



DEPARTMENT OF ECONOMIC SECURITY

Your Partner For A Stronger Arizona

Douglas A. Ducey
Governor

Michael Wischart
Director

March 5, 2021

To: Area Agencies on Aging

From: Frank Migali *FM*
DES Deputy Assistant Director
Community Services Programs
Division of Aging and Adult Services

Subject: State Fiscal Year (SFY) 2021 Allocations, Policy, and Area Plan Instructions

The following ALERTS are attached:

<u>ALERTS</u>	<u>FUND SOURCE/TYPE</u>
ALERT SFY-21-1D	Title III/VII Older Americans Act – SFY 20 Unrequested Carryover Allocations
ALERT SFY-21-1E	Title III/VII Older Americans Act – Revised Allocations
ALERT SFY-21-6B	NSIP- Revised Allocations
ALERT SFY-21-7B	State Health Insurance Assistance Program/Senior Medicare Patrol – Allocations
ALERT SFY-21-10	Policy and Procedures – Non-Medical Home and Community-Based Services Waitlist Policy
ALERT SFY-21-11D	Other Funds – Medicare for Patients and Providers Act Allocation
ALERT SFY-21-11E	Other Funds – Life Span Respite Grant Allocations
ALERT SFY-21-12	Technical Assistance – Area Plan on Aging Instructions

The ALERTS are subject to change as additional information is received by the Division pertaining to the funding sources identified.

ALERTS are available on the Division website using the link:

<https://www.azdes.gov/daas/alerts>

A SFY 2021 contract operating budget is due to the Division of Aging and Adult Services, Finance and Business Operations Administration by close of business Wednesday, March 31, 2021. Once budgets have been submitted to DAAS, they will be incorporated into Area Agency on Aging contracts.

Should you have any questions regarding the attached ALERTS, please contact your assigned Contract Specialist.

cc: Rebecca Clayton, Frank Migali, Jamie Zimmerman, Bridget Casey, Kelly Garrett, Alina Girbovan, Lei Ronda Golden-Grady, Cindy Saverino, Mark Radan, Michael Coen, Catherine Chavez, Jennifer Cain, DAAS FSA Team, DAAS file



Division of Aging and Adult Services
ALERT

SFY-21-1D

**Older Americans Act Title III and Title VII
for SFY-2021**

Un-Requested Carryover

The Division of Aging and Adult Services (DAAS) is providing one-time allocations to the Area Agencies on Aging (AAAs) totaling \$313,056.39 of un-requested Older Americans Act (OAA) III-B, III-C1, III-C2, SFY 2020 Carryover for use in SFY 2021.

The OAA Title III carryover from SFY 2020 funds is being re-allocated to AAAs to provide direct services to clients. These funds are being distributed based on the OAA funding formula used for Title III, as shown in the table below:

Area Agency on Aging	Title III B	Title III C1	Title III C2	Total SFY-20 Carryover
Region One, Inc.	\$67,723.39	\$83,617.00	\$11,355.00	\$162,695.39
Pima Council on Aging	\$23,593.00	\$29,129.00	\$3,956.00	\$56,678.00
Western Arizona Council of Governments	\$13,952.00	\$17,227.00	\$2,339.00	\$33,518.00
Pinal/Gila Council for Senior Citizens	\$10,687.00	\$13,195.00	\$1,792.00	\$25,674.00
SouthEastern Arizona Governments Organization	\$7,280.00	\$8,988.00	\$1,221.00	\$17,489.00
Inter-Tribal Council of Arizona, Inc.	\$7,077.00	\$8,738.00	\$1,187.00	\$17,002.00
Total	\$130,312.39	\$160,894.00	\$21,850.00	\$313,056.39

Un-requested carryover funds from Title IIIB, IIID, IIIE, Elder Abuse and Federal Ombudsman programs are included in a one-time allocation to the Northern Arizona Council of Governments (NACOG), to be used for the **NACOG Business Center Development Project**.

Area Agency on Aging	Title IIIB	Title IIID	IIIE	Title VIII EA	Federal Ombudsman	Total Allocation
Northern Arizona Council of Governments	\$68,843.61	\$30,490.00	\$76,716.39	\$3,564	\$20,386	\$200,000.00
Total						\$200,000.00

This allocation must be used only for the **NACOG Business Center Development Project**.

All funds must be expended by June 30, 2021.

Expenditure of these funds will be monitored closely and adjustments to these reallocations may be implemented in a future ALERT if deemed necessary.

Should you have questions regarding the allocations, please contact your assigned Contract Specialist.



DEPARTMENT OF ECONOMIC SECURITY

Your Partner For A Stronger Arizona

Division of Aging and Adult Services
ALERT

SFY-21-6B

**Nutrition Services Incentive Program (NSIP)
for SFY-2021**

Due to a change in the federal grant award, revised reductions in allocations are attached that identify the contract planning levels for NSIP for your respective Planning and Service Area for State Fiscal Year (SFY) 2021.

The reduced allocations are based on the Federal Fiscal Year (FFY) 2020 grant and on the meals served by the Area Agencies on Aging (AAAs) during FFY 2018 and are subject to revisions during SFY 2021.

The following NSIP revised allocations are being made to the AAAs:

Area Agency on Aging	SFY-21 Changes
Area Agency on Aging, Region One, Inc.	(\$6,661.00)
Pima Council on Aging	(\$1,924.00)
Northern Arizona Council of Governments	(\$1,850.00)
Western Arizona Council of Governments	(\$1,904.00)
Pinal/Gila Council for Senior Citizens	(\$1,399.00)
SouthEastern Arizona Governments Organization	(\$1,070.00)
Navajo Nation	(\$3,184.00)
Inter Tribal Council of Arizona	(\$1,021.00)
Total	(\$19,013.00)

NSIP funds may be used to pay for any client receiving home delivered meals or congregate meals.

Unexpended dollars at the end of the fiscal year are allowed as carryover into the next fiscal year. Carryover dollars must be expended prior to utilizing any new allocations.

Should you have any questions regarding the allocation, please contact your assigned Contract Specialist.

DIVISION OF AGING & ADULT SERVICES

ALERT # 21-6B

**NSIP
SFY - 2021**

REGION	(Line # 17) FFY - 2020 (1/4) INITIAL ALLOCATION	(Line # 17) FFY - 2020 CARRYOVER	(Line # 17) FFY - 2020 (1/4) Sub-Total From prior ALERT	(Line # 17) FFY - 2020 INCREASE/ (DECREASE) TO ALLOCATION	(Line # 17) FFY - 2020 (1/4) TOTAL ALLOCATION	(Line # 18) FFY - 2021 (3/4) INITIAL ALLOCATION	(Line # 18) FFY - 2021 (3/4) Sub-Total From prior ALERT	(Line # 18) FFY - 2021 INCREASE/ (DECREASE) TO ALLOCATION	(Line # 18) FFY - 2021 (3/4) TOTAL ALLOCATION	SFY - 2021 CONTRACT ALLOCATION
I	\$ 144,399.00	\$ -	\$ 172,084.00	\$ -	\$ 172,084.00	\$ 480,981.00	\$ 501,745.00	\$ (6,661.00)	\$ 495,084.00	\$ 667,168.00
II	\$ 37,065.00	\$ -	\$ 45,064.00	\$ -	\$ 45,064.00	\$ 138,970.00	\$ 144,969.00	\$ (1,924.00)	\$ 143,045.00	\$ 188,109.00
III	\$ 33,591.00	\$ -	\$ 41,283.00	\$ -	\$ 41,283.00	\$ 133,643.00	\$ 139,412.00	\$ (1,850.00)	\$ 137,562.00	\$ 178,845.00
IV	\$ 30,216.00	\$ -	\$ 38,129.00	\$ -	\$ 38,129.00	\$ 137,470.00	\$ 143,405.00	\$ (1,904.00)	\$ 141,501.00	\$ 179,630.00
V	\$ 23,591.00	\$ -	\$ 29,406.00	\$ -	\$ 29,406.00	\$ 100,998.00	\$ 105,358.00	\$ (1,399.00)	\$ 103,959.00	\$ 133,365.00
VI	\$ 25,203.00	\$ -	\$ 29,647.00	\$ -	\$ 29,647.00	\$ 77,207.00	\$ 80,541.00	\$ (1,070.00)	\$ 79,471.00	\$ 109,118.00
VII	\$ 139,277.00	\$ -	\$ 152,512.00	\$ -	\$ 152,512.00	\$ 229,931.00	\$ 239,858.00	\$ (3,184.00)	\$ 236,674.00	\$ 389,186.00
VIII	\$ 24,311.00	\$ -	\$ 28,556.00	\$ -	\$ 28,556.00	\$ 73,758.00	\$ 76,942.00	\$ (1,021.00)	\$ 75,921.00	\$ 104,477.00
TOTAL	\$ 457,653.00	\$ -	\$ 536,681.00	\$ -	\$ 536,681.00	\$ 1,372,958.00	\$ 1,432,230.00	\$ (19,013.00)	\$ 1,413,217.00	\$ 1,949,898.00
NOTE:										
	1) The 3/4 columns are based on the FFY 2021 NSIP Grant Award and the total number of meals served by Area Agency for FFY 18. FFY 2021 Allocation is \$1,884,290									
	2) Unexpended dollars as of June 30th can be carried-over into the next year's allocation.									
	3) Carry-over dollars must be expended prior to utilizing any new allocations.									

**State Health Insurance Assistance Program (SHIP)
Senior Medicare Patrol (SMP)
for SFY-2021**

SHIP

The Division of Aging and Adult Services (DAAS) submitted a grant application in the amount of \$947,652 to the Administration for Community Living (ACL) for the grant project period of April 1, 2020 through March 31, 2025 for the State Health Insurance Assistance Program (SHIP).

Background

Emphasis for this grant term will continue to focus on the following activities:

- Enhancement and expansion of local program capacity to provide enrollment information, counseling, and assistance to reach and assist all Medicare eligible beneficiaries, with an emphasis on those who:
 - Are disabled, specifically beneficiaries who are under 65 years old.
 - Are low income, especially those likely to be eligible, but who have not yet applied for Medicare Prescription Drug Low Income Subsidy (LIS); and/or
 - Speak languages other than English, have literacy challenges, and beneficiaries living in intensely urban or frontier rural areas.
- Expansion of existing partnerships with other public and private organizations involved in providing outreach, counseling, and enrollment assistance.
- Increase internet access to local counselors and local counseling sites.
- Utilize the SHIP Tracking and Reporting System (STARS) to demonstrate achievements in providing services to Medicare beneficiaries by established deadlines and in accordance with performance measures requirements.
- All beneficiary interactions must be entered into STARS by the end of the following month from which the activity occurred in accordance with grant requirements.
- All informational or advertising products developed using SHIP funding must include the following disclaimer on the first page or preface of all documents and webpages produced all in part with ACL funding.

This project was supported, in part by grant number 90SAPG0083, from the U.S. Administration for Community Living, Department of Health and Human Services, Washington, D.C. 20201.

- Participate in the Administration for Community Living (ACL) and Center for Medicare and Medicaid Services (CMS) outreach events, by providing support for the ACL and CMS Regional Office community-based events.

Funding

- Base funding is allocated by the percentage of Medicare eligible beneficiaries per county.

Division of Aging and Adult Services
ALERT

SFY-21-7B

**State Health Insurance Assistance Program (SHIP)
Senior Medicare Patrol (SMP)
for SFY-2021**

The following proposed initial SHIP allocations are being made to each Area Agency on Aging (AAAs) for the months of April, May, and June 2021:

Area Agency on Aging	Base Funding (3 Month)	Monthly Billing Allowable April & May 2020	Monthly Billing Allowable June 2020
Area Agency on Aging Region One, Inc.	\$97,690.00	\$32,563.00	\$32,564.00
Pima Council on Aging	\$31,549.00	\$10,516.00	\$10,517.00
Northern Arizona Council of Governments	\$19,332.00	\$ 6,444.00	\$ 6,444.00
Western Arizona Council of Governments	\$15,144.00	\$ 5,048.00	\$ 5,048.00
Pinal/Gila Council for Senior Citizens	\$13,313.00	\$ 4,438.00	\$ 4,437.00
South Eastern Arizona Governments Organization	\$ 6,976.00	\$ 2,325.00	\$ 2,326.00
Inter Tribal Council of Arizona	\$8,500.00	\$ 2,833.00	\$ 2,834.00
Total	\$192,504		

SHIP funds must be fully expended by March 31, 2022

Reporting Requirements

The following parameters will be used in the Division of Aging and Adult Services Reporting System (DAARS) for budgeting and reporting purposes associated with this funding:

SOW Service Code	Program Code	Service Detail Code
SHI - SHIP	SHP - SHIP	OTR - Outreach

The AAAs must

- The AAAs must enter all data into the SHIP Tracking and Reporting System (STARS).
- Report monthly by email to the State Coordinator, all SHIP activities and provide copies of outreach and educational products produced during each month, no later than the 15th of the following month.
- Service units should be reported and billed as number of client contacts per billing month.

Should you have any questions regarding the allocations, please contact your assigned Contract Specialist.

Division of Aging and Adult Services
ALERT

SFY-21-7B

**State Health Insurance Assistance Program (SHIP)
Senior Medicare Patrol (SMP)
for SFY-2021**

Senior Medicare Patrol (SMP)

The Division of Aging and Adult Services (DAAS), through the Senior Medicare Patrol (SMP) Project - Empowering Seniors to Prevent Health Care Fraud, received a grant renewal award in the amount of \$301,198 per year from the Administration for Community Living (ACL) for the grant term of June 1, 2018 through May 31, 2023. For the purpose of this ALERT funds the first month of outreach and service activities, the allocations have been adjusted accordingly based upon one-month of service. The SMP grant is funded under two separate funding streams: Titles III and IV of the Older Americans Act and the Health Care Fraud and Abuse Control Act. This award is issued as a cooperative agreement, with an in-kind matching requirement.

The goal for the SMP project is to empower Medicare beneficiaries to prevent health care fraud through outreach, counseling and education.

Objectives for this grant term are focused on the following project activities:

- Foster statewide program coverage.
- Conduct specialized recruitment of dual-language volunteers to assist with targeted outreach to non-English speaking populations in the State.
- Improve efficiency of the SMP project, while increasing results for both operational and quality measures.
- Target training and education in isolated and hard-to-reach populations.

The following SMP allocations are being made to each Area Agency on Aging based on Medicare beneficiary population within each of the regions for the month of June 2021:

Area Agency on Aging	Pro-Rated Funding Allocation for the month of June 2021 (1 month)
Area Agency on Aging Region One, Inc.	\$ 7,714.00
Pima Council on Aging	\$ 2,571.00
Northern Arizona Council of Governments	\$ 1,598.00
Western Arizona Council of Governments	\$ 1,320.00
Pinal/Gila Council for Senior Citizens	\$ 1,181.00
South Eastern Arizona Governments Organization	\$ 764.00
Inter Tribal Council of Arizona	\$ 375.00
Total	\$ 15,523.00

Division of Aging and Adult Services
ALERT

SFY-21-7B

**State Health Insurance Assistance Program (SHIP)
Senior Medicare Patrol (SMP)
for SFY-2021**

SMP funds must be fully expended by May 31, 2022.

The following parameters will be used in the Division of Aging and Adult Services Reporting System (DAARS) for budgeting and reporting purposes associated with this funding:

SOW Service Code	Program Code
SHI - SHIP	SMP - SMP

- Service units should be reported and billed as number of client contacts per billing month.
- Team member activities and “time spent” must also be entered directly into SMP Information and Reporting System (SIRS) in accordance with SIRS Job Aids, as amended.
- Utilize the SIRS Complex Interactions Job Aid, as may be amended to ensure accurate data capture for all SMP Complex Interactions.
- All beneficiary interactions must be entered into STARS by the end of the following month from which the activity occurred in accordance with grant requirements.
- All informational or advertising products developed using SMP funding must include the following disclaimer on the first page or preface of all documents and webpages produced all in part with ACL funding.

This project was supported, in part by grant number 90MPPG0022, from the U.S. Administration for Community Living, Department of Health and Human Services, Washington, D.C. 20201.

- Report monthly by email to the State Coordinator, all SMP activities and provide copies of outreach and educational products produced during each month, no later than the 15th of the following month.

Should you have any questions regarding the allocations, please contact your assigned Contract Specialist.



Division of Aging and Adult Services
ALERT

SFY-21-10

Policy and Procedure for SFY-2021

Policy and Procedure ALERTS are intended to notify Area Agencies on Aging (AAAs) of newly developed or revised Division of Aging and Adult Services Policies and Procedures. The following Division of Aging and Adult Services Policy and Procedures have been updated: **Chapter 3000, Section 3100, Non-Medical Home and Community-Based Services (NMHCBS).**

Please ensure that the appropriate AAA personnel are aware of these revisions.

Summary of Revision

Chapter 3000, Section 3100, Non-Medical Home and Community-Based Services has been updated to include sub-section 3129, Non-Medical Home and Community-Based Services Waitlist Policy. This revision is included as Attachment A to this Alert.

Implementation

This revision to the DAAS Policy and Procedure Manual, Chapter 3000, Section 3100 will be posted on the DAAS website at <https://des.az.gov/services/aging-and-adult/partners/daas-policy-chapter-3000-2011> and will be effective immediately.

Area Agencies on Aging will have until June 30, 2021 to be fully compliant with these revisions.

3129 Non-Medical Home and Community-Based Services Waitlists

3129.1 Overview

This section provides an outline of the Division of Aging and Adult Services (DAAS) operational policies and procedures for maintaining a waitlist of eligible individuals within the Non-Medical Home and Community-Based Services (NMHCBS) system. This policy section is subject to change as additional information and/or regulations are received from the U.S. Department of Health and Human Services, Administration for Community Living (ACL).

At times, limited funding and other capacity challenges may result in the establishment of a waitlist for services by the Area Agency on Aging (AAA).

A NMHCBS waitlist may be imposed for the entire program or for specific services only.

The need for a waitlist will be determined solely at the local level based on both the AAA and service provider ability to provide NMHCBS.

Individuals shall be determined eligible to receive the service(s) before being placed on a waitlist.

3129.2 Maintenance of Waitlist

1. Only one NMHCBS waitlist shall be maintained by each AAA and used to prioritize services for eligible individuals waiting for services.
2. Each AAA shall maintain their respective NMHCBS waitlist in the Division of Aging and Adult Reporting System (DAARS).

3129.3 Management of Waitlist

1. When a particular service is restricted, intake can be processed, and individuals are referred to the appropriate case manager or case management agency for an assessment to determine eligibility utilizing the Arizona Standardized Client Assessment Plan (ASCAP), or Short-Form Intake Document (SFID) where appropriate.
2. When the case management system is at capacity, an initial telephone screening can be conducted to determine initial eligibility of individuals. A completed SFID, at a minimum, is required to complete a telephone screening for eligibility.
 - 2.1. If the individual appears to be eligible for services from the preliminary information obtained in the telephone screening and SFID, the individual's name can be placed on the waitlist for those services.
 - 2.2. The individual shall be referred to the appropriate case manager or case management agency for an in-home assessment utilizing the ASCAP/SFID at first opportunity, capacity permitting.
3. If eligibility is established, the individual can be opened for unrestricted services and placed on the waitlist for restricted services. The individual must be informed that there are restrictions and that his or her name will be placed on a waitlist.

4. AAAs should not wait until all services that the individual is waitlisted for become unrestricted before serving them.
5. Individuals placed on a waitlist shall be identified in DAARS and their client record shall include, at a minimum, a completed SFID.
6. Regular contact by telephone at a minimum of every 90 days shall occur with individuals on the waitlist and shall be documented in the case notes.
7. While on the waitlist, if an individual's functional needs or supports change, a new ASCAP/SFID shall be completed. Documentation of these changes is required.

3129.4 Prioritization and Waitlist Capitation limit

1. AAAs shall prioritize waitlists in accordance with DAAS Policy and Procedure Manual Chapter 3000, Operational Procedures for Service Authorization, Section 3124.2, and the AAA's ability to provide NMHCBS.
2. No waitlist capitation limit shall be imposed for individuals determined by the AAA to be of highest priority need for NMHCBS.
3. Individuals determined by the AAA to be of lower priority need for NMHCBS shall be waitlisted for any one service for no longer than twelve months.
4. If an individual determined by the AAA to be of lower priority need for NMHCBS cannot be served at the conclusion of the twelve month waitlist period, the AAA shall, to every extent practical, assist the individual in finding alternative service(s) to meet their needs before closing waitlist enrollment(s). This shall be documented in the case notes.

3129.5 Adult Protective Services Clients Referred for NMHCBS

1. When an Adult Protective Services (APS) client is referred to the AAA for NMHCBS, the AAA shall review the client assessment information in collaboration with APS to determine service needs and waitlist priority. The outcome of this collaboration shall be documented in the case notes.
2. Final prioritization of APS clients for NMHCBS shall be determined solely at the AAA level based on the outcome of the AAA collaboration with APS, the AAA Case Manager's assessment and the AAA's ability to provide NMHCBS.

3129.6 Reapplying for Services

1. AAAs may allow an individual previously determined to be of lower priority need for NMHCBS and previously removed from waitlist after having reached the waitlist capitation limit to reapply for services at six months from the date of waitlist closure. The decision to allow for reapplication for services will be determined solely at the AAA level based on their ability to provide NMHCBS. This shall be documented in the case notes.
2. If an individual previously determined to be of lower priority need for NMHCBS and previously removed from a waitlist after having reached the waitlist capitation limit requests to reapply for services in less than six months from the date of waitlist closure, and the the AAA determines that the individual has experienced a life-changing event/emergency that has caused the individual's functional needs or supports to deteriorate, the AAA may allow the individual to reapply for services in less than six months from the date of waitlist closure. The decision to allow for reapplication for services in less than six months from the date of waitlist closure will

be determined solely at the AAA level based on the Case Manager's assessment and the AAA's ability to provide NMHCBS. This shall be documented in the case notes.

3. A current assessment utilizing the ASCAP/SFID is required for all individuals reapplying for services.

Division of Aging and Adult Services
ALERT

SFY-21-11D

**Other Funds
for SFY-2021**

Carryover

The Department of Economic Security, Division of Aging and Adult Services (DES/DAAS) has received a no-cost extension for 2018 funding for the Medicare Improvements for Patients and Providers Act (MIPPA) in the amount of \$11,290, [Priority 1 for SHIPs \$3,376.93] and [Priority 2 for AAAs \$7,913.07], from the Administration of Community Living (ACL) for the budget period of September 30, 2020 through September 29, 2021.

This un-requested MIPPA funding is being included in a one-time allocation to the Area Agency on Aging Region One, Inc., to be used for the development, coordination and implementation of a statewide media outreach campaign designed to promote the State Health Insurance Assistance Program (SHIP) to Spanish speaking beneficiaries with Limited English Proficiency, who are likely to be eligible for the Low Income Subsidy Program (LIS), Medicare Savings Program (MSP), Medicare Prescription Drug Coverage (Part D), and creating awareness of overall SHIP services and assistance in applying for Medicare benefits.

The MIPPA grant allocation is being made as follows.

Area Agency on Aging	Priority 1 SHIP	Priority 2 AAA	Priority 3 ADRC	Total Combined Funding
Area Agency on Aging, Region One, Inc.	\$ 3,376.93	\$ 7,913.07	\$ 0.00	\$ 11,290.00

MIPPA funds must be fully expended by September 29, 2021

Funds not expended for services provided during this period will NOT be available for payment after November 30, 2021.

Other MIPPA grant terms and conditions include:

1. All outreach materials developed for this project, both print and media, must drive beneficiary to the Arizona SHIP Hotline 800-432-4040.
2. Agency will regularly update SHIP State Coordinator on development and progress of media outreach campaign for the duration of the project.
3. Agency may use existing media outlets and/or develop new resources and relationships for statewide outreach to Spanish speaking beneficiaries.
4. Agency will agree to receive and assist Spanish speaking beneficiaries from the State SHIP 800 line or direct them to the appropriate AAA where they reside if the AAA has the capacity to assist the caller.
5. Agency will report all program activities related to project in the SHIP Tracking and Reporting System (STARS).



Division of Aging and Adult Services
ALERT

SFY-21-11D

**Other Funds
for SFY-2021**

- 6. On all publications funded solely or in part by these MIPPA funds, the following will be found on the publication: ***“This project was supported, in part by grant numbers 1801AZMIAA and 1801AZMISH, from the U.S. Administration for Community Living, Department of Health and Human Services, Washington, D.C. 20201.***
- 7. Agency will ensure that all materials developed for the purpose to promote MIPPA outreach activities must include the State Health Insurance Assistance Program logo.
- 8. Service units should be recorded as actual expenses and description of expense in recorded in the notes section.

The following service codes are to be used in Division of Aging and Adult Services Reporting System for MIPPA:

SOW Service Code	Program Code	Service Detail Code
SHI-SHIP	SHP – SHIP	MSA -- MIPPA/SHIP/Applications MAA – MIPPA/AAA/Applications

Should you have any questions regarding the allocation and reporting requirements, please contact Catherine Chavez at 602-489-9635 or via email at catherinechavez@azdes.gov.



Division of Aging and Adult Services
ALERT

SFY-21-11E

**Other Funds
for SFY-2021**

This ALERT applies to the Area Agency on Aging, Region One, Inc., Pima Council on Aging, Northern Arizona Council of Governments, and Pinal-Gila Council for Senior Citizens.

The ALERT is being provided to non-participating regions as information only.

Lifespan Respite Care Grant: Day Center Respite Program

The Department of Economic Security, Division of Aging and Adult Services (DAAS), through the Administration for Community Living, was awarded funding for the Lifespan Respite Care Continuation Grant. The Continuation Grant period is from **September 1, 2020 – August 31, 2023**. Funds will be used to strengthen the Lifespan Respite Care Network in Arizona, continue the provision of respite care services, and coordinate the work of the Lifespan Respite Care Advisory Committee.

Area Agency on Aging	SFY 2020 Carryover	Continuation Grant	Increase	Decrease	Total FY21 Allocation
Area Agency on Aging, Region One, Inc.	\$ 36,940.91	\$ 15,000	\$ 0.00	\$ 0.00	\$ 51,940.91
Pima Council on Aging	\$ 3,803.53	\$ 4,000	\$ 5,000	\$ 0.00	\$ 12,803.53
Northern Arizona Council of Governments	\$ 2,208.94	\$ 1,000	\$ 0.00	\$ 0.00	\$ 3,208.94
Pinal-Gila Council for Senior Citizens	\$ 4,208.51	\$ 0.00	\$ 0.00	(\$ 4,208.51)	\$ 0.00
TOTAL	\$ 47,161.89	\$ 20,000	\$ 5,000	(\$ 4,208.51)	\$ 67,953.38

Area Agency on Aging Allocations

A portion of the grant funds will be utilized to continue the Adult Day Health Center (ADHC) Respite Program coordinated by the Arizona Caregiver Coalition. The increase to Pima Council on Aging will help them to continue services delivery for ADHC respite services. The decrease to Pinal-Gila Council for Senior Citizens is in response to the closure of the ADHC. The \$4,208.51 will be reallocated to the ACC to increase their capacity for the respite voucher program.

SFY 2020 Carryover Funds should be used first. Funds not utilized by June 30, 2021 should be carried forward to State Fiscal Year 2022.

The following parameters will be used in the Division of Aging and Adult Reporting System (DAARS) for budgeting and reporting purposes associated with this funding:

SOW Service Code	Program Code	Service Detail Code
RSP	FCS	ADH



Technical Assistance for SFY-2021

Technical Assistance ALERTS are generated periodically to update contractual and/or programmatic requirements and/or clarifications. Please ensure that appropriate Area Agency on Aging personnel are aware of this technical assistance.

Area Plans on Aging

To focus on the commonalities that already exist among the goals and objectives among the Administration for Community Living, Administration on Aging Strategic Plan, State Plan on Aging, and the eight Area Plans on Aging, the following three goals are to be incorporated into the 2022-2024 **modified** Area Plans on Aging:

- Increase awareness and understanding of aging issues and help prepare Arizona for an aging population.
- Increase the ability of older adults to remain active, healthy and living independently in their communities.
- Increase the safety and well-being of older Arizonans.

Attached are the Area Plan Format Instructions (Attachment A), Area Plan Assurances (Attachment B), Request for a Direct Service Waiver (Attachment C), Request for an Adequate Proportion Waiver (Attachment D), and Request for a Cost Sharing Waiver (Attachment E).

The following calendar is provided as a quick reference of dates to keep in mind throughout the planning process:

- March– April 2021 – draft plan, prepare waiver(s), conduct public input sessions
- May 3, 2021 – submit waiver(s) request(s) and Area Plan to the Division of Aging and Adult Services
- June 1 -14, 2021 – receive approval/denial of waiver(s)
- July 1, 2021 – Area Plan become effective
- October 1, 2021 – submit action plan for strategic objectives
- **December 31 and June 30 of each year – submit semi-annual progress reports on action plan.**

Chapter 2000 – Area Plan on Aging - The chapter includes the following sections: Area Plan on Aging, Collecting Public Input, Targeting of Services/Identification of Needs, Developing Goals and Objectives, Requesting Waivers, Program Development and Coordination, and Service Contributions.

This policy can be accessed at: <https://des.az.gov/services/aging-and-adult/partners/daas-policy-chapter-2000>

Should you have questions or require additional information, please contact Cindy Saverino at (602) 319-1182 or via e-mail at CSaverino@azdes.gov.

Area Plan Checklist

SFY-21-12 Attachment A

Region: _____

Reviewer: _____

Date reviewed: _____

The components of the Area Plan on Aging include:

- _____ Verification of Intent
- _____ Part I - Introduction to the Area Plan on Aging
- _____ Part II - Description of the Area Agency on Aging
- _____ Part III - Needs Assessment
- _____ Part IV - Goals, Objectives, and Action Plans
- _____ Part V - Preference to Older Persons with Greatest Economic or Social Need
- _____ Part VI - Key Changes to Service Delivery
- _____ Part VII - Approved Waivers
- _____ Part VIII - Budget
- _____ Part IX - Area Agency on Aging Services to be funded by Geographic Area
- _____ Part X -Appendix

PART I - INTRODUCTION TO THE AREA PLAN

The following narrative must be included in the introduction section of the Area Plan on Aging:

An Area Plan is the document submitted by an Area Agency on Aging to the State Agency on Aging in order to receive awards or contracts from the State Agency's grant provided under the Older Americans Act, as amended. The Area Plan contains provisions required by the Act, the Federal rules and regulations, State policies, procedures, and assurances and commitments that the Area Agency will administer activities funded under the plan in accordance with all Federal and State requirements. The plan is the blueprint by which the Area Agency develops and administers a comprehensive and coordinated system of services and serves as the advocate and focal point for older people in the Planning and Service Area.

Conceptually, the plan must represent a process, which translates needs assessment information into the establishment of priorities for funding and services.

The Area Plan on Aging, as a planning document, has three major purposes, as follows:

- 1) The Area Plan serves as the planning document which identifies needs, goals, objectives, and the activities that will be undertaken by the Area Agency on Aging relative to programs for the older persons in the Planning and Service Area.
- 2) The Area Plan represents a formal commitment to the State Agency, which describes the manner in which the Area Agency on Aging plans to utilize the Older Americans Act funds, and how it will carry out its administrative responsibilities.
- 3) The Area Plan is viewed as "the blueprint for action" which represents a commitment by the Area Agency on Aging that it will fulfill its role as the planner/catalyst/advocate on behalf of older persons in the Planning and Service Area.

_____ **Yes** _____ **No**

PART II - DESCRIPTION OF AREA AGENCY ON AGING AND ITS NETWORK

Should be **clear and concise**, no more than 8 paragraphs in and address the following questions:

1. Are you a single-purpose agency to administer programs for older persons? _____
2. Are you a separate organizational unit within a multipurpose agency which functions only for the purposes of serving as the AAA? If so, describe the nature and organizational placement of the separate unit? _____
3. If you are a Tribal Area Agency on Aging, how do you coordinate with the programs and services outlined in the Older Americans Act Title VI? _____

Area Plan Checklist

SFY-21-12 Attachment A

4. How your agency organized and what is the nature and scope of its work and/or its capabilities? _____
5. What methods are used by your agency to carry out AAA responsibilities? (examples: clear delineation of the roles and responsibilities of project staff, consultants and partner organizations, how they will contribute to achieving the plan's objectives) _____
6. What is the network for which you operate? (Examples: service delivery system, advisory council, partnerships, funders, etc.) _____
7. How do you assure coordination and integration of multiple fund sources? _____
8. What is the approach that will be used to monitor and track progress on the Area Plan on Aging? _____
9. How is competition used by you in arranging for services for elderly individuals and their caregivers? _____
10. How have you coordinated activities and long-range emergency preparedness plans along with local emergency response agencies, local governments, state agencies responsible for emergency preparedness and other entities involved in disaster relief? _____
11. How have you partnered with faith-based and community organizations in order to assist older individuals and their families meet home and community-based needs? _____

Notes: _____

The following should be included in the appendix: Area Agency on Aging Organizational Chart, Regional Advisory Council Membership, Listing of Programs and Services

PART III - NEEDS ASSESSMENT (may not be applicable)

Should be **clear and concise**, no more than 8 paragraphs and address the following questions:

- What procedure was used to conduct the needs assessment? (Examples: survey instrument, public information gathering sessions, etc.) _____
- What was the rationale for using the particular procedure(s)? _____
- Who was involved in the assessment? What methods were used to ensure that the views of older individuals with greatest economic or social need, with particular attention to low-income minority individuals and individuals residing in rural areas, older individuals who are Native Americans, individuals at risk of institutional placement, and older individuals with severe disabilities were considered? _____
- What was the role and makeup of strategic partnerships? (examples: identification of other organizations, funders, and/or consumer groups) _____
- What information collected from the needs assessment process was used to build the plan? _____
- What major barriers were anticipated or encountered? _____
- How were these barriers overcome? _____
- What prior planning activities or approaches were used? _____

The following should be included in the Appendix: Needs assessment instrument and Results collected from the needs assessment

Sample format for documenting information collected:

COMMENT/ISSUE	SOURCE	ACTION TAKEN

Notes: _____

Area Plan Checklist

SFY-21-12 Attachment A

PART IV – GOALS, OBJECTIVES, AND ACTION PLANS

Should be **clear and concise**, The following three Arizona Aging 2020 Plan goals are to be incorporated:

- Increase awareness and understanding of aging issues and help prepare Arizona for an aging population;
- Increase the ability of older adults to remain active, healthy and living independently in their communities; and
- Increase the safety and well-being of older Arizonans.

Goals and related objectives; 1) ensure preference is given to older persons with greatest economic or social need with particular attention to low-income minority and older individuals residing in rural areas and individuals at risk of institutional placement as defined in [P.L. 109-365 §306(a)(1), 306(a)(4)(A), 306(a)(4)(B)]. In addition, where a new service is to be developed, the goals and strategic objectives should guide the development effort.

Sample format of action plan:

Goal:			
Objective:			
Action Step	Completion Date	Person Responsible	Output/Outcome

PART V - PREFERENCE TO OLDER PERSONS WITH GREATEST ECONOMIC OR SOCIAL NEED

Should be **clear and concise** no more than 4 paragraphs and address the following:

- How will you ensure that the needs of “preference” are being met? _____
- How will you incorporate published demographic information into your outreach and service delivery? _____
- How will you use outreach efforts to identify individuals eligible for assistance under the Older Americans Act, with special emphasis on older individuals with greatest economic and social need, with particular attention to low-income minority individuals, individuals residing in rural areas, individuals who are Native Americans, individuals at risk of institutional placement and individuals with a severe disability within your planning and service area? _____
- How will you ensure that your service provider(s) will satisfy the service needs of low-income minority individuals, individuals residing in rural areas, individuals who are Native Americans, individuals at risk of institutional placement and individuals with a severe disability in the area being served by the service provider? _____
- How will you ensure that your service provider(s), to the maximum extent feasible, will provide services to low-income minority individuals, individuals residing in rural areas, individuals who are Native Americans, individuals at risk of institutional placement, and individuals with a severe disability in the area being served by the service provider? _____
- How will you ensure that your service provider(s) will meet the specific objectives established by the Area Agency for providing services to low-income minority individuals, individuals residing in rural areas, individuals who are Native Americans, individuals at risk of institutional placement and individuals with a severe disability in the area being served by the service provider? _____
- How will community-based organizations be involved in a meaningful way in the planning and implementation of the Area Plan on Aging? _____

Notes: _____

PART VI – KEY CHANGES TO SERVICE DELIVERY

Should be **clear and concise**, no more than 8 paragraphs and address the following questions: (This section should also provide the initiatives in which the Area Agency on Aging is or will be involved.

- What are the key changes in your service delivery system and why are those changes being made? (Direct delivery of Case Management should be included in this response.) _____

Area Plan Checklist

SFY-21-12 Attachment A

- What is your involvement in the continued efforts to expand, and coordinate the Aging and Disability Resource Consortium? _____
- How will you strengthen programs to provide important protections against threats to the independence, well-being, and financial security of seniors? _____
- How will you ensure that evidence-based health promotion and disease prevention programs (Highest Tier) are implemented in your planning and service areas? _____
- How will you continue to help older adults to avail themselves of the Medicare benefits available to them? When responding, please make sure to focus on the State Health Insurance Assistance Program and the Senior Medicare Patrol as two separate programs.
- What is your involvement in improving the coordination of transportation services to assist elderly individuals in communities within your planning and service area? _____
- What strategies will you use to modernize nutrition programs and senior centers in your planning and service area to target Boomers? _____
- What strategies will you use to offer and/or expand self-directed care options? _____
- What strategies will you use to coordinate with the health care system in your planning and service area to avoid duplication of services and maximize available resources? _____
- What strategies will you implement to support consumer control and choice in your planning and service area? _____
- Describe the planned efforts you will coordinate that will prevent, protect, detect, assess, intervene, and/or investigate elder abuse, neglect, and financial exploitation of vulnerable adults under Title VII?

Notes: _____

PART VII - APPROVED WAIVERS

This section should provide a **clear and concise** listing of the approved waivers received by the Area Agency on Aging for the planning period. The listing may be substituted with copies of the approval letters for the waivers requested.

_____ Yes _____ No

PART VIII - BUDGET

This section should provide a detailed budget for the first year of the planning period. (Attach a copy of the Area Agency on Aging State Fiscal Year 2018 Contract Operating Budget)

_____ Yes _____ No

PART IX - AREA AGENCY SERVICES TO BE FUNDED BY GEOGRAPHICAL AREA

This section should provide a **clear and concise** listing of the anticipated allotments of Area Agency on Aging resources for the delivery of services within the various geographical areas of the Planning and Service Area, i.e., counties, cities, etc.

Sample format for documenting services funded:

	Service #1	Service #2	Service #3	Etc.
City/PSA A				
City/PSA B				
Etc.				

_____ Yes _____ No

Area Plan Assurances

By signing this document, the authorized official commits the Area Agency on Aging to performing all listed assurances and required activities.

(2) Each area agency on aging shall provide assurances that an adequate proportion, as required under section 307(a)(2), of the amount allotted for part B to the planning and service area will be expended for the delivery of each of the following categories of services-

(A) services associated with access to services (transportation, health services (including mental health services), outreach, information and assistance (which may include information and assistance to consumers on availability of services under part B and how to receive benefits under and participate in publicly supported programs for which the consumer may be eligible), and case management services);

(B) in-home services, including supportive services for families of older individuals who are victims of Alzheimer's disease and related disorders with neurological and organic brain dysfunction; and

(C) legal assistance;

and assurances that the area agency on aging will report annually to the State agency in detail the amount of funds expended for each such category during the fiscal year most recently concluded.

(4)(A)(i)(I) provide assurances that the area agency on aging will—

(aa) set specific objectives, consistent with State policy, for providing services to older individuals with greatest economic need, older individuals with greatest social need, and older individuals at risk for institutional placement;

(bb) include specific objectives for providing services to low-income minority older individuals, older individuals with limited English proficiency, and older individuals residing in rural areas; and

(II) include proposed methods to achieve the objectives described in items (aa) and (bb) of subclause (I);

(ii) provide assurances that the area agency on aging will include in each agreement made with a provider of any service under this title, a requirement that such provider will—

(I) specify how the provider intends to satisfy the service needs of low-income minority individuals, older individuals with limited English proficiency, and older individuals residing in rural areas in the area served by the provider;

(II) to the maximum extent feasible, provide services to low-income minority individuals, older individuals with limited English proficiency, and older individuals residing in rural areas in accordance with their need for such services; and

(III) meet specific objectives established by the area agency on aging, for providing services to low-income minority individuals, older individuals with limited English

proficiency, and older individuals residing in rural areas within the planning and service area; and

(4)(A)(iii) With respect to the fiscal year preceding the fiscal year for which such plan is prepared, each area agency on aging shall--

(I) identify the number of low-income minority older individuals and older individuals residing in rural areas in the planning and service area;

(II) describe the methods used to satisfy the service needs of such minority older individuals; and

(III) provide information on the extent to which the area agency on aging met the objectives described in clause (a)(4)(A)(i).

(4)(B)(i) Each area agency on aging shall provide assurances that the area agency on aging will use outreach efforts that will identify individuals eligible for assistance under this Act, with special emphasis on--

(I) older individuals residing in rural areas;

(II) older individuals with greatest economic need (with particular attention to low-income minority individuals and older individuals residing in rural areas);

(III) older individuals with greatest social need (with particular attention to low-income minority individuals and older individuals residing in rural areas);

(IV) older individuals with severe disabilities;

(V) older individuals with limited English proficiency;

(VI) older individuals with Alzheimer's disease and related disorders with neurological and organic brain dysfunction (and the caretakers of such individuals); and

(VII) older individuals at risk for institutional placement; and

(4)(C) Each area agency on agency shall provide assurance that the area agency on aging will ensure that each activity undertaken by the agency, including planning, advocacy, and systems development, will include a focus on the needs of low-income minority older individuals and older individuals residing in rural areas.

(5) Each area agency on aging shall provide assurances that the area agency on aging will coordinate planning, identification, assessment of needs, and provision of services for older individuals with disabilities, with particular attention to individuals with severe disabilities, and individuals at risk for institutional placement, with agencies that develop or provide services for individuals with disabilities.

(6) Each area agency will:

(6)(F) in coordination with the State agency and with the State agency responsible for mental health services, increase public awareness of mental health disorders, remove barriers to diagnosis and treatment, and coordinate mental health services (including mental health screenings) provided with funds expended by the area agency on aging with mental health services provided by community health centers and by other public agencies and nonprofit private organizations;

(9) Each area agency on aging shall provide assurances that the area agency on aging, in carrying out the State Long-Term Care Ombudsman program under section

307(a)(9), will expend not less than the total amount of funds appropriated under this Act and expended by the agency in fiscal year 2000 in carrying out such a program under this title.

(11) Each area agency on aging shall provide information and assurances concerning services to older individuals who are Native Americans (referred to in this paragraph as "older Native Americans"), including-

(A) information concerning whether there is a significant population of older Native Americans in the planning and service area and if so, an assurance that the area agency on aging will pursue activities, including outreach, to increase access of those older Native Americans to programs and benefits provided under this title;

(B) an assurance that the area agency on aging will, to the maximum extent practicable, coordinate the services the agency provides under this title with services provided under title VI; and

(C) an assurance that the area agency on aging will make services under the area plan available, to the same extent as such services are available to older individuals within the planning and service area, to older Native Americans.

(13)(A) Each area agency on aging shall provide assurances that the area agency on aging will maintain the integrity and public purpose of services provided, and service providers, under this title in all contractual and commercial relationships.

(13)(B) Each area agency on aging shall provide assurances that the area agency on aging will disclose to the Assistant Secretary and the State agency--

(i) the identity of each nongovernmental entity with which such agency has a contract or commercial relationship relating to providing any service to older individuals; and

(ii) the nature of such contract or such relationship.

(13)(C) Each area agency on aging shall provide assurances that the area agency will demonstrate that a loss or diminution in the quantity or quality of the services provided, or to be provided, under this title by such agency has not resulted and will not result from such non-governmental contracts or such commercial relationships.

(13)(D) Each area agency on aging shall provide assurances that the area agency will demonstrate that the quantity or quality of the services to be provided under this title by such agency will be enhanced as a result of such non-governmental contracts or commercial relationships.

(13)(E) Each area agency on aging shall provide assurances that the area agency will, on the request of the Assistant Secretary or the State, for the purpose of monitoring compliance with this Act (including conducting an audit), disclose all sources and expenditures of funds such agency receives or expends to provide services to older individuals.

(14) Each area agency on aging shall provide assurances that funds received under this title will not be used to pay any part of a cost (including an administrative cost) incurred by the area agency on aging to carry out a contract or commercial relationship that is not carried out to implement this title.

(15) provide assurances that funds received under this title will be used-

- (A) to provide benefits and services to older individuals, giving priority to older individuals identified in paragraph (4)(A)(i); and
- (B) in compliance with the assurances specified in paragraph (13) and the limitations specified in section 212.

Signature and Title of Authorized Official

Date

REQUEST FOR A DIRECT SERVICE WAIVER

_____ hereby requests a waiver of the requirement to
(Area Agency on Aging)
award sub grants or contracts to service providers for the services identified in this
request.

1. Identify the service(s) to be delivered by the Area Agency.

2. Identify criteria for which the waiver is warranted:

a. Provision of the service(s) by the Area Agency on Aging is necessary to assure an adequate supply of such service.

b. The service(s) is directly related to the Area Agency on Aging's administrative functions.

c. The service(s) can be provided more economically, and with comparable quality, by the Area Agency on Aging.

3. Describe the rationale for the identified criteria (this must be completed for each service):

Signature and Title of Authorized Official

Date

REQUEST FOR AN ADEQUATE PROPORTION WAIVER

_____ hereby requests a waiver of the requirement to
(Area Agency on Aging)

expend an adequate proportion of Title III-B funds as set in the State Plan on

Aging for:

1. Identify the Priority Service Category and respective percentage to be budgeted and expended:

a. Access Services (minimum 16%) _____ Percentage

b. In-Home Services (minimum 8%) _____ Percentage

c. Legal Services (minimum 4%) _____ Percentage

2. Describe the rationale that services furnished for the priority services category(ies) in the planning and service area are sufficient to meet the need for the services in the area:

Signature and Title of Authorized Official

Date

REQUEST FOR A COST SHARING WAIVER

_____ hereby requests a waiver of the
(Area Agency on Aging)

requirements to implement cost sharing within the following area:

(identify planning and service area or applicable county/counties)

for the following permitted service:

- Respite Care
- Personal Care/Attendant Care
- Homemaker/Housekeeping
- Adult Day Care

1. Identify the reason for the waiver:

a. A significant proportion of persons receiving services under this Act subject to cost sharing in the Planning and Service Area have incomes below the threshold established in the Division of Aging and Adult Services policy.

b. Cost sharing would be an unreasonable administrative or financial burden.

2. Describe the rationale for the identified reason:

Signature and Title of Authorized Official

Date

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2021

REGION 1	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS
1. STATE ADMIN.	\$ -	\$ 377,361.00	\$ 377,361.00	\$ -	\$ 377,361.00
2. OAA ADMIN. III C-1	\$ -	\$ 994,040.00	\$ 1,046,485.00	\$ 8,943.00	\$ 1,055,428.00
3. OAA ADMIN. III-E	\$ -	\$ 194,128.00	\$ 206,029.00	\$ 3,668.00	\$ 209,697.00
4. SSBG ADMIN.	\$ -	\$ 339,341.00	\$ 339,341.00	\$ -	\$ 339,341.00
5. TITLE III-B	\$ 795,658.13	\$ 3,627,510.00	\$ 4,542,726.13	\$ 7,649.00	\$ 4,550,375.13
6. TITLE III-C1	\$ 94,218.39	\$ 3,149,878.00	\$ 3,363,891.39	\$ (10,546.00)	\$ 3,353,345.39
7. TITLE III-C2	\$ 12,398.74	\$ 2,538,789.00	\$ 2,725,821.74	\$ 76,437.00	\$ 2,802,258.74
8. TITLE III-D	\$ 45,302.01	\$ 236,490.00	\$ 303,099.01	\$ 1,230.00	\$ 304,329.01
9. TITLE III-E CAREGIVER	\$ 185,218.68	\$ 1,623,049.00	\$ 1,907,767.68	\$ 30,726.00	\$ 1,938,493.68
10. NSIP	\$ -	\$ 625,380.00	\$ 673,829.00	\$ (6,661.00)	\$ 667,168.00
11. TITLE VII ELDER ABUSE	\$ -	\$ 24,436.00	\$ 24,436.00	\$ (2,028.00)	\$ 22,408.00
12. TITLE VII FED. OMB	\$ -	\$ 168,114.00	\$ 168,114.00	\$ 7,747.00	\$ 175,861.00
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 2,749,815.00	\$ 2,749,815.00	\$ -	\$ 2,749,815.00
14. STATE OMBUDSMAN	\$ -	\$ 395,301.00	\$ 395,301.00	\$ -	\$ 395,301.00
15. STATE RESPITE	\$ -	\$ 251,137.00	\$ 251,137.00	\$ -	\$ 251,137.00
16. SSBG (SERVICES)	\$ -	\$ 3,192,525.00	\$ 3,192,525.00	\$ -	\$ 3,192,525.00
17. S.H.I.P.	\$ -	\$ 262,846.00	\$ 301,171.00	\$ 97,690.00	\$ 398,861.00
18. SENIOR MEDICARE PATROL	\$ 7,648.00	\$ 84,925.00	\$ 92,573.00	\$ 7,714.00	\$ 100,287.00
19. REFUGEE	\$ 14,224.29	\$ -	\$ 106,219.29	\$ -	\$ 106,219.29
20. SSBG - HCB WAIT LIST	\$ -	\$ -	\$ 1,338,919.00	\$ -	\$ 1,338,919.00
21. SSBG - ONE-TIME ADMIN.	\$ -	\$ 52,377.00	\$ 52,377.00	\$ -	\$ 52,377.00
22. SSBG - ONE-TIME (SERVICES)	\$ -	\$ 471,389.00	\$ 471,389.00	\$ -	\$ 471,389.00
23. ADULT DAY HEALTH	\$ 36,940.91	\$ -	\$ 51,940.91	\$ -	\$ 51,940.91
24. MIPPA - SHIP	\$ 3,376.93	\$ -	\$ 118,976.93	\$ -	\$ 118,976.93
25. MIPPA - AAA	\$ 7,913.07	\$ -	\$ 71,641.07	\$ -	\$ 71,641.07
26. MIPPA - ADRC	\$ -	\$ -	\$ 59,733.00	\$ -	\$ 59,733.00
27. TITLE III-C2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 1,537,215.00	\$ -	\$ 1,537,215.00
28. OAA ADMIN. III C-2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 183,866.00	\$ -	\$ 183,866.00

REGION 1	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS		
29. FFCRA - C1	\$ 385,029.19	\$ -	\$ 385,029.19	\$ -	\$ 385,029.19		
30. FFCRA - C1 ADMIN	\$ 120,371.85	\$ -	\$ 120,371.85	\$ -	\$ 120,371.85		
31. FFCRA - C2	\$ 662,223.85	\$ -	\$ 662,223.85	\$ -	\$ 662,223.85		
32. CARES ACT - III-B	\$ 2,006,434.48	\$ -	\$ 2,006,434.48	\$ -	\$ 2,006,434.48		
33. CARES ACT - III-C1 ADMIN	\$ 736,919.00	\$ -	\$ 736,919.00	\$ -	\$ 736,919.00		
34. CARES ACT - III-C2	\$ 4,145,838.00	\$ -	\$ 4,145,838.00	\$ -	\$ 4,145,838.00		
35. CARES ACT - III-E	\$ 960,838.00	\$ -	\$ 960,838.00	\$ -	\$ 960,838.00		
36. CARES ACT - III-E ADMIN	\$ 114,882.00	\$ -	\$ 114,882.00	\$ -	\$ 114,882.00		
37. CARES ACT - VII - FED OMB	\$ 133,328.00	\$ -	\$ 133,328.00	\$ -	\$ 133,328.00		
38. CARES ACT - ADRC	\$ 454,423.00	\$ -	\$ 454,423.00	\$ -	\$ 454,423.00		
TOTAL	\$ 10,923,186.52	\$ 21,358,831.00	\$ 36,373,987.52	\$ 222,569.00	\$ 36,596,556.52		

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2021

REGION 2	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS
1. STATE ADMIN.	\$ -	\$ 170,042.00	\$ 170,042.00	\$ -	\$ 170,042.00
2. OAA ADMIN. III C-1	\$ -	\$ 367,559.00	\$ 384,828.00	\$ 2,945.00	\$ 387,773.00
3. OAA ADMIN. III-E	\$ -	\$ 63,922.00	\$ 67,841.00	\$ 1,208.00	\$ 69,049.00
4. SSBG ADMIN.	\$ -	\$ 180,947.00	\$ 180,947.00	\$ -	\$ 180,947.00
5. TITLE III-B	\$ 154,448.00	\$ 1,263,415.00	\$ 1,458,992.00	\$ 2,630.00	\$ 1,461,622.00
6. TITLE III-C1	\$ 92,725.00	\$ 1,100,500.00	\$ 1,234,435.00	\$ (3,628.00)	\$ 1,230,807.00
7. TITLE III-C2	\$ 58,423.00	\$ 876,520.00	\$ 995,017.00	\$ 26,295.00	\$ 1,021,312.00
8. TITLE III-D	\$ 2,624.00	\$ 81,353.00	\$ 91,306.00	\$ 424.00	\$ 91,730.00
9. TITLE III-E CAREGIVER	\$ 57,536.00	\$ 558,331.00	\$ 650,095.00	\$ 10,569.00	\$ 660,664.00
10. NSIP	\$ -	\$ 176,035.00	\$ 190,033.00	\$ (1,924.00)	\$ 188,109.00
11. TITLE VII ELDER ABUSE	\$ -	\$ 8,406.00	\$ 8,406.00	\$ (698.00)	\$ 7,708.00
12. TITLE VII FED. OMB	\$ -	\$ 57,831.00	\$ 57,831.00	\$ 2,666.00	\$ 60,497.00
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 1,246,469.00	\$ 1,246,469.00	\$ -	\$ 1,246,469.00
14. STATE OMBUDSMAN	\$ -	\$ 197,057.00	\$ 197,057.00	\$ -	\$ 197,057.00
15. STATE RESPITE	\$ -	\$ 86,849.00	\$ 86,849.00	\$ -	\$ 86,849.00
16. SSBG (SERVICES)	\$ -	\$ 1,658,895.00	\$ 1,658,895.00	\$ -	\$ 1,658,895.00
17. S.H.I.P.	\$ -	\$ 84,887.00	\$ 97,264.00	\$ 31,549.00	\$ 128,813.00
18. SENIOR MEDICARE PATROL	\$ -	\$ 28,448.00	\$ 28,448.00	\$ 2,571.00	\$ 31,019.00
19. SSBG - HCB WAIT LIST	\$ -	\$ -	\$ 979,730.00	\$ -	\$ 979,730.00
20. SSBG - ONE-TIME ADMIN.	\$ -	\$ 23,601.00	\$ 23,601.00	\$ -	\$ 23,601.00
21. SSBG - ONE-TIME (SERVICES)	\$ -	\$ 212,411.00	\$ 212,411.00	\$ -	\$ 212,411.00
23. ADULT DAY HEALTH	\$ 3,803.53	\$ -	\$ 7,803.53	\$ 5,000.00	\$ 12,803.53
24. MIPPA - SHIP	\$ 182.00	\$ -	\$ 37,261.00	\$ -	\$ 37,261.00
25. MIPPA - AAA	\$ 2,453.22	\$ -	\$ 22,894.22	\$ -	\$ 22,894.22
26. MIPPA - ADRC	\$ 1,640.99	\$ -	\$ 20,801.99	\$ -	\$ 20,801.99
27. TITLE III-C2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 528,803.00	\$ -	\$ 528,803.00
28. OAA ADMIN. III C-2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 60,543.00	\$ -	\$ 60,543.00

REGION 2	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS		
29. FFCRA - C1	\$ 106,768.80	\$ -	\$ 106,768.80	\$ -	\$ 106,768.80		
30. FFCRA - C1 ADMIN	\$ 10,965.63	\$ -	\$ 10,965.63	\$ -	\$ 10,965.63		
31. FFCRA - C2	\$ 355,252.17	\$ -	\$ 355,252.17	\$ -	\$ 355,252.17		
32. CARES ACT - III-B	\$ 693,075.00	\$ -	\$ 693,075.00	\$ -	\$ 693,075.00		
33. CARES ACT - III-C1 ADMIN	\$ 242,651.00	\$ -	\$ 242,651.00	\$ -	\$ 242,651.00		
34. CARES ACT - III-C2	\$ 1,388,080.68	\$ -	\$ 1,388,080.68	\$ -	\$ 1,388,080.68		
35. CARES ACT - III-E	\$ 330,530.00	\$ -	\$ 330,530.00	\$ -	\$ 330,530.00		
36. CARES ACT - III-E ADMIN	\$ 37,828.00	\$ -	\$ 37,828.00	\$ -	\$ 37,828.00		
37. CARES ACT - VII - FED OMB	\$ 45,863.00	\$ -	\$ 45,863.00	\$ -	\$ 45,863.00		
38. CARES ACT - ADRC	\$ 155,617.00	\$ -	\$ 155,617.00	\$ -	\$ 155,617.00		
TOTAL	\$ 3,740,467.02	\$ 8,443,478.00	\$ 14,065,235.02	\$ 79,607.00	\$ 14,144,842.02		

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2021

REGION 3	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS
1. STATE ADMIN.	\$ -	\$ 70,863.00	\$ 70,863.00	\$ -	\$ 70,863.00
2. OAA ADMIN. III C-1	\$ -	\$ 205,140.00	\$ 213,289.00	\$ 1,390.00	\$ 214,679.00
3. OAA ADMIN. III-E	\$ -	\$ 30,165.00	\$ 32,015.00	\$ 570.00	\$ 32,585.00
4. SSBG ADMIN.	\$ -	\$ 69,867.00	\$ 69,867.00	\$ -	\$ 69,867.00
5. TITLE III-B	\$ 270,243.61	\$ 740,298.00	\$ 1,034,244.61	\$ 1,517.00	\$ 1,035,761.61
6. TITLE III-C1	\$ 18,605.00	\$ 647,491.00	\$ 689,846.00	\$ (2,091.00)	\$ 687,755.00
7. TITLE III-C2	\$ 7,243.00	\$ 507,648.00	\$ 549,514.00	\$ 15,155.00	\$ 564,669.00
8. TITLE III-D	\$ 41,880.00	\$ 46,886.00	\$ 92,990.00	\$ 244.00	\$ 93,234.00
9. TITLE III-E CAREGIVER	\$ 103,444.17	\$ 321,786.00	\$ 444,956.17	\$ 6,092.00	\$ 451,048.17
10. NSIP	\$ -	\$ 167,234.00	\$ 180,695.00	\$ (1,850.00)	\$ 178,845.00
11. TITLE VII ELDER ABUSE	\$ 3,564.00	\$ 4,845.00	\$ 8,409.00	\$ (402.00)	\$ 8,007.00
12. TITLE VII FED. OMB	\$ 42,167.00	\$ 33,331.00	\$ 75,498.00	\$ 1,536.00	\$ 77,034.00
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 557,184.00	\$ 557,184.00	\$ -	\$ 557,184.00
14. STATE OMBUDSMAN	\$ -	\$ 46,376.00	\$ 46,376.00	\$ -	\$ 46,376.00
15. STATE RESPITE	\$ -	\$ 34,204.00	\$ 34,204.00	\$ -	\$ 34,204.00
16. SSBG (SERVICES)	\$ -	\$ 632,376.00	\$ 632,376.00	\$ -	\$ 632,376.00
17. S.H.I.P.	\$ -	\$ 52,016.00	\$ 59,600.00	\$ 19,332.00	\$ 78,932.00
18. SENIOR MEDICARE PATROL	\$ -	\$ 17,764.00	\$ 17,764.00	\$ 1,598.00	\$ 19,362.00
19. SSBG - HCB WAIT LIST	\$ -	\$ -	\$ 475,676.00	\$ -	\$ 475,676.00
20. SSBG - ONE-TIME ADMIN.	\$ -	\$ 9,835.00	\$ 9,835.00	\$ -	\$ 9,835.00
21. SSBG - ONE-TIME (SERVICES)	\$ -	\$ 88,520.00	\$ 88,520.00	\$ -	\$ 88,520.00
22. ADULT DAY HEALTH	\$ 2,208.94	\$ -	\$ 3,208.94	\$ -	\$ 3,208.94
23. MIPPA - SHIP	\$ 14,077.00	\$ -	\$ 38,070.00	\$ -	\$ 38,070.00
24. MIPPA - AAA	\$ 2,323.00	\$ -	\$ 15,550.00	\$ -	\$ 15,550.00
25. MIPPA - ADRC	\$ 1,500.00	\$ -	\$ 13,897.00	\$ -	\$ 13,897.00
27. TITLE III-C2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 304,767.00	\$ -	\$ 304,767.00
28. OAA ADMIN. III C-2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 28,571.00	\$ -	\$ 28,571.00

REGION 3	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS	
29. FFCRA - C1	\$ 2.00	\$ -	\$ 2.00	\$ -	\$ 2.00	
30. FFCRA - C1 ADMIN	\$ 7,869.84	\$ -	\$ 7,869.84	\$ -	\$ 7,869.84	
31. FFCRA - C2	\$ 120.00	\$ -	\$ 120.00	\$ -	\$ 120.00	
32. CARES ACT - III-B	\$ 293,102.77	\$ -	\$ 293,102.77	\$ -	\$ 293,102.77	
33. CARES ACT - III-C1 ADMIN	\$ -	\$ -	\$ -	\$ -	\$ -	
34. CARES ACT - III-C2	\$ 214,994.00	\$ -	\$ 214,994.00	\$ -	\$ 214,994.00	
35. CARES ACT - III-E	\$ 208,347.00	\$ -	\$ 208,347.00	\$ -	\$ 208,347.00	
36. CARES ACT - III-E ADMIN	\$ -	\$ -	\$ -	\$ -	\$ -	
37. CARES ACT - VII - FED OMB	\$ 26,433.00	\$ -	\$ 26,433.00	\$ -	\$ 26,433.00	
38. CARES ACT - ADRC	\$ 88,039.00	\$ -	\$ 88,039.00	\$ -	\$ 88,039.00	
TOTAL	\$ 1,346,163.33	\$ 4,283,829.00	\$ 6,626,693.33	\$ 43,091.00	\$ 6,669,784.33	

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2021

REGION 4	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS
1. STATE ADMIN.	\$ -	\$ 68,260.00	\$ 68,260.00	\$ -	\$ 68,260.00
2. OAA ADMIN. III C-1	\$ 13,885.53	\$ 224,068.00	\$ 247,165.53	\$ 1,571.00	\$ 248,736.53
3. OAA ADMIN. III-E	\$ 2,566.19	\$ 34,099.00	\$ 38,756.19	\$ 644.00	\$ 39,400.19
4. SSBG ADMIN.	\$ -	\$ 58,389.00	\$ 58,389.00	\$ -	\$ 58,389.00
5. TITLE III-B	\$ 207,846.18	\$ 749,760.00	\$ 981,672.18	\$ 1,540.00	\$ 983,212.18
6. TITLE III-C1	\$ 17,227.00	\$ 655,370.00	\$ 696,709.00	\$ (2,122.00)	\$ 694,587.00
7. TITLE III-C2	\$ 71,916.71	\$ 515,027.00	\$ 622,095.71	\$ 15,386.00	\$ 637,481.71
8. TITLE III-D	\$ 3,666.58	\$ 47,602.00	\$ 55,558.58	\$ 247.00	\$ 55,805.58
9. TITLE III-E CAREGIVER	\$ 69,716.61	\$ 326,701.00	\$ 416,446.61	\$ 6,184.00	\$ 422,630.61
10. NSIP	\$ -	\$ 167,686.00	\$ 181,534.00	\$ (1,904.00)	\$ 179,630.00
11. TITLE VII ELDER ABUSE	\$ -	\$ 4,920.00	\$ 4,920.00	\$ (408.00)	\$ 4,512.00
12. TITLE VII FED. OMB	\$ 748.54	\$ 33,839.00	\$ 34,587.54	\$ 1,558.00	\$ 36,145.54
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 536,499.00	\$ 536,499.00	\$ -	\$ 536,499.00
14. STATE OMBUDSMAN	\$ -	\$ 43,095.00	\$ 43,095.00	\$ -	\$ 43,095.00
15. STATE RESPITE	\$ -	\$ 34,743.00	\$ 34,743.00	\$ -	\$ 34,743.00
16. SSBG (SERVICES)	\$ -	\$ 690,696.00	\$ 690,696.00	\$ -	\$ 690,696.00
17. S.H.I.P.	\$ -	\$ 40,746.00	\$ 46,687.00	\$ 15,144.00	\$ 61,831.00
18. SENIOR MEDICARE PATROL	\$ -	\$ 14,711.00	\$ 14,711.00	\$ 1,320.00	\$ 16,031.00
19. SSBG - HCB WAIT LIST	\$ -	\$ -	\$ 405,405.00	\$ -	\$ 405,405.00
20. SSBG - ONE-TIME ADMIN.	\$ -	\$ 9,474.00	\$ 9,474.00	\$ -	\$ 9,474.00
21. SSBG - ONE-TIME (SERVICES)	\$ -	\$ 85,268.00	\$ 85,268.00	\$ -	\$ 85,268.00
22. MIPPA - SHIP	\$ 4,977.00	\$ -	\$ 22,426.00	\$ -	\$ 22,426.00
23. MIPPA - AAA	\$ 2,245.00	\$ -	\$ 11,864.00	\$ -	\$ 11,864.00
24. MIPPA - ADRC	\$ -	\$ -	\$ 9,016.00	\$ -	\$ 9,016.00
27. TITLE III-C2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 309,424.00	\$ -	\$ 309,424.00
28. OAA ADMIN. III C-2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 32,297.00	\$ -	\$ 32,297.00

REGION 4	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS	
29. FFCRA - C1	\$ 7,371.27	\$ -	\$ 7,371.27	\$ -	\$ 7,371.27	
30. FFCRA - C1 ADMIN	\$ 24,390.34	\$ -	\$ 24,390.34	\$ -	\$ 24,390.34	
31. FFCRA - C2	\$ 186,419.69	\$ -	\$ 186,419.69	\$ -	\$ 186,419.69	
32. CARES ACT - III-B	\$ 379,926.46	\$ -	\$ 379,926.46	\$ -	\$ 379,926.46	
33. CARES ACT - III-C1 ADMIN	\$ 125,895.70	\$ -	\$ 125,895.70	\$ -	\$ 125,895.70	
34. CARES ACT - III-C2	\$ 801,945.40	\$ -	\$ 801,945.40	\$ -	\$ 801,945.40	
35. CARES ACT - III-E	\$ 189,783.15	\$ -	\$ 189,783.15	\$ -	\$ 189,783.15	
36. CARES ACT - III-E ADMIN	\$ 19,109.78	\$ -	\$ 19,109.78	\$ -	\$ 19,109.78	
37. CARES ACT - VII - FED OMB	\$ 26,668.02	\$ -	\$ 26,668.02	\$ -	\$ 26,668.02	
38. CARES ACT - ADRC	\$ 90,242.00	\$ -	\$ 90,242.00	\$ -	\$ 90,242.00	
TOTAL	\$ 2,246,547.15	\$ 4,340,953.00	\$ 7,509,451.15	\$ 39,160.00	\$ 7,548,611.15	

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2021

REGION 5	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS
1. STATE ADMIN.	\$ -	\$ 56,934.00	\$ 56,934.00	\$ -	\$ 56,934.00
2. OAA ADMIN. III C-1	\$ -	\$ 181,374.00	\$ 188,188.00	\$ 1,163.00	\$ 189,351.00
3. OAA ADMIN. III-E	\$ -	\$ 25,226.00	\$ 26,772.00	\$ 477.00	\$ 27,249.00
4. SSBG ADMIN.	\$ -	\$ 44,356.00	\$ 44,356.00	\$ -	\$ 44,356.00
5. TITLE III-B	\$ 71,595.55	\$ 573,312.00	\$ 663,131.55	\$ 1,166.00	\$ 664,297.55
6. TITLE III-C1	\$ 115,458.30	\$ 502,332.00	\$ 636,049.30	\$ (1,607.00)	\$ 634,442.30
7. TITLE III-C2	\$ 1,792.00	\$ 391,141.00	\$ 419,552.00	\$ 11,651.00	\$ 431,203.00
8. TITLE III-D	\$ -	\$ 36,048.00	\$ 39,295.00	\$ 188.00	\$ 39,483.00
9. TITLE III-E CAREGIVER	\$ 29,035.55	\$ 247,397.00	\$ 291,599.55	\$ 4,683.00	\$ 296,282.55
10. NSIP	\$ -	\$ 124,589.00	\$ 134,764.00	\$ (1,399.00)	\$ 133,365.00
11. TITLE VII ELDER ABUSE	\$ -	\$ 3,725.00	\$ 3,725.00	\$ (310.00)	\$ 3,415.00
12. TITLE VII FED. OMB	\$ -	\$ 25,626.00	\$ 25,626.00	\$ 1,181.00	\$ 26,807.00
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 452,631.00	\$ 452,631.00	\$ -	\$ 452,631.00
14. STATE OMBUDSMAN	\$ -	\$ 37,080.00	\$ 37,080.00	\$ -	\$ 37,080.00
15. STATE RESPITE	\$ -	\$ 22,695.00	\$ 22,695.00	\$ -	\$ 22,695.00
16. SSBG (SERVICES)	\$ -	\$ 477,408.00	\$ 477,408.00	\$ -	\$ 477,408.00
17. S.H.I.P.	\$ -	\$ 35,821.00	\$ 41,044.00	\$ 13,313.00	\$ 54,357.00
18. SENIOR MEDICARE PATROL	\$ -	\$ 13,185.00	\$ 13,185.00	\$ 1,181.00	\$ 14,366.00
19. SSBG - HCB WAIT LIST	\$ -	\$ -	\$ 385,135.00	\$ -	\$ 385,135.00
20. SSBG - ONE-TIME ADMIN.	\$ -	\$ 7,902.00	\$ 7,902.00	\$ -	\$ 7,902.00
21. SSBG - ONE-TIME (SERVICES)	\$ -	\$ 71,120.00	\$ 71,120.00	\$ -	\$ 71,120.00
22. ADULT DAY HEALTH	\$ 4,208.51	\$ -	\$ 4,208.51	\$ (4,208.51)	\$ -
23. MIPPA - SHIP	\$ -	\$ -	\$ 15,268.00	\$ -	\$ 15,268.00
24. MIPPA - AAA	\$ -	\$ -	\$ 8,417.00	\$ -	\$ 8,417.00
25. MIPPA - ADRC	\$ -	\$ -	\$ 7,889.00	\$ -	\$ 7,889.00
26. TITLE III-C2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 234,313.00	\$ -	\$ 234,313.00
27. OAA ADMIN. III C-2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 23,892.00	\$ -	\$ 23,892.00

REGION 5	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS	
28. FFCRA - C1	\$ 994.72	\$ -	\$ 994.72	\$ -	\$ 994.72	
29. FFCRA - C1 ADMIN	\$ -	\$ -	\$ -	\$ -	\$ -	
30. FFCRA - C2	\$ 168,766.32	\$ -	\$ 168,766.32	\$ -	\$ 168,766.32	
31. CARES ACT - III-B	\$ 306,704.44	\$ -	\$ 306,704.44	\$ -	\$ 306,704.44	
32. CARES ACT - III-C1 ADMIN	\$ 92,454.31	\$ -	\$ 92,454.31	\$ -	\$ 92,454.31	
33. CARES ACT - III-C2	\$ 628,180.89	\$ -	\$ 628,180.89	\$ -	\$ 628,180.89	
34. CARES ACT - III-E	\$ 146,458.00	\$ -	\$ 146,458.00	\$ -	\$ 146,458.00	
35. CARES ACT - III-E ADMIN	\$ 14,684.60	\$ -	\$ 14,684.60	\$ -	\$ 14,684.60	
36. CARES ACT - VII - FED OMB	\$ 20,322.00	\$ -	\$ 20,322.00	\$ -	\$ 20,322.00	
37. CARES ACT - ADRC	\$ 68,189.00	\$ -	\$ 68,189.00	\$ -	\$ 68,189.00	
TOTAL	\$ 1,668,844.19	\$ 3,329,902.00	\$ 5,778,934.19	\$ 27,478.49	\$ 5,806,412.68	

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2021

REGION 6	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS
1. STATE ADMIN.	\$ -	\$ 53,108.00	\$ 53,108.00	\$ -	\$ 53,108.00
2. OAA ADMIN. III C-1	\$ 31,838.58	\$ 134,886.00	\$ 170,929.58	\$ 717.00	\$ 171,646.58
3. OAA ADMIN. III-E	\$ 3.15	\$ 15,564.00	\$ 16,521.15	\$ 294.00	\$ 16,815.15
4. SSBG ADMIN.	\$ -	\$ 58,674.00	\$ 58,674.00	\$ -	\$ 58,674.00
5. TITLE III-B	\$ 185,920.36	\$ 389,985.00	\$ 588,053.36	\$ 777.00	\$ 588,830.36
6. TITLE III-C1	\$ 83,054.33	\$ 343,367.00	\$ 438,594.33	\$ (1,072.00)	\$ 437,522.33
7. TITLE III-C2	\$ 19,900.89	\$ 262,330.00	\$ 299,974.89	\$ 7,767.00	\$ 307,741.89
8. TITLE III-D	\$ 2,516.73	\$ 24,029.00	\$ 28,710.73	\$ 125.00	\$ 28,835.73
9. TITLE III-E CAREGIVER	\$ 61,883.67	\$ 164,915.00	\$ 236,909.67	\$ 3,121.00	\$ 240,030.67
10. NSIP	\$ -	\$ 102,410.00	\$ 110,188.00	\$ (1,070.00)	\$ 109,118.00
11. TITLE VII ELDER ABUSE	\$ -	\$ 2,483.00	\$ 2,483.00	\$ (206.00)	\$ 2,277.00
12. TITLE VII FED. OMB	\$ 7,374.07	\$ 17,082.00	\$ 24,456.07	\$ 788.00	\$ 25,244.07
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 423,133.00	\$ 423,133.00	\$ -	\$ 423,133.00
14. STATE OMBUDSMAN	\$ -	\$ 35,207.00	\$ 35,207.00	\$ -	\$ 35,207.00
15. STATE RESPITE	\$ -	\$ 19,628.00	\$ 19,628.00	\$ -	\$ 19,628.00
16. SSBG (SERVICES)	\$ -	\$ 544,452.00	\$ 544,452.00	\$ -	\$ 544,452.00
17. S.H.I.P.	\$ -	\$ 18,767.00	\$ 21,504.00	\$ 6,976.00	\$ 28,480.00
18. SENIOR MEDICARE PATROL	\$ -	\$ 8,605.00	\$ 8,605.00	\$ 764.00	\$ 9,369.00
19. SSBG - HCB WAIT LIST	\$ -	\$ -	\$ 215,135.00	\$ -	\$ 215,135.00
20. SSBG - ONE-TIME ADMIN.	\$ -	\$ 7,371.00	\$ 7,371.00	\$ -	\$ 7,371.00
21. SSBG - ONE-TIME (SERVICES)	\$ -	\$ 66,341.00	\$ 66,341.00	\$ -	\$ 66,341.00
22. MIPPA - SHIP	\$ -	\$ -	\$ 8,725.00	\$ -	\$ 8,725.00
23. MIPPA - AAA	\$ -	\$ -	\$ 4,810.00	\$ -	\$ 4,810.00
24. MIPPA - ADRC	\$ -	\$ -	\$ 4,508.00	\$ -	\$ 4,508.00
25. TITLE III-C2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 156,194.00	\$ -	\$ 156,194.00
26. OAA ADMIN. III C-2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 14,741.00	\$ -	\$ 14,741.00

REGION 6	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS	
27. FFCRA - C1	\$ 27,970.27	\$ -	\$ 27,970.27	\$ -	\$ 27,970.27	
28. FFCRA - C1 ADMIN	\$ 16,589.93	\$ -	\$ 16,589.93	\$ -	\$ 16,589.93	
29. FFCRA - C2	\$ 53,128.75	\$ -	\$ 53,128.75	\$ -	\$ 53,128.75	
30. CARES ACT - III-B	\$ 204,715.00	\$ -	\$ 204,715.00	\$ -	\$ 204,715.00	
31. CARES ACT - III-C1 ADMIN	\$ 59,082.00	\$ -	\$ 59,082.00	\$ -	\$ 59,082.00	
32. CARES ACT - III-C2	\$ 382,312.00	\$ -	\$ 382,312.00	\$ -	\$ 382,312.00	
33. CARES ACT - III-E	\$ 97,629.00	\$ -	\$ 97,629.00	\$ -	\$ 97,629.00	
34. CARES ACT - III-E ADMIN	\$ 9,211.00	\$ -	\$ 9,211.00	\$ -	\$ 9,211.00	
35. CARES ACT - VII - FED OMB	\$ 13,546.00	\$ -	\$ 13,546.00	\$ -	\$ 13,546.00	
36. CARES ACT - ADRC	\$ 45,146.00	\$ -	\$ 45,146.00	\$ -	\$ 45,146.00	
TOTAL	\$ 1,301,821.73	\$ 2,692,337.00	\$ 4,468,286.73	\$ 18,981.00	\$ 4,487,267.73	

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2021

REGION 7	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS
1. STATE ADMIN.	\$ -	\$ 22,678.00	\$ 22,678.00	\$ -	\$ 22,678.00
2. OAA ADMIN. III C-1	\$ 15,235.00	\$ 152,002.00	\$ 172,403.00	\$ 881.00	\$ 173,284.00
3. OAA ADMIN. III-E	\$ 697.30	\$ 19,121.00	\$ 20,991.30	\$ 361.00	\$ 21,352.30
4. SSBG ADMIN.	\$ -	\$ -	\$ -	\$ -	\$ -
5. TITLE III-B	\$ 46,241.00	\$ 459,139.00	\$ 520,972.00	\$ 918.00	\$ 521,890.00
6. TITLE III-C1	\$ 42,843.00	\$ 426,940.00	\$ 486,801.00	\$ (1,102.00)	\$ 485,699.00
7. TITLE III-C2	\$ 30,927.00	\$ 310,334.00	\$ 362,305.00	\$ 8,933.00	\$ 371,238.00
8. TITLE III-D	\$ 2,892.00	\$ 28,549.00	\$ 33,936.00	\$ 124.00	\$ 34,060.00
9. TITLE III-E CAREGIVER	\$ 21,054.70	\$ 197,973.00	\$ 231,160.70	\$ 3,622.00	\$ 234,782.70
10. NSIP	\$ -	\$ 369,208.00	\$ 392,370.00	\$ (3,184.00)	\$ 389,186.00
11. TITLE VII ELDER ABUSE	\$ 340.00	\$ 3,185.00	\$ 3,525.00	\$ (217.00)	\$ 3,308.00
12. TITLE VII FED. OMB	\$ 2,024.00	\$ 20,370.00	\$ 22,394.00	\$ 968.00	\$ 23,362.00
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 167,733.00	\$ 167,733.00	\$ -	\$ 167,733.00
14. STATE OMBUDSMAN	\$ -	\$ 30,000.00	\$ 30,000.00	\$ -	\$ 30,000.00
15. STATE RESPITE	\$ -	\$ 6,372.00	\$ 6,372.00	\$ -	\$ 6,372.00
16. SSBG (SERVICES)	\$ -	\$ -	\$ -	\$ -	\$ -
17. S.H.I.P.	\$ -	\$ -	\$ -	\$ -	\$ -
18. SENIOR MEDICARE PATROL	\$ -	\$ -	\$ -	\$ -	\$ -
19. SSBG - ONE-TIME ADMIN.	\$ -	\$ 3,148.00	\$ 3,148.00	\$ -	\$ 3,148.00
20. SSBG - ONE-TIME (SERVICES)	\$ -	\$ 28,329.00	\$ 28,329.00	\$ -	\$ 28,329.00
21. TITLE III-C2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 187,580.00	\$ -	\$ 187,580.00
22. OAA ADMIN. III C-2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 18,111.00	\$ -	\$ 18,111.00

REGION 7	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS	
23. FFCRA - C1	\$ 71,361.00	\$ -	\$ 71,361.00	\$ -	\$ 71,361.00	
24. FFCRA - C1 ADMIN	\$ 25,619.00	\$ -	\$ 25,619.00	\$ -	\$ 25,619.00	
25. FFCRA - C2	\$ 194,295.00	\$ -	\$ 194,295.00	\$ -	\$ 194,295.00	
26. CARES ACT - III-B	\$ 242,869.00	\$ -	\$ 242,869.00	\$ -	\$ 242,869.00	
27. CARES ACT - III-C1 ADMIN	\$ 72,585.00	\$ -	\$ 72,585.00	\$ -	\$ 72,585.00	
28. CARES ACT - III-C2	\$ 509,821.00	\$ -	\$ 509,821.00	\$ -	\$ 509,821.00	
29. CARES ACT - III-E	\$ 116,424.00	\$ -	\$ 116,424.00	\$ -	\$ 116,424.00	
30. CARES ACT - III-E ADMIN	\$ 11,316.00	\$ -	\$ 11,316.00	\$ -	\$ 11,316.00	
31. CARES ACT - VII - FED OMB	\$ 17,065.00	\$ -	\$ 17,065.00	\$ -	\$ 17,065.00	
32. CARES ACT - ADRC	\$ 54,377.00	\$ -	\$ 54,377.00	\$ -	\$ 54,377.00	
TOTAL	\$ 1,477,986.00	\$ 2,245,081.00	\$ 4,026,541.00	\$ 11,304.00	\$ 4,037,845.00	

REGION 8	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISSED TOTAL SFY 2021 AWARDS		
23. FFCRA - C1	\$ 42,841.76	\$ -	\$ 42,841.76	\$ -	\$ 42,841.76		
24. FFCRA - C1 ADMIN	\$ -	\$ -	\$ -	\$ -	\$ -		
25. FFCRA - C2	\$ 142,414.00	\$ -	\$ 142,414.00	\$ -	\$ 142,414.00		
26. CARES ACT - III-B	\$ 196,393.00	\$ -	\$ 196,393.00	\$ -	\$ 196,393.00		
27. CARES ACT - III-C1 ADMIN	\$ 47,934.72	\$ -	\$ 47,934.72	\$ -	\$ 47,934.72		
28. CARES ACT - III-C2	\$ 404,128.00	\$ -	\$ 404,128.00	\$ -	\$ 404,128.00		
29. CARES ACT - III-E	\$ 93,661.00	\$ -	\$ 93,661.00	\$ -	\$ 93,661.00		
30. CARES ACT - III-E ADMIN	\$ -	\$ -	\$ -	\$ -	\$ -		
31. CARES ACT - VII - FED OMB	\$ 3,564.00	\$ -	\$ 3,564.00	\$ -	\$ 3,564.00		
32. CARES ACT - ADRC	\$ 43,967.00	\$ -	\$ 43,967.00	\$ -	\$ 43,967.00		
TOTAL	\$ 1,117,153.54	\$ 1,722,919.00	\$ 3,075,686.54	\$ 19,840.00	\$ 3,095,526.54		

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2021

	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 AWARDS	INCREASE/ (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS
STATE TOTAL					
1. STATE ADMIN.	\$ -	\$ 843,682.00	\$ 843,682.00	\$ -	\$ 843,682.00
2. OAA ADMIN. III C-1	\$ 60,959.36	\$ 2,403,708.00	\$ 2,572,679.36	\$ 18,421.00	\$ 2,591,100.36
3. OAA ADMIN. III-E	\$ 3,266.64	\$ 399,816.00	\$ 427,595.64	\$ 7,554.00	\$ 435,149.64
4. SSBG ADMIN.	\$ -	\$ 751,574.00	\$ 751,574.00	\$ -	\$ 751,574.00
5. TITLE III-B	\$ 1,780,729.94	\$ 8,177,276.00	\$ 10,224,080.94	\$ 16,943.00	\$ 10,241,023.94
6. TITLE III-C1	\$ 511,489.08	\$ 7,154,989.00	\$ 7,934,472.08	\$ (23,195.00)	\$ 7,911,277.08
7. TITLE III-C2	\$ 233,288.42	\$ 5,653,400.00	\$ 6,273,600.42	\$ 169,075.00	\$ 6,442,675.42
8. TITLE III-D	\$ 98,881.32	\$ 524,009.00	\$ 670,024.32	\$ 2,702.00	\$ 672,726.32
9. TITLE III-E CAREGIVER	\$ 538,714.44	\$ 3,598,363.00	\$ 4,357,670.44	\$ 67,992.00	\$ 4,425,662.44
10. NSIP	\$ -	\$ 1,830,611.00	\$ 1,968,911.00	\$ (19,013.00)	\$ 1,949,898.00
11. TITLE VII ELDER ABUSE	\$ 3,904.00	\$ 54,381.00	\$ 58,285.00	\$ (4,466.00)	\$ 53,819.00
12. TITLE VII FED. OMB	\$ 56,916.11	\$ 372,580.00	\$ 429,496.11	\$ 17,199.00	\$ 446,695.11
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 6,317,002.00	\$ 6,317,002.00	\$ -	\$ 6,317,002.00
14. STATE OMBUDSMAN	\$ -	\$ 814,116.00	\$ 814,116.00	\$ -	\$ 814,116.00
15. STATE RESPITE	\$ -	\$ 462,000.00	\$ 462,000.00	\$ -	\$ 462,000.00
16. SSBG (SERVICES)	\$ -	\$ 7,196,352.00	\$ 7,196,352.00	\$ -	\$ 7,196,352.00
17. S.H.I.P.	\$ -	\$ 520,583.00	\$ 596,488.00	\$ 192,504.00	\$ 788,992.00
18. SENIOR MEDICARE PATROL	\$ 7,648.00	\$ 171,888.00	\$ 179,536.00	\$ 15,523.00	\$ 195,059.00
19. REFUGEE	\$ 14,224.29	\$ -	\$ 106,219.29	\$ -	\$ 106,219.29
20. SSBG - HCB WAIT LIST	\$ -	\$ -	\$ 3,800,000.00	\$ -	\$ 3,800,000.00
21. SSBG - ONE-TIME ADMIN.	\$ -	\$ 117,099.00	\$ 117,099.00	\$ -	\$ 117,099.00
22. SSBG - ONE-TIME (SERVICES)	\$ -	\$ 1,053,901.00	\$ 1,053,901.00	\$ -	\$ 1,053,901.00
23. ADULT DAY HEALTH	\$ 47,161.89	\$ -	\$ 67,161.89	\$ 791.49	\$ 67,953.38
24. MIPPA - SHIP	\$ 22,612.93	\$ -	\$ 240,726.93	\$ -	\$ 240,726.93
25. MIPPA - AAA	\$ 14,934.29	\$ -	\$ 135,176.29	\$ -	\$ 135,176.29
26. MIPPA - ADRC	\$ 3,140.99	\$ -	\$ 115,844.99	\$ -	\$ 115,844.99
27. TITLE III-C2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 3,408,141.00	\$ -	\$ 3,408,141.00
28. OAA ADMIN. III C-2 COVID SUPPLEMENTAL	\$ -	\$ -	\$ 378,682.00	\$ -	\$ 378,682.00

STATE TOTAL	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 ALERTS	INCREASE (DECREASE) SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS	
29. FFCRA - C1	\$ 642,339.01	\$ -	\$ 642,339.01	\$ -	\$ 642,339.01	
30. FFCRA - C1 ADMIN	\$ 205,806.59	\$ -	\$ 205,806.59	\$ -	\$ 205,806.59	
31. FFCRA - C2	\$ 1,762,619.78	\$ -	\$ 1,762,619.78	\$ -	\$ 1,762,619.78	
32. CARES ACT - III-B	\$ 4,323,220.15	\$ -	\$ 4,323,220.15	\$ -	\$ 4,323,220.15	
33. CARES ACT - III-C1 ADMIN	\$ 1,377,521.73	\$ -	\$ 1,377,521.73	\$ -	\$ 1,377,521.73	
34. CARES ACT - III-C2	\$ 8,475,299.97	\$ -	\$ 8,475,299.97	\$ -	\$ 8,475,299.97	
35. CARES ACT - III-E	\$ 2,143,670.15	\$ -	\$ 2,143,670.15	\$ -	\$ 2,143,670.15	
36. CARES ACT - III-E ADMIN	\$ 207,031.38	\$ -	\$ 207,031.38	\$ -	\$ 207,031.38	
37. CARES ACT - VII - FED OMB	\$ 286,789.02	\$ -	\$ 286,789.02	\$ -	\$ 286,789.02	
38. CARES ACT - ADRC	\$ 1,000,000.00	\$ -	\$ 1,000,000.00	\$ -	\$ 1,000,000.00	
TOTAL	\$ 23,822,169.48	\$ 48,417,330.00	\$ 81,924,815.48	\$ 462,030.49	\$ 82,386,845.97	

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2021

STATE TOTAL	SFY 2020 CARRYOVER	INITIAL SFY 2021 ALERTS	TOTAL SFY 2021 AWARDS	REVISED SFY 2021 ALERTS	REVISED TOTAL SFY 2021 AWARDS
STATE ADMIN.	\$ -	\$ 843,682.00	\$ 843,682.00	\$ -	\$ 843,682.00
OLDER AMERICANS ACT	\$ 23,712,447.09	\$ 28,338,522.00	\$ 57,159,025.09	\$ 272,225.00	\$ 57,431,250.09
STATE (ILS, RSP, OMB)	\$ -	\$ 7,593,118.00	\$ 7,593,118.00	\$ -	\$ 7,593,118.00
SSBG REGIONS 1-8	\$ -	\$ 7,947,926.00	\$ 7,947,926.00	\$ -	\$ 7,947,926.00
SSBG - HCB WAIT LIST	\$ -	\$ -	\$ 3,800,000.00	\$ -	\$ 3,800,000.00
SSBG - ONE-TIME	\$ -	\$ 1,171,000.00	\$ 1,171,000.00	\$ -	\$ 1,171,000.00
S.H.I.P./SENIOR PATROL	\$ 7,648.00	\$ 692,471.00	\$ 776,024.00	\$ 208,027.00	\$ 984,051.00
NSIP	\$ -	\$ 1,830,611.00	\$ 1,968,911.00	\$ (19,013.00)	\$ 1,949,898.00
REFUGEE	\$ 14,224.29	\$ -	\$ 106,219.29	\$ -	\$ 106,219.29
ADULT DAY HEALTH	\$ 47,161.89	\$ -	\$ 67,161.89	\$ 791.49	\$ 67,953.38
MIPPA	\$ 40,688.21	\$ -	\$ 491,748.21	\$ -	\$ 491,748.21
BELOW-THE-LINE SUBTOTAL	\$ 23,822,169.48	\$ 48,417,330.00	\$ 81,924,815.48	\$ 462,030.49	\$ 82,386,845.97
TOTAL	\$ 23,822,169.48	\$ 48,417,330.00	\$ 81,924,815.48	\$ 462,030.49	\$ 82,386,845.97

NOTE: The following list reflects the most recent ALERTS issued to support the amounts reflected:

ALERT	FUND SOURCE	DATE ISSUED
1. ALERT 21-1D	TITLE III/VII PLANNING LEVELS FOR SFY 2021	3/5/2021
2. ALERT 21-2A	SSBG ALLOCATIONS FOR SFY 2021	5/18/2020
3. ALERT 21-3	STATE ALLOCATIONS FOR SFY 2021	3/6/2020
4. ALERT 21-5	SCSEP/TITLE V ALLOCATIONS FOR SFY 2021	3/6/2020
5. ALERT 21-6B	NSIP ALLOCATIONS FOR SFY 2021	3/5/2021
6. ALERT 21-7B	SHIP & SENIOR PATROL ALLOCATIONS FOR SFY 2021	3/5/2021
7. ALERT 21-11E	ADULT DAY HEALTH ALLOCATIONS FOR SFY 2022	3/5/2021
8. ALERT 21-11D	MIPPA ALLOCATIONS FOR SFY 2021	3/5/2021
9. ALERT 21-11C	REFUGEE ALLOCATIONS FOR SFY 2021	1/29/2021
10. ALERT 21-9	TITLE III-C2 SUPPLEMENTAL COVID ALLOCATIONS FOR SFY 2021	1/29/2021