

1 520 MEMBER TRANSITIONS

- 2 REVISION DATE: XX/XX/XXXX, 5/10/2023, 1/27/2021
- 3 REVIEW DATE: 9/6/2023
- 4 EFFECTIVE DATE: October 1, 2019
- 5 REFERENCES: 42 CFR 431.300; 42 CFR 438.62; 42 CFR 440.70; 42 CFR
- 6 457.1216; 42 CFR 431.300 et seg; A.R.S. §§ 36-2931; A.R.S. §§ 36-
- 7 2901.01; A.R.S. §§ 36-2981; AMPM 520; AMPM 1620-H; AMPM 1620-M;
- 8 AMPM Exhibit 1620-9; AdSS 310-P

0 PURPOSE

9

10

16

- 11 This policy establishes the Administrative Services Subcontractors (AdSS)
- requirements for Division of Developmental Disabilities (Division) Member
- 13 Transitions between the Administrative Services Subcontractors (AdSS.),
- 14 Fee for Service (FFS) programs, and other AHCCCS contractors. It applies to
- 15 the Administrative Services Subcontractors.

DEFINITIONS

- 17 1. "Close Proximity" means within the geographic service area.
- 18 1-2. "Enrollment Transition Information" or "ETI" means Member

 19 specific information the relinquishing contractor shall complete

 20 and transmit to the receiving contractor or Fee-For-Service
- 21 program for those Members requiring coordination of services as



22		a result of transitioning to another contractor or FFS program.
23	2.	—"Medical Equipment and Appliances" means an item as specified
24		in 42 CFR 440.70, that is not a prosthetic or orthotic; and
25		a.—Is customarily used to serve a medical purpose, and is
26		generally not useful to an individual in the absence of an
27		illness, disability, or injury,
28		b. Can withstand repeated use, and
29		c. Can be reusable by others or is removable
30	3.	"Member" means an individual who is receiving services from the
31		Division of Developmental Disabilities (Division).
32	<u>4.</u>	"Member Care Transition" means Member movement between
33		care settings as their condition and care needs change during
34		the course of a chronic or acute illness.
35	4. <u>5.</u>	_ <u>"Member Contractor Care Transition" means the process during</u>
36		which Members change from one cContractor or Fee for Service
37		(FFS) program to another.
38	5. 6.	_"Special Health Care Needs" or "SHCN" means a serious and
39		chronic physical, developmental, or behavioral conditions



requiring medically necessary health and related services of a 40 type or amount beyond that required by Members generally that 41 lasts or is expected to last one year or longer and may require 42 ongoing care not generally provided by a Primary Care Provider 43 (PCP). All Division Members are designated as individuals with 44 Special Health Care Needs. 45 **POLICY** 46 A. MEMBER TRANSITIONS 47 The AdSS shall identify and facilitate coordination of care for all 1. 48 Members eligible for Arizona Long Term Care System (ALTCS) 49 during: 50 Changes or transitions between health plans, 51 a. Changes in service areas, 52 Changes in subcontractors, or 53 <u>e.d.</u> Changes in health care providers as specified in AMPM 520. 54 The AdSS shall implement a transition of care policy as specified 55 in 42 CFR 457.1216, 42 CFR 438.62. 56



57	<u>3. The</u>	AdSS shall receive transitioning FFS Member information via			
58	auto	omated electronic transfer file accessible through the			
59	AHO	CCCS Secured File Transfer Protocol (SFTP Server).			
60	2. 4The	e AdSS shall work collaboratively with Members with special			
61	circ	umstances which may require additional or distinctive			
62	assi	istance during a period of transition to ensure Members do			
63	not	experience a gap in services.			
64	3. <u>5. </u> The	AdSS shall develop policies or protocols to address the			
65	trar	transition of Members with the following medical conditions or			
66	spe	cial circumstances:			
67	a.	Members who are Ppregnant, especially women who are			
68		high risk or in their third trimester;			
69	<u>b.</u>	Members in the process of or having major organ or tissue			
70	/X	transplantation services which are in process;			
71	C.	Members who are on a high-cost specialty drug or biologic;			
72	b. d.	Members who are being considered for or are actively			
73		engaged in a transplant process and for up to one-year			
74		post transplant;			



75	e. Member with a Cchronic illness, which has placed the
76	Member in a high-risk category or resulted in any of the
77	following:
78	i. Emergency department utilization,
79	<u>ii.</u> <u>hH</u> ospitalization, or
80	i.iii. Placement placement in nursing care, or other
81	facilities <u>.</u> ;
82	c.f. <u>Members with a Ssignificant medical or behavioral health</u>
83	conditions that require ongoing specialist care and
84	appointments;
85	d.g. Members receiving or in need of Cchemotherapy or
86	radiation therapy;
87	e.h. Members receiving or in need of Ddialysis;
88	f. <u>i. Members Hh</u> ospitalization at the time of <u>Member Care</u>
89	<pre>t_ransition;</pre>
90	g.jMembers with the following ongoing health-needs:
91	i. Durable Medical Equipment, including ventilators and
92	other respiratory assistance equipment;



93	ii.	Home health services;
94	iii.	Medically necessary transportation on a scheduled
95		basis or ongoing basis;
96	iv.	Prescription medications including those that have
97		been stabilized through a step therapy process; or
98	v.	P <u>ain</u> lan management services.
99	k. Meml	pers who frequently contact:
100	i.	AHCCCS: ₇
101	<u>ii.</u>	_State and local officials; ₇
102	<u>iii.</u>	<u>t</u> The Governor's Office; or
103	ii. iv	_ŧ <u>T</u> he media <u>.</u> ;
104	I. <u>Mem</u> l	pers with qualifying CRS conditions and Members with
105	qualit	fying Children's Rehabilitation Services (CRS)
106	condi	tions who or are transitioning into adulthood;
107	<u>m.</u> Meml	bers diagnosed with Human Immunodeficiency Virus
108	and A	Acquired Immune Deficiency Syndrome (HIV/AIDS);
109	n. Meml	bers enrolled in the ALTCS EPD and DDD or Tribal
110	ALTC	S program;
1		



111		m. o.	Members who are engaged in care or services through the
112			Arizona Early Intervention Program (AzEIP);
113		р.	Members with a Serious Mental Illness (SMI) designation.
114		n. q.	Members who are being considered for or are actively
115			engaged in a transplant process and for up to one-year
116			post transplant;
117		q.	Members enrolled in the ALTCS program who are elderly or
118			have a physical or developmental disability;
119		r.	Members who are engaged in care or services through the
120			Arizona Early Intervention Program (AzEIP);
121		s.	Members who are diagnosed with a Serious Mental Illness
122			(SMI).
123		q.	Any Member under 18 years of age child that has an Early
124			Childhood Service Intensity Instrument Child and
125	· ^		Adolescent Level of Care Utilization System
126			(ECSII/CALOCUS) score of 4+;
127		r.	Members who have a <u>current</u> Seriously Emotionally
128			Disturbed (SED) diagnosis flag in the system <u>or who</u>



129		qualified for the SED designation through the SED
130		Eligibility Determination process in the AHCCCS system;
131	S.	Member that is a Ssubstance exposed newborns or and
132		infants diagnosed with Neonatal Abstinence Syndrome
133		(NAS);
134	t.	Members diagnosed with Severe Combined
135		Immunodeficiency (SCID);
136	u.	Members with a diagnosis of autism or who are at risk for
137		autism;
138	٧.	Members diagnosed with opioid use disorder (OUD);
139		separately tracking pregnant women Members and
140		Members with co-occurring pain and OUDopioid use
141		disorder ;
142	w.	Members enrolled with the Division of Child Safety
143		Comprehensive Health Program (CHP);
144	x.	Members who transition out of the CHP up to one-year
145		post transition;
146	у.	Members identified as a High Need or High Cost Member;



	z.	Members on conditional release from Arizona State			
	Hospital;				
	aa.	Other services not indicated in the State Plan for eligible			
		Members but covered by Title XIX and Title XXI for Early			
		and Periodic Screening, Diagnostic and Treatment (EPSDT)			
		eligible Members, including Members whose conditions			
		require ongoing monitoring or screening.;			
4.	The A	The AdSS shall ensure mMembers have received prior			
	authorization (PA) or approval for the following at the time of				
	trans	transition:			
	a.	Scheduled elective surgery(ies);			
	b.	Procedures or therapies to be provided on dates after their			
		transition, including post-surgical follow-up visits;			
	c.	Sterilization and have a signed sterilization consent form,			
		but are waiting for expiration of the 30 calendar day			
		period;			
	d.	Behavioral health services;			
	4.	4. The A author transfa. b.			



164			e. Appointments with a specialist located out of the Auss
165			service area; and
166			f. Nursing facility admission.
167	В.	NOT	IFICATION REQUIREMENTS
168		1.	The relinquishing AdSS shall provide relevant information
169			regarding Members who transition to a receiving AdSS.
170		2.	The relinquishing AdSS shall utilize the following ALTCS
171			Enrollment Transition Information (ETI) for those Members with
172			special circumstances who are transitioning enrollment to
173			another AdSS:
174			a. Attachment A of this policy, and
175			a.b. AMPM Exhibit 1620-9 used by ALTCS Contractors and the
176			Tribal ALTCS program.
177		3.	The relinquishing AdSS shall complete and electronically transmit
178			the appropriate ETI Form to the receiving AdSS or FFS program
179			no later than 10 business days from the date of receipt of
180			AHCCCS notification.



4. The relinquishing AdSS shall be responsible for covering the 181 Member's care for up to 30 calendar days if they fail to notify the 182 receiving AdSS of transitioning Members with special 183 circumstances, or fail to send the completed ALTCS Enrollment 184 Transition Information. 185 5. The AdSS shall have protocols for the transfer of pertinent 186 mMedical rRecords and the timely notification of Members, 187 subcontractors, or other providers, as appropriate during times 188 of transition. 189 The receiving AdSS shall provide new Members with its Member 6. 190 Handbook, provider directory, and emergency numbers as 191 specified in ACOM Policy 460. 192 The receiving AdSS shall follow up with the Member to address 193 the needs of the Member identified on the ETI form. Follow-up 194 and care coordination may include: 195 Support coordination, 196 197 Care management, 198 Pharmacy,



199		d. Behavioral health services, and
200		7.e. Transportation.
201	8.	The receiving AdSS shall extend previously approved PA's prior
202		authorizations for a minimum period of 30 calendar days from
203		the date of the Member's transition, unless a different time
204		period is mutually agreed to by the Member or Responsible
205		Person Member's representative.
206	9.	The receiving AdSS shall provide at a minimum a 90 calendar
207		day transition period allowing the Members with Special Health
208		Care Needs to continue seeking care from their previously
209		established PCP that does not participate in the AdSS network
210		while the Responsible Person, Support Coordinator, Care
211		Manager or Provider Case Manager identifies an alternative PCP
212		within the AdSS provider network., for children and adults with
213		Special Health Care Needs who have an established relationship
214	O.	with a PCP that does not participate in the receiving AdSS
215		provider network.
 216	C. TRAN	NSITION TO ALTCS



1. The relinquishing AdSS shall coordinate transition with the 217 receiving AdSS or Tribal ALTCS if a Member is approved for 218 ALTCS enrollment. 219 The AdSS shall ensure applicable protocols are followed for any 2. 220 special circumstances of the Member and that continuity and 221 quality of care is maintained during and after the transition. 222 TRANSITION FROM CHILD TO ADULT SERVICES 223 D. 1. The AdSS shall ensure **the following occurs for** transitions 224 involving co-occurring behavioral and physical health conditions 225 include the following: 226 Coordination plan between child providers and the 227 anticipated adult providers including development of a 228 transition plan for the member that focuses on assisting 229 the Member with gaining the necessary skills and 230 knowledge to become a self-sufficient adult and facilitates 231 a seamless transition from child services to adult services; 232 Process that begins no later than when the child reaches 233 the age of 16; 234



235	С.	A transition plan for the Member focused on assisting the
236		Member with gaining the necessary skills and knowledge to
237		become a self-sufficient adult within their capabilities and
238		facilitates a seamless transition from child services to adult
239		services;
240	d.	An SMI eligibility determination that is completed when the
241		adolescent reaches the age of 17 <u>.5 years old, if clinically</u>
242		indicated, and lives in Arizona or plans to live in Arizona:7
243		but no later than age 17 and six months; and
244	<u>e.</u>	A transition process that includes any additional stakeholder and
245		behavioral or physical healthcare entity involved with the child as
246		applicable; and
247	<u>f.</u>	_A coordination plan to meet the unique needs for Members
248	No.	with special circumstances;
249	e <u>.g.</u>	A coordination plan to meet the unique needs for Members
250	10	with Special Health Care Needs, including Members with
251		CRS designation, as specified in Contract



252	2.	The AdSS shall ensure ALTCS eligible Members under age 21
253		receiving Licensed Health Aide services are engaged in transition
254		planning and communication, if offered to families prior to the
255		Member's 21st birthday.
256	<u>3.</u>	The AdSS shall not provide LHA services to Members 21 years
257		and older as specified in AMPM Policy 1240-G and A.A.C. R4-19-
258		90124.
259	2. 4.	_The AdSS shall ensure any additional stakeholder, behavioral or
260		physical healthcare entity involved with the child shall be
261		included in the transition process, as applicable.
262	E. MEM	BERS HOSPITALIZED DURING ENROLLMENT CHANGE
263	1.	The AdSS shall provide a smooth transition of care for Members
264		who are hospitalized on the day of an enrollment change with
265		the following steps:
266		a. Notification to the receiving AdSS or FFS Program prior to:
267		i. the date of the transition.
268		i.ii. Continued authorization of treatment, and service
269		coordination.



270	b.	Notif	ication of the following to the hospital and attending
271		physi	ician of the transition by the relinquishing AdSS as
272		follov	vs:
273		i.	Notify the hospital and attending physician of the
274			pPending transition prior to the date of the
275			transition,
276		ii.	Instruct the providers to contact the receiving AdSS
277			or FFS Program for authorization of continued
278			services,
279		iii.	Cover services rendered to the hospitalized Member
280			for up to 30 days if they fail to provide notification to
281			the receiving AdSS, hospital, and the attending
282			physician, relative to the transitioning Member
283	/X		including elective surgeries for which the
284			relinquishing AdSS issues PA.
285	c.	Cove	rage of the hospital stay by the AdSS in which the
286		Mem	ber is enrolled upon discharge per Diagnosis Related
287		Grou	p (DRG).



288		d.	Coordination with providers regarding activities relevant to
289			concurrent review and discharge planning.
290	F. TRA	ANSITI	ON DURING MAJOR ORGAN AND TISSUE
l 291	TRA	ANSPL	ANTATION SERVICES
292	1.	The i	relinquishing and receiving AdSS shall coordinate care and
293		cove	rage for Members who have been approved for major organ
294		or tis	sue transplant if there is a change in AdSS or FFS
295		enrol	llment.
296	2.	The i	receiving AdSS shall submit a request for continuation of
297		previ	ously approved transplant reinsurance, as specified in
298		Cont	ract, to AHCCCS Medical Management (MM).
299	2. 3.	The /	AdSS shall cover transplantation at a center that is not an
300		AHC	CCS contracted provider for a Member undergoing
301		trans	splantation on the effective date of the transition to the
302		AdSS	Each AdSS shall cover the respective dates of service if a
303		Mem	ber changes to a different AdSS while undergoing
304		trans	splantation at a transplant center that is not an AHCCCS
305		contr	acted provider.



Division of Developmental Disabilities
Administrative Services Subcontractors
Medical Policy Manual
Chapter 500
Care Coordination Requirements

G. ENROLLMENT CHANGES FOR MEMBERS RECEIVING OUTPATIENT TREATMENT

- The AdSS shall have protocols for ongoing care of Members with active or chronic health care needs during the transition period.
- 2. The receiving AdSS shall have protocols to address the timely transition of the Member from the relinquishing PCP to the receiving PCP, in order to maintain continuity of care.
- 3. The AdSS shall ensure pregnant women who transition to a new AdSS within the last trimester of their expected date of delivery be allowed the option of continuing to receive services from their established physician and anticipated delivery site through the postpartum visits included in the all-inclusive maternity care as specific in AMPM 410.

H. MEDICALLY NECESSARY TRANSPORTATION

1. The AdSS shall provide information to new Members on what and how medically necessary transportation can be obtained as specified in AMPM 310-BB.



323	2.	The AdSS shall cover transportation, and room and board for the
324		transplant candidate, donor and, if needed, one adult caregiver
325		as identified by the transplant facility.
326		a. Coverage is limited to medical treatment transportation, to
327		and from the facility, during the time it is necessary for the
328		Member to remain in Close Proximity to the transplant
329		center.
330		a.b. Coverage includes the periods of evaluation, on-going
331		testing, transplantation, and post-transplant care by the
332		transplant center.
333		The AdSS shall provide information to providers on how to order
334		medically necessary transportation for Members.
335	I. TRA	NSITION OF PRESCRIPTION MEDICATION SERVICES
336	1.	The relinquishing AdSS shall:
337		a. Cover the dispensation of the total prescription amount of
338		either continuing or time-limited medications, if filled
339		before midnight on the last day of enrollment; and



340		b. Not reduce the quantity of the ordered prescription unless
341		it exceeds a 30-day supply or 100 unit doses.
342	2.	The receiving AdSS shall extend previously approved <u>PA'sprior</u>
343		authorizations for a period of 30 calendar days from the date of
344		the Member's transition unless a different time period is mutually
345		agreed to by the Member or Responsible Person Member's
346		representative.
347	3.	The AdSS shall ensure Member's transitioning from a Behavioral
348		Health Medical Professional (BHMP) to a PCP for behavioral
349		health medication management continue on the medication(s)
350		prescribed by the BHMP until the Member can transition to their
351		new_PCP.
352	<u>4.</u>	_The AdSS shall coordinate care and ensure the Member has a
353		sufficient supply of behavioral health medications to last through
354		the date of the Member's first appointment with their <u>new PCP</u> .
355	4.5.	The AdSS shall ensure Members receiving behavioral health
356		medications from their PCP may simultaneously receive
357		counseling and other medically necessary services.



358	J.	DISP	OSITION	OF MEDICAL EQUIPMENT, APPLIANCES, AND
359		MED	ICAL SUP	PLIES DURING TRANSITION
360		1.	The AdSS	shall ensure the disposition of Medical Equipment,
361			appliance	s, and supplies during a Member's transition period and
362			develop p	olicies that include the following:
363			a. —The	relinquishing AdSS $\frac{\text{shall-provides}}{\text{shall-provides}}$ accurate information
364			abo	ut Members with ongoing Non-Customized Medical
365			Equ	ipment needs to the receiving AdSS or FFS
366			pro	grams. Non-customized Medical Equipment
367				601
368			b. Cus	tomized Medical Equipment
369			i.	Customized Medical Equipment purchased for
370				Members by the relinquishing AdSS will remain with
371				the Member after the transition.
372			ii.	The purchase cost of the equipment is the
373				responsibility of the relinquishing AdSS.
374			iii.	Customized Medical Equipment ordered by the
375				relinquishing AdSS but delivered after the transition



376			to the receiving AdSS <u>is shall be</u> the financial
377			responsibility of the relinquishing AdSS.
378		iv.	Maintenance contracts for customized Medical
379			Equipment purchased for Members by a relinquishing
380			AdSS will transfer with the Member to the receiving
381			AdSS.
382		٧.	Contract payments due after the transition will be
383			the responsibility of the receiving AdSS, if the
384			receiving AdSS elects to continue the maintenance
385			contract.
386		c. Augn	nentative Communication Devices (ACD)
387	2.	The AdSS s	hall give Members aA 90-day trial period to
388		determine	if the ACD will be effective for the Member, or if it
389		should be r	replaced with another device.
390	3.	If a The Ad	SS shall require one of the following occurs is a
391		Member Tr	ansitions from an AdSS during the 90-day trial period,
392		one of the	following shall occur:



393	i.	The device shall remains with the Member if the ACD is
394		proven to be effective. and Ppayment for the device is
395		shall be covered by the relinquishing AdSS;
396	ii.	The cost of any maintenance contract necessary for the
397		ACD shall be the responsibility of the receiving AdSS if
398		they elect to continue the maintenance contract.
399	iii.	The device shall be returned to the vendor if the ACD is
400		proven to be ineffective and Tthe receiving AdSS will shall
401		then coordinate a new device trial and purchase if it is
402		determined to meet the Member's needs.
403	K. MEDICAL I	RECORDS TRANSFER DURING TRANSITION
404	<u>1.</u> The A	dSS shall transition medical records, timely but no later
405	than	within 10 business days from receipt of the request for
406	transi	fer to ensure continuity of Member care during the time of
407	enroll	ment change as specified in AMPM 940.
408	1.2. The A	dSS shall ensure if an organization distributes information
409	electr	onically, it must indicate that the information is available in
410	paper	format upon request.



411	L. OUT OF SERVICE AREA PLACEMENT REFERRALS FOR MEMBERS
412	WITH AN SMI DESIGNATION
413	1.—The AdSS shall initiate a referral for placement of a Member with
414	an SMI designation to a service provider for the purposes of
415	obtaining behavioral health services when:
416	a. The resulting relocation of the Member may result in the
417	eligibility source making corresponding changes to a
418	Member's address in the Pre-paid Medicaid Management
419	Information System (PMMIS), or
420	——A change of address to another Geographic Service Area
421	(GSA) will cause the Member with an SMI designation to
422	become enrolled with a RBHA Contractor in the other-GSA
423	for both behavioral health and physical health services.
424	——The AdSS shall ensure the Contractor who made the referral for
425	the out-of-area placement takes steps to ensure retention of the
426	Member's behavioral assignment and physical health enrollment
427	as well as financial responsibility for both behavioral and physical



428	<u>healt</u>	h services during the period the Member is placed out of the
429	ACC-	RBHA Contractor's service area.
430		The referring ACC-RBHA Contractor is responsible for
431		completing and submitting an Out-of-Area Placement
432		Request utilizing Attachment B of this Policy to ensure
433		AHCCCS is aware of and can flag the member in the
434		AHCCCS system as being in an out-of-area placement,
435		AHCCCS will utilize the submitted documentation to update
436		the Member's record with an indicator that will bypass the
437		automatic PMMIS changes to the member's behavioral
438		health assignment and physical health enrollment. The
439		normal automatic activation would otherwise change a
440		Member's behavioral health assignment and physical
441	X	health enrollment, due to an out-of-GSA address change,
442		and and
443		-The referring ACC-RBHA Contractor is responsible for
444		submitting Attachment B in its entirety and for any
445		extension or change to the effective date of placement



446		and/or end date of placement to ensure that the indicator
447		remains in effect only as appropriate.
448		The AdSS shall ensure the ACC-RBHA Contractor contracts with
449		out-of-area service providers for behavioral health and physical
450		health services and authorize payment for behavioral health
451		services and physical health services when a Member is placed in
452		an out-of-area placement.
453	b.	<u>-The AdSS shall ensure the end date of the out-of-area placement</u>
454		will allow resumption of normal behavioral health assignment
455		and physical health enrollment rules when the Member returns
456		to the original service area and another address is processed in
457		the PMMIS.
458	5.	The AdSS shall ensure Members who are in an out-of-area
459		placement for one year, from the latter of the date of the original
460		out-of-area placement or from October 1, 2018, are transitioned
461	OKO.	to the ACC RBHA Contractor in the GSA of placement, unless
462		otherwise approved by AHCCCS.
463		



Supplemental Information
For members who transitions to an ACC-RBHA from an ACC Contractor, and
who have an established relationship with a Primary Care Provider (PCP)
that does not participate in the ACC-RBHAs provider network, the ACC-RBHA
shall provide, at a minimum, a six-month transition period. During this
transition period, the member may continue to seek care from their
established PCP while the member/HCDM/DR, the ACC-RBHA, and/or case
manager finds an alternative PCP within the new ACC-RBHAs provider
network. Refer to ACOM Policy 402.
Medical records are applicable to both paper and electronic medical records.
If an organization distributes information electronically, it must indicate that
the information is available in paper format upon request.