updates

The AJC system is being modified to:

- Allow training providers to enter training participant's performance on the *Performance* page for each training program;
- Facilitate wage matching of participants' social security numbers and Unemployment Insurance wage record;
- Extract performance on WIOA Title I-B program participants for ETPL Annual Report;
- Extract training provider information provided during initial eligibility determination; and
- Prepare WIOA ETPL Annual Report

WIOA TITLE I-B POLICY RESOURCES:

- AZ Department of Economic Security WIOA Title I-B - Training Services Policy
<https://des.az.gov/sites/default/files/media/trainingservices8.9.17.pdf>
- AZ Department of Economic Security WIOA Title I-B -Eligible Training Provider List (ETPL) Policy
https://des.az.gov/sites/default/files/media/etpl_policy_final10_3_16.pdf
- Training and Employment Guidance Letter (TEGL) 05-18 Eligible Training Provider (ETP) Guidance under Workforce Innovation and Opportunity (WIOA)
- https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=3527
- TEGL 10-16, Change 1 Performance Accountability Guidance for Workforce Innovation and Opportunity Act (WIOA) Title I, Title II, Title III, and Title IV Core Programs
https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=3255
- TEGL 26-16 Guidance on the use of Supplemental Wage Information to implement the Performance Accountability Requirements under the Workforce Innovation and Opportunity Act
https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=5002

Questions





Maggie Gonzales
Education Coordinator
602-542-2438
Mgonzales@azdes.gov

Sharon Dukes
State ETP Coordinator
602-542--6030
Sdukes@azdes.gov

Moriah Blomfield
Workforce Technical
Assistance Coordinator
602-771-1310
Mblomfield@azdes.gov

Marilyn Nicolls
Senior Business Analyst
602-771-8200
Mnicolls@azdes.gov