



Arizona

IDEA Part C - Child Count and Settings Release 4.0

Year: 2016-17

A zero count should be used when there were no children to report in the specific category for the given reporting period. Enter "M" (Missing) if the state did not collect or could not report a count for the specific category. Please provide an explanation for the missing data in the comment box at the bottom of the survey pages. Enter "NA" if the category is not applicable to your state.

Your State's Part C Child Count Date is: **10/1/2016**

Has your state elected under 20 U.S.C. 1432(5)(B)(ii) and 1435(c) to provide parents the choice of, and the child's parent has consented to their child, continuing to receive Part C services (in lieu of FAPE) under 20 U.S.C. 1435(c) for children ages 3 and older? **No**

Section A: Child Count and Settings by Age

<i>A.1. Age Group and Settings of Infants and Toddlers, Ages Birth through 2</i>					
Age Group	Home	Community-Based Setting	Other Setting	Total	Percentage
Birth to 1	859	0	29	888	16.02
1 to 2	1697	4	42	1743	31.45
2 to 3	2850	1	61	2912	52.53
Total (Birth through 2)	5406	5	132	5543	100
<i>A.2. Age Group and Settings of Children, Ages 3 or Older</i>					
Age Group	Home	Community-Based Setting	Other Setting	Total	Percentage
3 to 4	NA	NA	NA	0	0
4 to 5	NA	NA	NA	0	0
5 or Older	NA	NA	NA	0	0
Total (3 or Older)	0	0	0	0	100

Comment:

Section B: Child Count and Settings by Race/Ethnicity

<i>B.1. Race/Ethnicity and Settings of Infants and Toddlers, Ages Birth through 2</i>					
Race/Ethnicity	Home	Community-Based Setting	Other Setting	Total	Percentage
Hispanic/Latino	2097	1	52	2150	38.79
American Indian or Alaska Native	306	4	3	313	5.65
Asian	108	0	5	113	2.04
Black or African American	256	0	3	259	4.67

Native Hawaiian or Other Pacific Islander	15	0	1	16	0.29
White	2459	0	66	2525	45.55
Two or More Races	165	0	2	167	3.01
Total (Birth through 2)	5406	5	132	5543	100

B.2. Race/Ethnicity and Settings of Children, Ages 3 or Older

Race/Ethnicity	Home	Community-Based Setting	Other Setting	Total	Percentage
Hispanic/Latino	NA	NA	NA	0	0
American Indian or Alaska Native	NA	NA	NA	0	0
Asian	NA	NA	NA	0	0
Black or African American	NA	NA	NA	0	0
Native Hawaiian or Other Pacific Islander	NA	NA	NA	0	0
White	NA	NA	NA	0	0
Two or More Races	NA	NA	NA	0	0
Total (3 or Older)	0	0	0	0	100

Comment:

Section C: Child Count by Gender

<i>C.1. Gender Of Infants and Toddlers, Ages Birth through 2, Receiving Early Intervention Services</i>		
Gender	Total	Percentage
Male	3434	61.95
Female	2109	38.05
Total (Birth through 2)	5543	100
<i>C.2. Gender of Children, Ages 3 Or Older, Receiving Early Intervention Services</i>		
Gender	Total	Percentage
Male	NA	0
Female	NA	0
Total (3 or Older)	0	100

Comment:

Section D: At Risk Child Count

Has your state elected under 20 U.S.C. 1432(5)(B)(i) to serve children under the age of three and at risk of having substantial delays if early intervention services are not provided? **No**

<i>At Risk Infants and Toddlers, Ages Birth through 2</i>				
<i>Ages as of Child Count Date</i>				
Race/Ethnicity	Total	Birth to 1	1 to 2	2 to 3
Hispanic/Latino	NA			
American Indian or Alaska Native	NA			
Asian	NA			
Black or African American	NA			
Native Hawaiian or Other Pacific Islander	NA			
White	NA			
Two or More Races	NA			
Total (Birth through 2)	0	NA	NA	NA

Comment: Arizona has not elected under 20 U.S.C. 1432(5)(B)(i) to serve children under the age of three and at risk of having substantial delays if early intervention services are not provided.

Section E: Cumulative Child Count by Race/Ethnicity and Gender

Cumulative Number of Infants and Toddlers Who Received Early Intervention Services by Race/Ethnicity and Gender

Cumulative Child Count Reference Period: From: **1/1/2016** To: **12/31/2016**

<i>E.1. Cumulative Count of Infants and Toddlers, Ages Birth through 2, by Race/Ethnicity</i>		
Race/Ethnicity	Total	Percentage
Hispanic/Latino	3898	37.48
American Indian or Alaska Native	549	5.28
Asian	234	2.25
Black or African American	537	5.16
Native Hawaiian or Other Pacific Islander	35	0.34
White	4846	46.6
Two or More Races	300	2.88

Total (Birth through 2)	10399	100
<i>E.2. Cumulative Count of Infants and Toddlers, Ages Birth through 2, by Gender</i>		
Gender	Total	Percentage
Male	6611	63.57
Female	3788	36.43
Total (Birth through 2)	10399	100

Comment:

Error Comments:

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Arizona

IDEA Part C - Dispute Resolution

Year 2016-17

A zero count should be used when there were no events or occurrences to report in the specific category for the given reporting period. Check "Missing" if the state did not collect or could not report a count for the specific category. Please provide an explanation for the missing data in the comment box at the bottom of the page.

Section A: Written, Signed Complaints

(1) Total number of written signed complaints filed.	14
(1.1) Complaints with reports issued.	13
(1.1)(a) Reports with findings of noncompliance.	12
(1.1) (b) Reports within timelines.	9
(1.1) (c) Reports within extended timelines.	1
(1.2) Complaints pending.	0
(1.2) (a) Complaints pending a due process hearing.	0
(1.3) Complaints withdrawn or dismissed.	1

Section B: Mediation Requests

(2) Total number of mediation requests received through all dispute resolution processes.	0
(2.1) Mediations held.	0
(2.1) (a) Mediations held related to due process complaints.	0
(2.1) (a) (i) Mediation agreements related to due process complaints.	0
(2.1) (b) Mediations held not related to due process complaints.	0
(2.1) (b) (i) Mediation agreements not related to due process complaints.	0
(2.2) Mediations pending.	0
(2.3) Mediations not held.	0

Section C: Due Process Complaints

(3) Total number of due process complaints filed.	0
Has your state adopted Part C due process hearing procedures under 34 CFR 303.430(d)(1) or Part B due process hearing procedures under 34 CFR 303.430(d)(2)?	Part C

(3.1) Resolution meetings (applicable ONLY for states using Part B due process hearing procedures).	Not Applicable
(3.1) (a) Written settlement agreements reached through resolution meetings.	Not Applicable
(3.2) Hearings fully adjudicated.	0
(3.2) (a) Decisions within timeline.	0
(3.2) (b) Decisions within extended timeline.	0
(3.3) Hearings pending.	0
(3.4) Due process complaints withdrawn or dismissed (including resolved without a hearing).	0

Comment: The Arizona Department of Economic Security (ADES), Division of Developmental Disabilities, Arizona Early Intervention Program (AzEIP) is the Lead Agency (LA) for the Individuals with Disabilities Education Act (IDEA), Part C in Arizona. The LA office received 14 written complaints during FFY 2016. One complaint was dismissed after several unsuccessful attempts to contact the family to obtain additional information about the basis for the complaint. An extended timeline, in compliance with IDEA Part C, applied to one complaint. Of the remaining 12 complaints, nine were completed timely and three were completed late. The LA office issued untimely reports for three complaints after timelines due to staff turnover. The affected complaints were received in July 2016, October 2016, and December 2016. Using the Arizona Management System, the LA office has since developed standard processes for investigating and issuing reports, improved tracking, and providing additional support to staff in order to complete timely investigations. An internal metric has been established for process and timeline improvement and is internally reviewed on a regular basis. The LA office provided training to the staff involved in issuing the untimely dispute resolutions. New staff have been trained on the dispute resolution process and given additional support in order to complete timely investigations and reports, resulting in correction of the issue moving forward. From July 2016 through December 2016, the average number of days to complete an investigation and issue a report was 71 days. The LA office has sustained the improvements made and since training current and new staff. The average number of days to investigate and issue from January 2017 through June 2017 was 44 days, which is also below the average of 51 days for FFY 2015. The LA worked with the Arizona Department of Economic Security (ADES) Office of Procurement and took contract action for all complaints that resulted in finding of noncompliance. Although the number of complaints increased from 7 in FFY 2015 to 14 in FFY 2016, the majority of the complaints were from two different Early Intervention Programs (EIPs). One EIP received 43 percent, or 6 out of the 14 complaints, with findings of noncompliance for 5 of the 6 complaints. This was primarily due to families not receiving services timely, not receiving services in conformity with the IFSP, and not receiving prior written notice. There have been no further complaints in that region since the AzEIP office took contract action and the EIP ensured initial correction of the noncompliance. The second EIP converted several direct employee positions to subcontractor positions, which resulted in a high percentage of those employees declining contracts. This ultimately led to a lack of capacity for that EIP to serve families in the affected region. Twenty-one percent, or 3 out of 14 of the complaints, were from families served by that EIP. AzEIP worked with the DES Office of Procurement and took contract action, which included withholding referrals, until the EIP demonstrated they had the capacity to serve families again. There have been no further complaints in that region since the EIP ensured initial correction of the noncompliance. Both of these EIPs are demonstrating sustainment of programmatic improvement, while being closely monitored by the LA office through their corrective action plans. In spite of some barriers throughout FFY 2016, The LA has improved training and process to address complaints in a timely manner and effectively address the noncompliance with EIPs. The LA has also sustained the improvement to date into FFY 2017.

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Arizona

IDEA Part C - Exiting Process Release 6.0

Year: 2016-17

A zero count should be used when there were no children to report in the specific category for the given reporting period. Enter "M" (Missing) if the state did not collect or could not report a count for the specific category. For Question 3 (Part B eligible, continuing in Part C) enter NA if the category is not applicable. Please provide an explanation for the missing data in the comment box at the bottom of the survey pages.

What is your state's 12 month reporting period? From: 7/2016 To: 6/2017

Section A: Reason for Exit by Race/Ethnicity

Reason for Exit	Hispanic/Latino	American Indian or Alaska Native	Asian	Black or African American	Native Hawaiian or Other Pacific Islander	White	Two or More Races	Total
<i>Program Completion</i>								
No Longer Eligible for Part C Prior to Reaching Age Three	62	10	8	5	0	122	6	213
<i>Exit at Age Three</i>								
Part B Eligible, Exiting Part C	915	127	52	119	7	1089	75	2384
Part B Eligible, Continuing in Part C	0	0	0	0	0	0	0	0
Not eligible for Part B, Exit with Referrals to other Programs	82	12	7	5	0	97	4	207
Not Eligible for Part B, Exit with No Referrals	47	10	1	9	0	77	5	149
Part B Eligibility Not Determined	189	29	9	29	3	189	14	462
<i>Not Receiving Services</i>								
Deceased	10	2	1	3	1	22	0	39
Moved Out of State	52	9	10	12	2	109	10	204
Withdrawal by Parent or Guardian	303	31	14	29	4	377	29	787
Attempts to Contact Unsuccessful	196	44	2	19	1	143	12	417
Total Number of Infants and Toddlers Exiting by Racial Ethnic Groups	1856	274	104	230	18	2225	155	4862

Comment:

Section A (Percentages): Reason for Exit by Race/Ethnicity

Reason for Exit	% Hispanic/Latino	% American	% Asian	% Black or African	% Native Hawaiian	% White	% Two or More	Total
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		Indian or Alaska Native	American	or Other Pacific Islander		Races		
<i>Program Completion</i>								
No Longer Eligible for Part C Prior to Reaching Age Three	29.1	4.7	3.8	2.3	0	57.3	2.8	100
<i>Exit at Age Three</i>								
Part B Eligible, Exiting Part C	38.4	5.3	2.2	5	0.3	45.7	3.1	100
Part B Eligible, Continuing in Part C	0	0	0	0	0	0	0	100
Not eligible for Part B, Exit with Referrals to other Programs	39.6	5.8	3.4	2.4	0	46.9	1.9	100
Not Eligible for Part B, Exit with No Referrals	31.5	6.7	0.7	6	0	51.7	3.4	100
Part B Eligibility Not Determined	40.9	6.3	1.9	6.3	0.6	40.9	3	100
<i>Not Receiving Services</i>								
Deceased	25.6	5.1	2.6	7.7	2.6	56.4	0	100
Moved Out of State	25.5	4.4	4.9	5.9	1	53.4	4.9	100
Withdrawal by Parent or Guardian	38.5	3.9	1.8	3.7	0.5	47.9	3.7	100
Attempts to Contact Unsuccessful	47	10.6	0.5	4.6	0.2	34.3	2.9	100
Total Number of Infants and Toddlers Exiting by Racial Ethnic Groups	38.2	5.6	2.1	4.7	0.4	45.8	3.2	100

Section B: Reason for Exit by Gender

Reason for Exit	Male	Female	Total
<i>Program Completion</i>			
No Longer Eligible for Part C Prior to Reaching Age Three	133	80	213
<i>Exit at Age Three</i>			
Part B Eligible, Exiting Part C	1680	704	2384
Part B Eligible, Continuing in Part C	0	0	0
Not eligible for Part B, Exit with Referrals to other Programs	129	78	207
Not Eligible for	91	58	149

Part B, Exit with
No Referrals

Part B Eligibility Not Determined	313	149	462
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Not Receiving Services

Deceased	21	18	39
Moved Out of State	120	84	204
Withdrawal by Parent or Guardian	465	322	787
Attempts to Contact Unsuccessful	253	164	417
Total Number of Infants and Toddlers Exiting by Gender	3205	1657	4862

Comment:

Section B (Percentages): Reason for Exit by Gender

Reason for Exit	% Male	% Female	Total
<i>Program Completion</i>			
No Longer Eligible for Part C Prior to Reaching Age Three	62.4	37.6	100
<i>Exit at Age Three</i>			
Part B Eligible, Exiting Part C	70.5	29.5	100
Part B Eligible, Continuing in Part C	0	0	100
Not eligible for Part B, Exit with Referrals to other Programs	62.3	37.7	100
Not Eligible for Part B, Exit with No Referrals	61.1	38.9	100
Part B Eligibility Not Determined	67.7	32.3	100
<i>Not Receiving Services</i>			
Deceased	53.8	46.2	100
Moved Out of State	58.8	41.2	100

4/4/2018

SSS IDEA Part C Exiting Template

Withdrawal by Parent or Guardian	59.1	40.9	100
Attempts to Contact Unsuccessful	60.7	39.3	100
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Total Number of Infants and Toddlers Exiting by Gender	65.9	34.1	100

Error Comments:

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