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# Introduction

The Arizona Centralized Background Checks (CBC) is a web portal for Individuals, Employers, and Agencies to access background check results from the following sources:

- Arizona Department of Child Safety (DCS) Central Registry
- Arizona Adult Protective Services (APS) Registry
- Department of Public Safety (DPS) Fingerprint Clearance Card (status only)

This guide is intended to provide instructions for **employers and agencies** to monitor the status of background check requests and review results.

### **Process Overview**

Individuals who need a background check must create an account and submit a request for employment or to become a DCS caregiver (foster care, adoption, or guardian). The individual must connect their request to an employer or caregiver agency. This authorizes the employer or caregiver agency to receive the background check results.

A background check request can only be submitted by the person who needs a background check. Employer and Agency representatives cannot submit a request on behalf of an Individual but may provide assistance with the process.

Employers who are contractually obligated to complete background checks per agency agreements must connect to designated agency accounts to authorize permission for the account to view and download the results for each employee. Employers may also identify others within their organization to have a CBC agency account to provide oversight.

When the background checks for the CBC sources are completed, an automated email is sent to the individual, any connected employer, and connected agency to indicate a notification is available in the Message Center. This notification will include a link by which to download the results.

Employers and agencies will have a dashboard view listing all current employees and the status of their requests. **Note:** In the future, a download report of the dashboard list will also be available.

Periodic automated rechecks will be performed. If new results are found since the last background check, notifications will be sent to the individual, any connected employer, and any connected agency accounts.

The CBC will initiate notifications for annual employment background checks for each Individual account that is connected to an Employer account. The annual background check will be due 12 months from the last background check result report. The CBC will generate notifications 90 days in advance of the expiration of the current background check request. If the Individual does not submit a new request by the due date, the background check will be expired, and notification will be sent to the individual, any connected Employer, and connected Agency accounts.

# **Get Started**

Before creating an account, determine if you will need an Employer or Agency role in the CBC. Then follow the instructions in this guide for the applicable role.

The CBC Employer role is used for those responsible for:

- Hiring individuals for employment positions requiring a background check AND managing the list of current applicants/employees who require a background check OR
- Completion of background checks for DCS caregivers.

The CBC Agency role is used for those responsible for oversight of your team, company, organization, or agency's background checks. **Note:** If you have more than one person who needs to have oversight, you can create multiple accounts with agency role and link to the account with employer role.

### Examples:

- A company has an HR person responsible for background checks for applicants/employees who work for the company. Follow the instructions for 'Employer' role.
- An agency has an HR person responsible for background checks for applicants/employees who work for the agency. Follow the instructions for 'Employer' role.
- An agency has a person responsible for monitoring contract compliance for an employer *(provider, vendor, etc.)*. Follow the instructions for 'Agency' role.
- A large company has many locations and has a person responsible for monitoring the background checks managed by multiple HR teams. Follow the instructions for 'Agency' role.
- An adoption agency needs background checks for DCS caregivers. Follow the instructions for 'Employer' role.

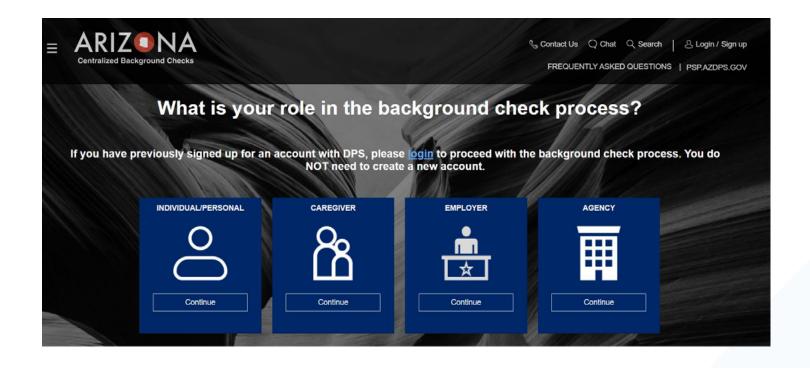


Figure 1. CBC Portal Landing and Sign up Page

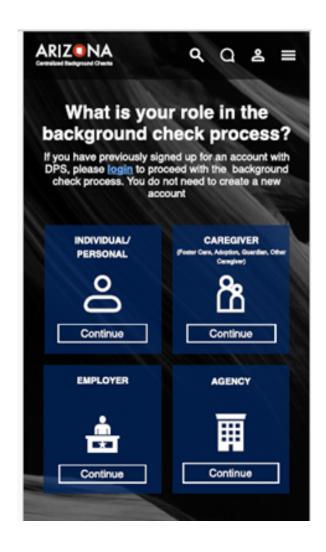


Figure 2. CBC Portal Landing Page - Mobile

### **CBC Landing Page and Sign Up Page**

The main landing page to get started with the CBC is located at: <a href="mailto:cbc.az.gov">cbc.az.gov</a>. **Note:** The CBC also has a mobile phone view.

Click the Login/Sign Up option in the upper right corner of the page. The page presents this question: 'What is your role in the background check process?' Select 'Employer' or 'Agency'.

The Landing page also includes:

- Contact Us: The 'Contact Us' page provides the FAQ's, Technical Support email, and DPS PSP link.
- Chat: The 'Chat with Us' option provides a Virtual Assistant to help answer common questions.
- **Search:** The search option compares the search term to the Frequently Asked Questions (FAQ's) to help answer questions.
- Frequently Asked Questions: The Frequently Asked Questions section includes the five categories of questions.
  - General
  - Individual/Personal
  - Employer
  - Agency
  - o DES
- <u>psp.azdps.gov</u>: A link to the Department of Public Safety (DPS) Public Services Portal (PSP) is provided to navigate to the PSP portal for additional options related to your Fingerprint Clearance Card.

### **Create an Account**

If you have a Department of Public Safety (DPS) - Public Services Portal (PSP) account, you do not need to create another one to use the CBC. Use your PSP username and password to login to: <a href="mailto:cbc.az.gov">cbc.az.gov</a>.

The following functions are shared with the DPS PSP:

- The same account is used to login to both portals.
- The account profile can be updated from either portal.
- Employer/employee relationships can be updated from either portal.
- Name changes to an account associated with DPS must be processed through the DPS PSP before they can be applied to the CBC.

If you do not have a DPS PSP account, go to <a href="mailto:cbc.az.gov">cbc.az.gov</a> to create a CBC account.

# **Create A CBC Account: Employer Role**

The CBC Employer account role is used for those responsible for:

- Hiring individuals for employment positions requiring a background check AND managing the list of current applicants/employees who require a background check OR
- Completion of background checks for DCS caregivers.

To create a CBC account with the role of Employer or DCS Caregiver:

- Click the Login/Sign Up option in the upper right corner of the cbc.az.gov landing page.
- Click 'Continue' on the 'Employer' tile.
- On the 'Acknowledgement' Page:
  - Check the box for 'I have read the statements above and understand the terms in which
    my employees or prospective caregivers may be requesting background checks.'
  - Click 'Continue'.
- On the 'Profile Information' page, enter the following and click 'Continue':
  - Enter your Business/Agency Name
  - Will you be doing checks for your own applicants/employees, volunteers or caregivers, or will you be monitoring employers? Select 'Doing checks for Applicants/ Employees, Volunteers, or Caregivers'.
  - What is your DES affiliation? Select the option that applies:
    - Current Contractor/Service Provider
    - Potential Contractor/Service Provider
    - DES Human Resources (HR)
    - Not Affiliated with DES
  - If a DES affiliation was selected, select all of the divisions that apply:
    - Division of Developmental Disability (DDD)
    - Division of Child Care (DCC)
    - Division of Community Assistance and Development (DCAD)
    - Division of Aging and Adult Services (DAAS)
    - Division of Employment and Rehabilitation Services (DERS)
    - Division of Arizona Early Intervention Program (AzEIP)
    - OP Office of Procurement (OP)
    - Other (Describe below): Enter the division name
  - Legal First Name, Legal Last Name and Middle Initial. Enter your Legal First Name and Legal Last Name again to confirm.
  - If applicable, select a Suffix.
  - Enter your title in 'Representative Title'.
  - Enter and confirm your email address. This email address will be the username to login to the portal. The CBC requires a unique email address for each account. It is recommended to use a group company email address.

- Enter and confirm a password for your account. The password must contain a minimum of 8 and maximum of 20 characters with 1 uppercase, 1 lowercase, and 1 special character or number.
- On the 'Address' page, enter the following and click 'Continue':
  - Physical Address: The business/agency physical address.
  - o Home Number: Enter your primary business/agency phone number.
  - Mobile Number: If you would like to receive the account verification code by text, enter a Mobile Number.
  - Mailing Address: If your mailing address is the same as your physical address, click the 'use same address as above' checkbox. Otherwise, enter the business/agency mailing address.
- On the <u>'Security Questions'</u> page:
  - Select 3 Security Questions
  - Enter an Answer for each Security Question.
  - Click 'Create Account'.
- Login to your email account and locate the CBC account confirmation email.
  - Click 'Confirm Account' in the email. Note: The email references 'The DPS Team' since the
    accounts are shared for both the DPS PSP and the CBC portal. The 'Confirm Account' link
    loads the DPS PSP. If you have closed the CBC page, return at: <a href="mailto:cbc.az.gov">cbc.az.gov</a> and click
    Login.
  - o On the CBC Create Account 'Verify Your Email', click 'Login'.
  - Enter your email address and CBC password. Click 'Login'.

# Create an Account: Employer Role Page Examples

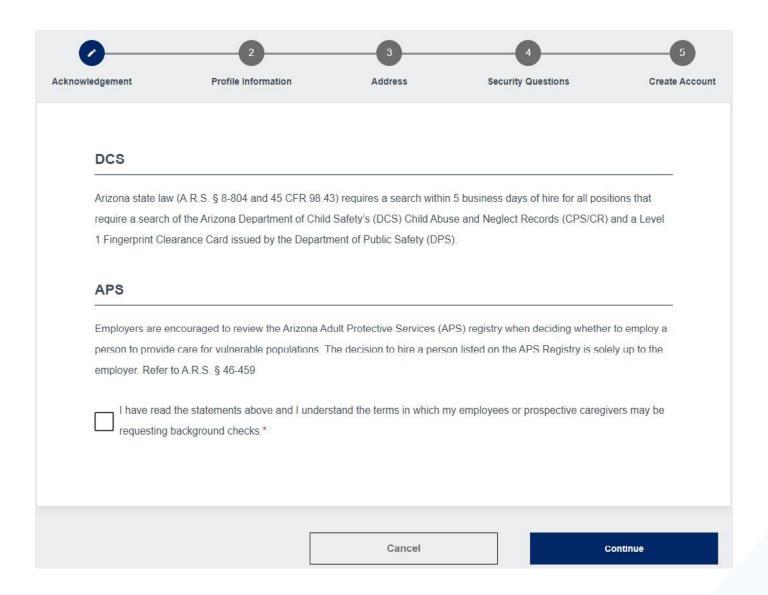


Figure 3. Create Account: Acknowledgement Page

Business/Agency Name*	
Will you be doing checks for your own applicants/employees, volunteers, or caregivers, or will you be monitoring employers? *	
Doing checks for applicants/employees, volunteers, or caregivers	
What is your DES affiliation? Select the option that applies.*	
Current Contractor/Service Provider	
Potential Contractor/Service Provider	
DES Hurnan Resources (HR)	
Not affillated with DES	
What is your DES affiliation? Select the option that applies.*	
Current Contractor/Service Provider	
Potential Contractor/Service Provider	
DES Human Resources (HR)	
Not affiliated with DES	
Division (Select all that apply)*	
Division of Developmental Disability (DDD)	
Division of Child Care (DCC)	
Division of Community Assistance and Development (DCAD)	
Division of Aging and Adult Services (DAAS)	
Division of Employment and Rehabilitation Services (DERS)	
☐ Division of Arizona Early Intervention Program (AzEIP)	
Please select your division	

Legal First Name*		
Confirm Legal First Name*		
Middle Initial		
Legal Last Name*		
Confirm Legal Last Name*		
Representative Title*		
Email*		
example@email.com		
Confirm Email*		
example@email.com		
Password*		•
Minimum of 8 and maximum of 20 characters with 1 uppercase 1 lowe	ercase and 1 special character or number.	
Confirm Password*		•
	Cancel	Continue

Figure 4. Create Account: Profile Information Page

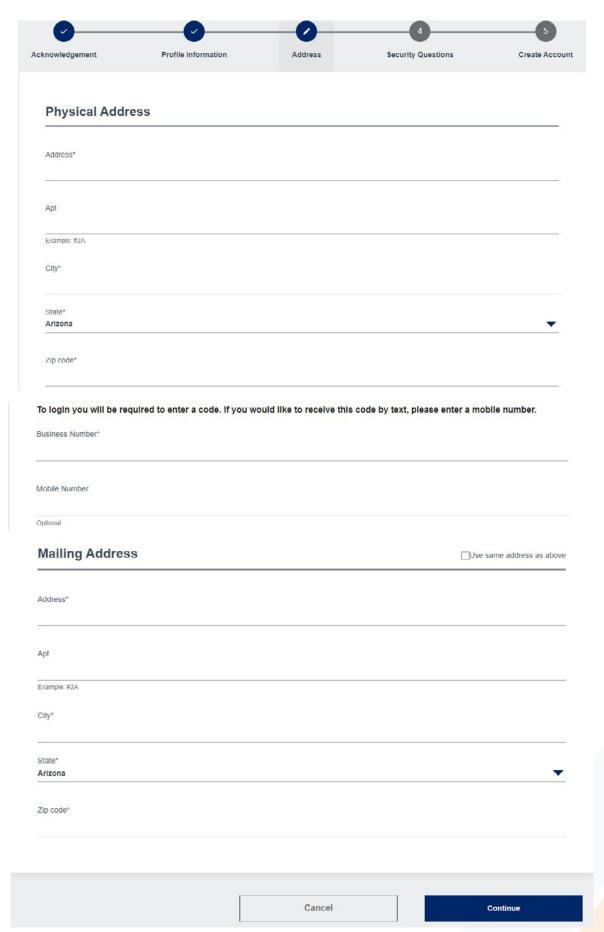


Figure 5. Create Account: Address Page

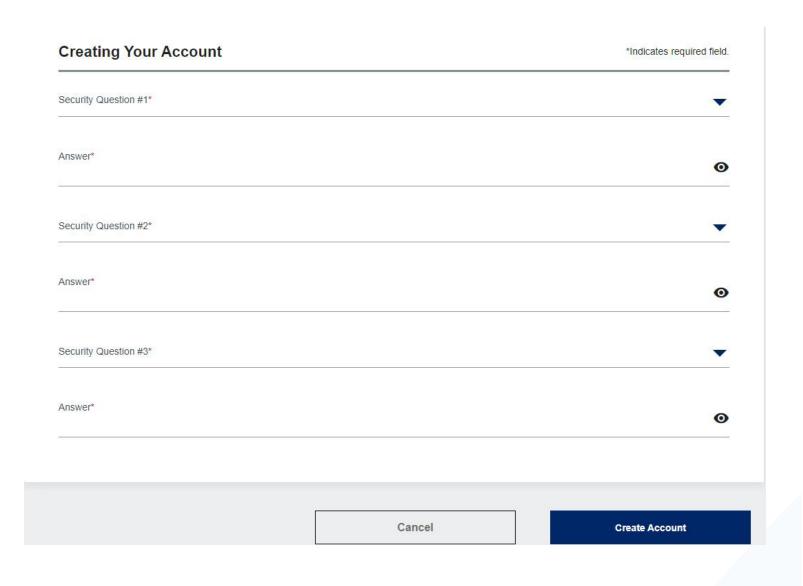


Figure 6. Create Account: Security Questions Page

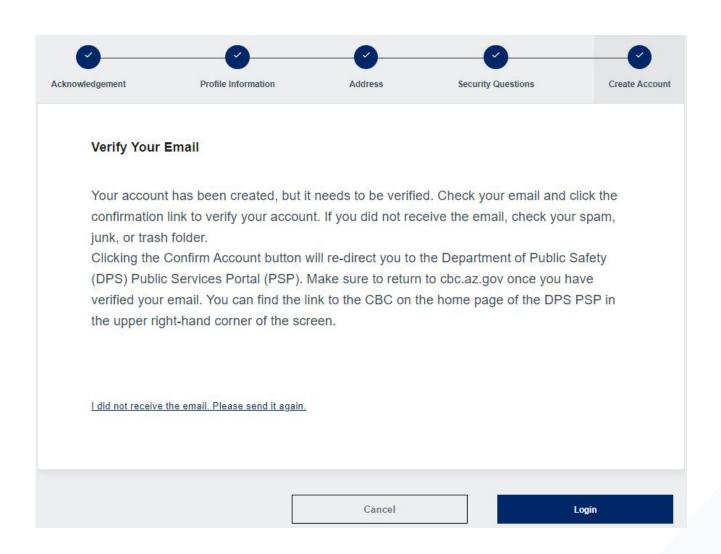
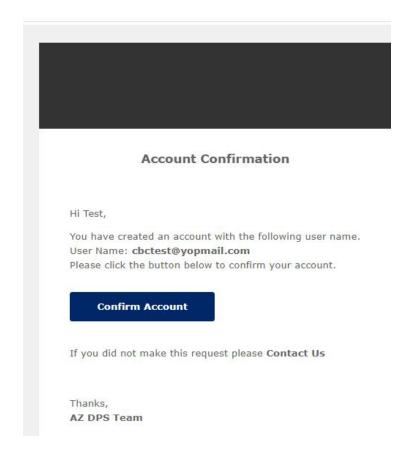
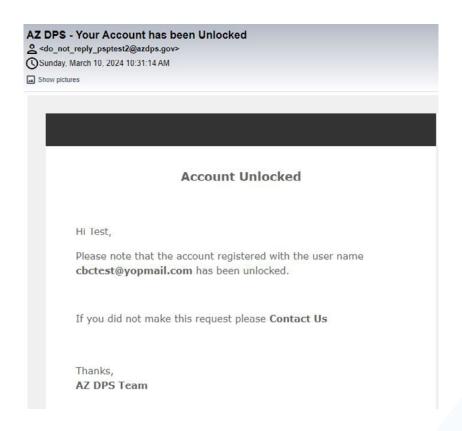


Figure 7. Create Account: Verify Your Email Page





**Figure 8. Account Confirmation Email** 

# **Create A CBC Account: Agency Role**

The CBC Agency account role is used for those responsible for oversight of your team, company, organization, or agency's background checks.

To create a CBC account with the role of Agency:

- Click the Login/Sign Up option in the upper right corner of the <a href="mailto:cbc.az.gov">cbc.az.gov</a> landing page.
- Click 'Continue' on the 'Agency' tile.
- On the 'Acknowledgement' Page:
  - Check the box for 'I have read the statements above and understand the terms in which
    my employees or prospective caregivers may be requesting background checks.'
  - o Click 'Continue'.
- On the <u>'Profile Information'</u> page, enter the following and click 'Continue':
  - Enter your Business/Agency Name.
  - Will you be doing checks for your own applicants/employees, volunteers or caregivers, or will you be monitoring employers? Select 'Monitoring Employers'.
  - Legal First Name, Legal Last Name and Middle Initial. Enter your Legal First Name and Legal Last Name again to confirm.
  - If applicable, select a Suffix.
  - o Enter your title in 'Representative Title'.
  - Enter and confirm your email address. This email address will be the username to login to the portal. The CBC requires a unique email address for each account.
  - Enter and confirm a password for your account. The password must contain a minimum of 8 and maximum of 20 characters with 1 uppercase, 1 lowercase, and 1 special character or number.
- On the 'Address' page, enter the following and click 'Continue':
  - Physical Address: The business/agency physical address.
  - Home Number: Enter your primary business/agency phone number.
  - Mobile Number: If you would like to receive the account verification code by text, enter a Mobile Number.
  - Mailing Address: If your mailing address is the same as your physical address, click the 'use same address as above' checkbox. Otherwise, enter the business/agency mailing address.
- On the <u>'Security Questions'</u> page:
  - Select 3 Security Questions
  - Enter an Answer for each Security Question.
  - Click 'Create Account'.
- Login to your email account and locate the CBC account confirmation email.
  - Click 'Confirm Account' in the email. Note: The email references 'The DPS Team' since
    the accounts are shared for both the DPS PSP and the CBC portal. The 'Confirm
    Account' link loads the DPS PSP. If you have closed the CBC page, return at: cbc.
    az.gov and click Login.
  - On the CBC Create Account 'Verify Your Email', click 'Login'.
  - Enter your email address and CBC password. Click 'Login'.

# Create an Account with Agency Role Page Examples

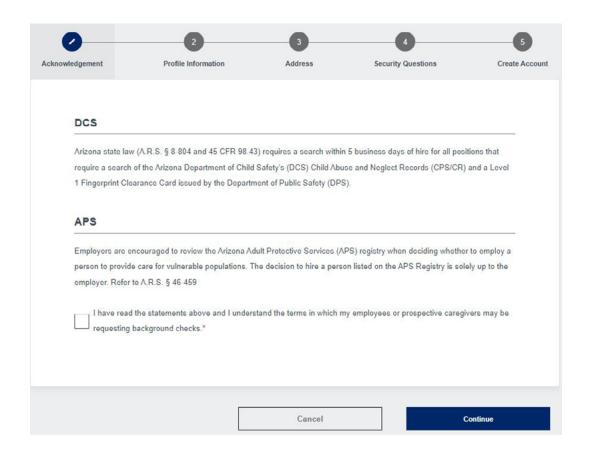


Figure 9. Create Account: Acknowledgement Page



Figure 10. Create Account: Profile Information Page

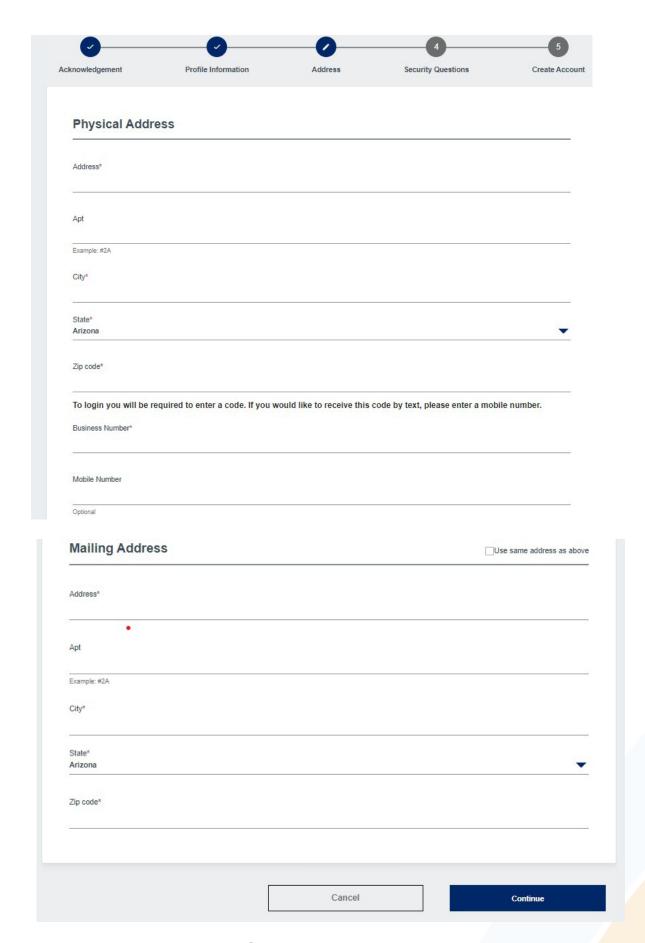


Figure 11. Create Account: Address Page

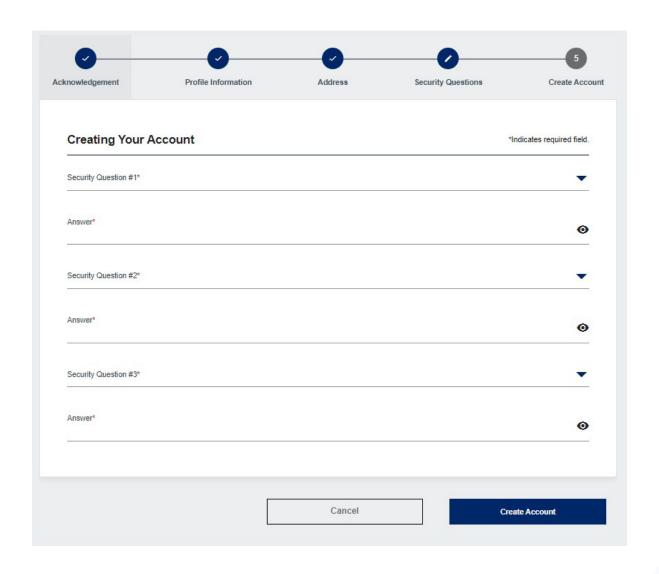


Figure 12. Create Account: Security Questions Page

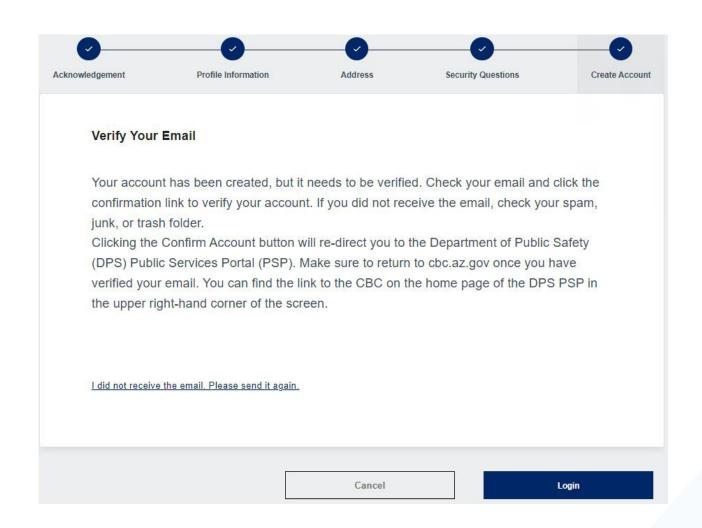
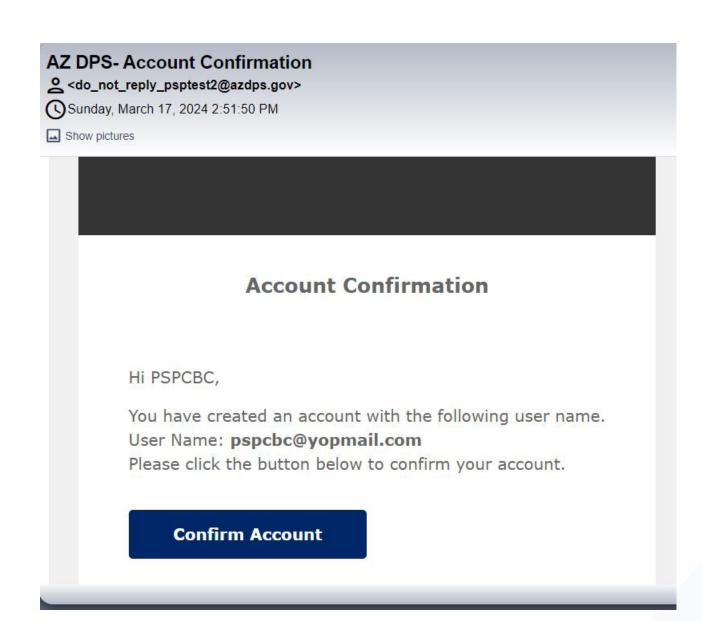


Figure 13. Create Account: Verify Your Email Page



**Figure 14. Account Confirmation Email** 

### Create A DPS PSP Account

If you need one of the following types of DPS accounts, create an account at: <u>psp.azdps.gov</u>. Then use your DPS PSP username and password to login to: <u>cbc.az.gov</u>.

- School Administrator/Human Resources
- State Licensing Agency
- APT Agency
- School District

To start the DPS PSP account creation process:

- Click the Sign Up option in the upper right corner of the psp.azdps.gov landing page.
- Click the link for 'Don't have an account? It's easy to create one.'
- Select the tile for the applicable type of account (e.g., Fingerprint Clearance Card or Background Check for Employment/Licensure) and click 'Continue'.
- Which best describes you? Select 'Organization'.
- Answer 'Yes' or 'No' to the account <u>triage questions</u>.
  - Are you a school administrator or school's Human Resources department responsible for ensuring compliance with the Fingerprint Clearance Card process?
  - Are you a state agency representative or are setting up an account for the purpose of oversight, regulation or for Human Resources purposes at an Arizona state level government agency related to the Fingerprint Clearance Card process? (state licensing agency account)
  - Are you a school, employer, or state licensing agency and will be conducting a fingerprint-based background check for employment or licensure?
- Complete the Profile Information, Address, Security Questions, and Account Verification steps.
- Return to the <u>cbc.az.gov</u> and use your DPS PSP username and password to login.

# **Create A DPS PSP Account Page Examples**



**Figure 15. DPS Type of Account** 

### Which Best Describes You?



Start Over

Figure 16. DPS Role

Are you a school administrator or school's Human sources department responsible for ensuring complianc with the Fingerprint Clearance Card process?



Figure 17. DPS Account Triage Question 1

Are you an employer and your employees are required to obtain a Fingerprint Clearance Card and need the ability to pay for employee's fingerprint clearance cards, add sponsor codes and employer information to employees' cards?

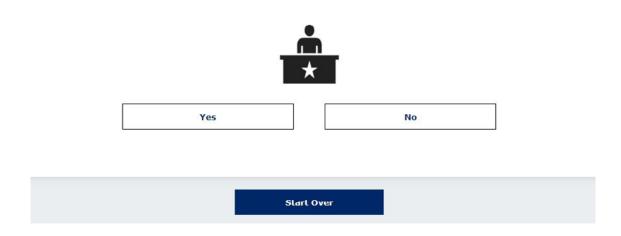


Figure 18. DPS Account Triage Question 2

Are you a state agency representative or are setting up an account for the purpose of oversight, regulation or for Human Resources purposes at an Arizona state level government agency related to the Fingerprint Clearance Card process?

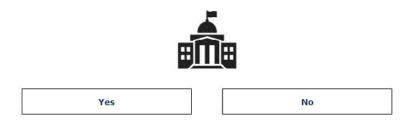


Figure 19. DPS Account Triage Question 3

# Login

To login, go to <a href="mailto:cbc.az.gov">cbc.az.gov</a> and:

- Click the Login option in the upper right corner of the page.
- Enter your email address and CBC password.
- Select Text or Email to receive a On-Time Passcode (OTP) to verify your identity.
- Locate the text or email with the 6 digit code. If you did not receive the OTP, click 'resend OTP'. **Note:** The text and email reference AZ DPS since the CBC account is the same account as the DPS PSP.
- Enter the 6 digit code and click 'Login'.

# **Login Page Examples**

# For Your Protection \*Indicates required field. For security, we need to verify your identity. Below are the email addresses and phone numbers you have listed with us. Choose how you want to receive your temporary One-Time Passcode (OTP)\* Text xxx-xxx-0000 Email c...t@yopmail.com Cancel Send OTP

Figure 20. Request One Time Passcode (OTP)

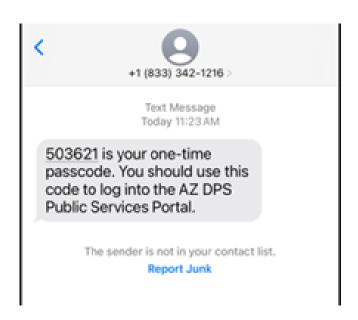


Figure 21. Text - Request One Time Passcode (OTP)

### Your Requested AZ DPS One-Time Passcode

<do\_not\_reply\_psptest2@azdps.gov>

Wednesday, March 13, 2024 5:12:22 AM

919893 is your one-time passcode. You should use this code to log into the AZ DPS Public Services Portal.

Thank you AZ DPS Team

Figure 22. Email - Request One Time Passcode (OTP)

Figure 23. Enter One Time Passcode (OTP)

Did not receive the OTP. Resend OTP.

# First Time Login with DPS PSP Account

If you have a DPS PSP account, before applicants and employees can submit employment requests, you must login to the CBC and complete additional steps for your CBC profile as follows:

- In the 'Acknowledgement' section:
  - Check the box for 'I have read the statements above and understand the terms in which
    my employees or prospective caregivers may be requesting background checks.'
  - Scroll down
- In the <u>'Employer Details'</u> section,
  - Will you be doing checks for your own employees or caregivers, or will you be monitoring employers?
    - Select 'Doing checks for Employees or Caregivers' if you are you responsible for:
      - Hiring individuals for employment positions requiring a background check AND managing the list of current applicants/employees who require a background check OR
      - Completion of background checks for DCS caregivers
    - Select 'Monitoring Employers' if you need to have oversight of your team, company, organization, or agency's background checks.
  - If 'Doing checks for Employees or Caregivers' was selected, select the DES affiliation:
    - Current Contractor/Service Provider
    - Potential Contractor/Service Provider
    - DES Human Resources (HR)
    - Not Affiliated with DES
  - If a DES affiliation was selected, select all of the divisions that apply:
    - Division of Developmental Disability (DDD)
    - Division of Child Care (DCC)
    - Division of Community Assistance and Development (DCAD)
    - Division of Aging and Adult Services (DAAS)
    - Division of Employment and Rehabilitation Services (DERS)
    - Division of Arizona Early Intervention Program (AzEIP)
    - OP Office of Procurement (OP)
    - Other (Describe below): Enter the division name
  - Enter your title in 'Representative Title'.
  - Click 'Continue'.

## First Time Login with DPS PSP Account Page Examples

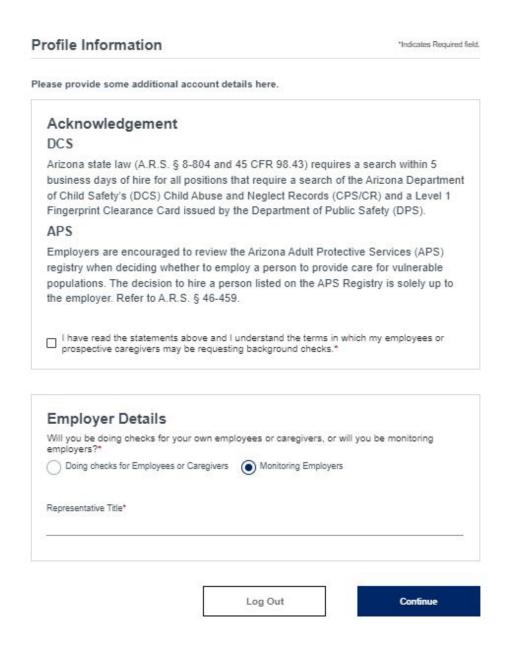


Figure 24. Profile Update: Acknowledgment

ill you be doing checks for your own applicants/employees, bu be monitoring employers?*	volunteers, or caregivers, or will
Doing checks for applicants/employees, volunteers, or caregivers	Monitoring Employers
epresentative Title*	

Log Out

Continue

Employer Details	4	
Will you be doing checks for your own applicants/employees, volunteers, or caregivers, or will you be monitoring employers?*		
Doing checks for applicants/employees, volunteers, or caregivers     Monitoring Employers		
What is your DES affiliation? Select the option that applies.*		
Current Contractor/Service Provider     Potential Contractor/Service Provider		
DES Human Resources (HR) Not affiliated with DES		
Division (Select all that apply)*		
Division of Developmental Disability (DDD)		
Division of Child Care (DCC)		
Division of Community Assistance and Development (DCAD)		
Division of Aging and Adult Services (DAAS)		
Division of Employment and Rehabilitation Services (DERS)		
Division of Arizona Early Intervention Program (AzEIP)	7	•

# Please select your division Representative Title\*

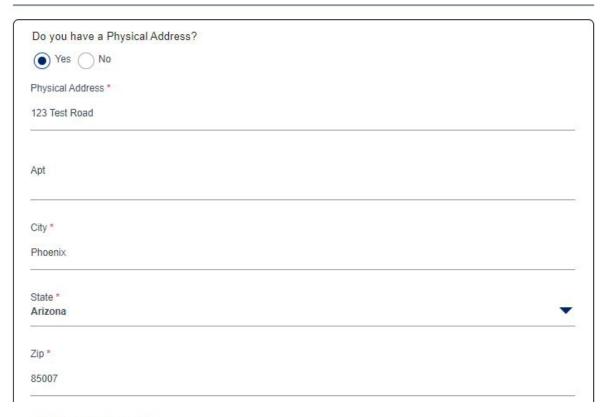
Log Out

Continue

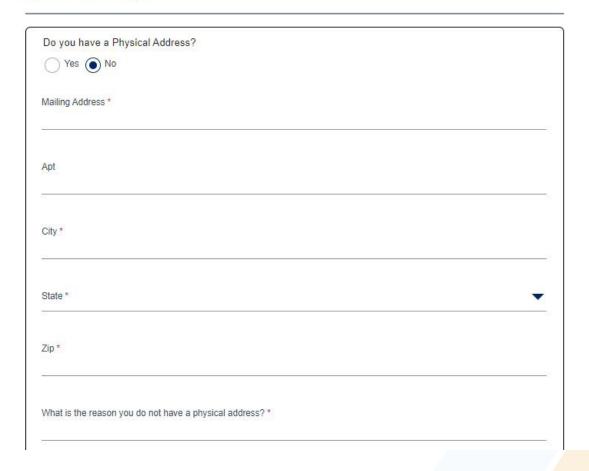
### Previous Names, AKAs or Aliases

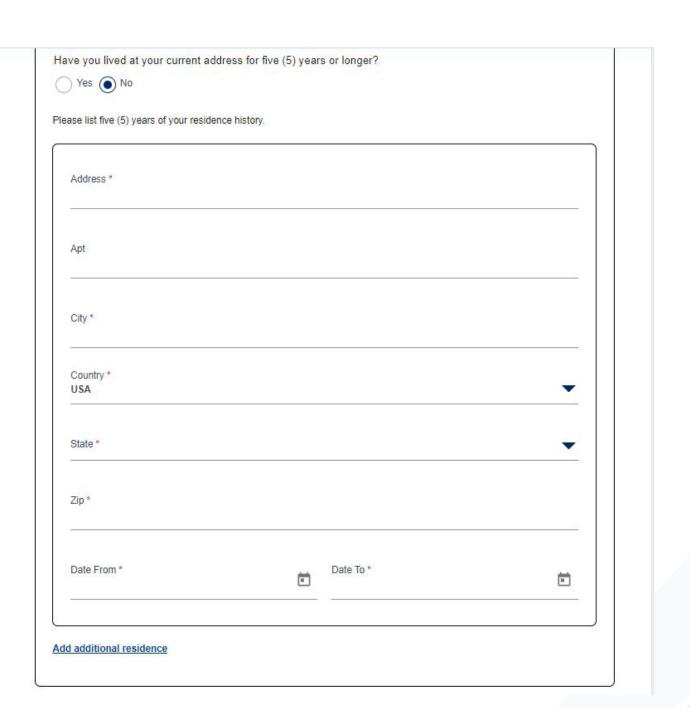
you have any additional maiden names, previous anges? *	names, AKAs or aliases including any recent name
Yes No	
ase enter any maiden names, previous names, AKAs or al	iases
First Name *	
Middle Name *	☐ I do not have middle name
	T do not have illique hame
Last Name *	
Suffix	▼
	3

### Address Information



### **Address Information**





	NOT THE VICTIM) of an investigation of abuse or neglect of a child or
ulnerable adult in Arizona, or and	other state or jurisdiction? *
Yes No	
A CONTRACTOR OF THE CONTRACTOR	T (NOT THE VICTIM) of an investigation of abuse or neglect of a child or other state or jurisdiction that resulted in a substantiated (determined to have
ccurred) finding? *	of the state of jurisdiction that resulted in a substantiated (determined to have
Yes No	
What was the allegation? *	
2	
When was the investigation? *	
when was the investigation:	
Where was the investigation? *	
Please provide the City and State	
Additional investigation	
you wish to provide additional informa	ation please use the space provided.
,	
NOT list additional names in this field	

Figure 25. Profile Update: Employer Details

### **Forgot Password**

If you have forgotten your password and need it reset, you will need your security questions and answers. Then, go to <u>cbc.az.gov</u> and:

- Click the Login/Sign Up option in the upper right corner of the page.
- Click <u>'Forgot Password'</u>.
- Enter your email address and click 'Continue'.
- Navigate to your email account, locate the <u>password reset email</u>, and click the 'Reset Password' button.
- Enter the answers for the <u>security questions</u>
- Enter a <u>new password</u>, confirm the password, and click 'Continue'. The password must contain a minimum of 8 and a maximum of 20 characters with 1 uppercase, 1 lowercase and 1 special character or number.
- Click the Login/Sign Up option in the upper right corner of the page.
- Enter your email address and the new password.
- Select Text or Email to receive a On-Time Passcode (OTP) to verify your identity.
- Locate the text or email with the 6 digit code. If you did not receive the OTP, click 'resend OTP'. Note: The text and email reference AZ DPS since the CBC account is the same account as the DPS PSP.
- Enter the 6 digit code and click 'Login'.

# **Forgot Password Page Examples**

Forgot Pas	sword		* Indicates required field.
Enter your ema	ail address below to reset your password:		
Email Address*			
	Cancel	Continue	

Don't have an account? It's easy to create one.

Figure 26. Forgot Password Page

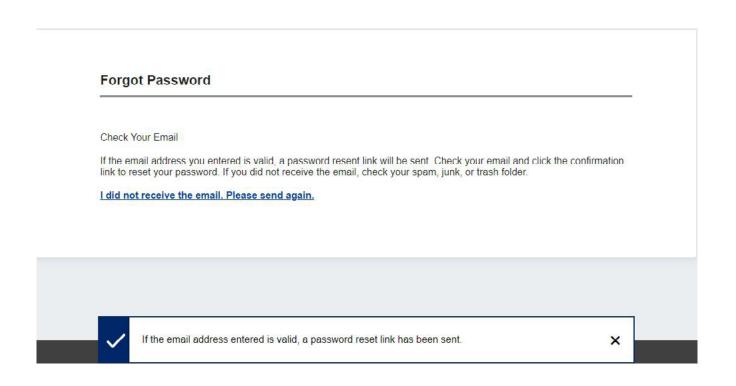
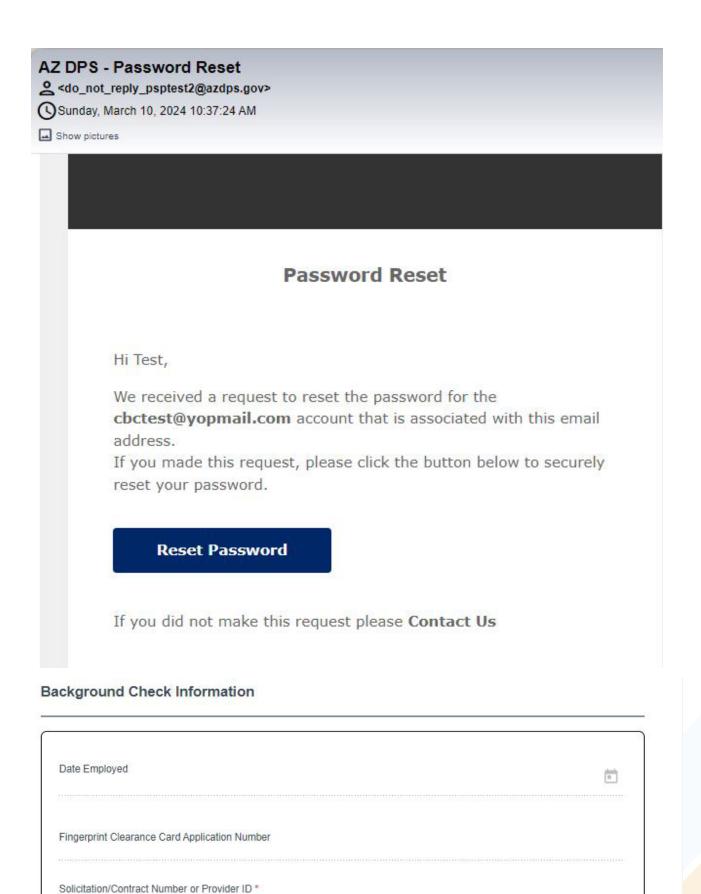


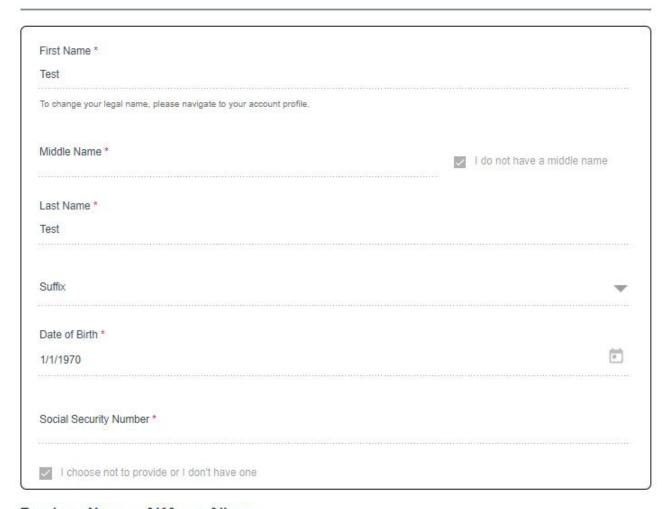
Figure 27. Check Your Email



### Employer Information

Employer Name *	
CBC Test Employer	
Representative Name *	
CBCEmployer CBCEmployer	
Title *	
HR	
Mailing Address	
Address *	
123 Test Road	
Suite	
o	
City *	
Phoenix	
State * Arizona	-
Zip*	
85007	
Phone Number *	
(000)000-0000	
Employer Email *	
cbcemployer@yopmail.com	

### Requester Information



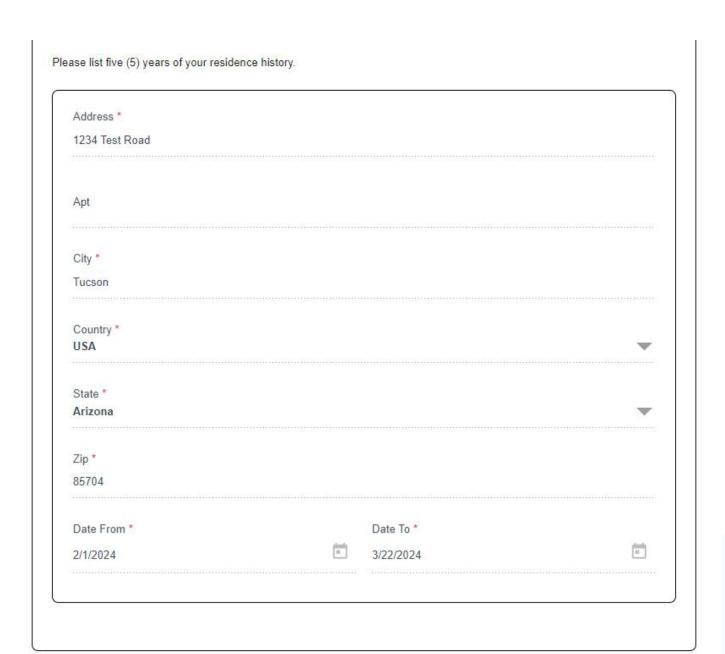
### Previous Names, AKAs or Aliases

Do you have any additional maiden names, previous names, AKAs or aliases including any recent name changes? \*

Yes No

### **Address Information**





### **Additional Information**

	u currently the SUBJECT (NOT THE VICTIM) of an investigation of abuse or neglect of a child or able adult in Arizona, or another state or jurisdiction? *
) Ye	s O No
Inera	ou ever been the SUBJECT (NOT THE VICTIM) of an investigation of abuse or neglect of a child or able adult in Arizona, or another state or jurisdiction that resulted in a substantiated (determined to have ed) finding? *
) Ye	s O No
Wha	at was the allegation? *
test	
Whe	en was the investigation? *
test	
Whe	ere was the investigation? *
test	
Plea	se provide the City and State
ou wi	sh to provide additional information please use the space provided.
t	

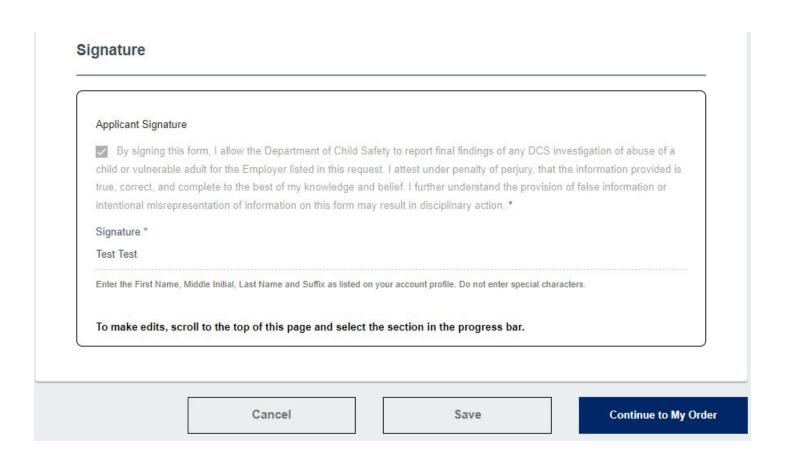


Figure 28. Email for Password Reset

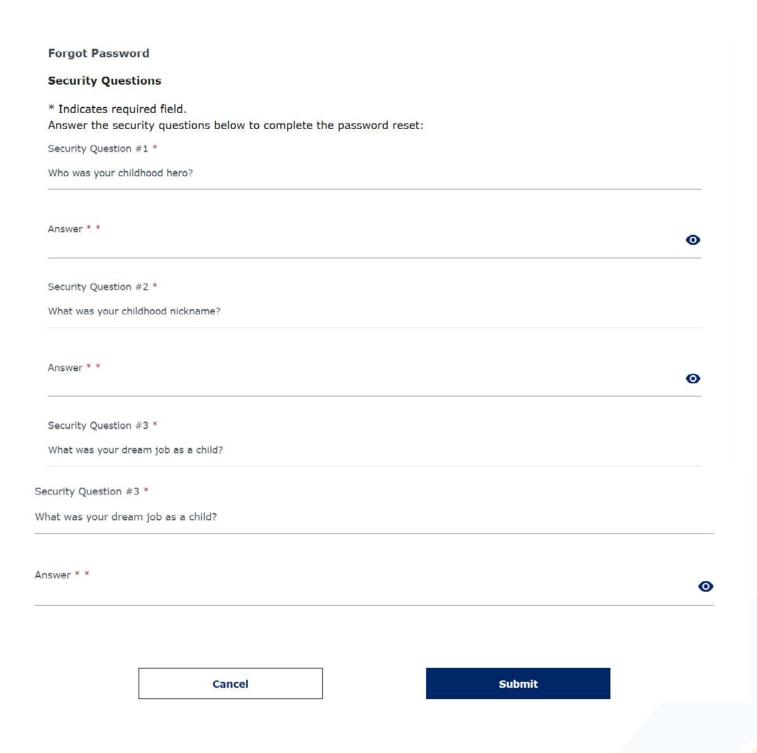


Figure 29. Security Questions

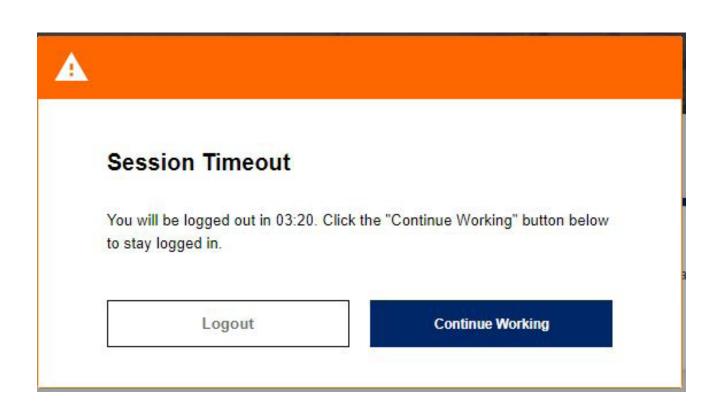
Figure 30. Reset Password

Continue

Cancel

# **Session Timeout**

For security reasons, the CBC will initiate a session Timeout countdown after 5 minutes of inactivity. The countdown will allow 5 minutes to click 'Continue Working'. When the countdown timer expires, the CBC will automatically logout.



**Figure 31. Session Timeout Popup** 

# First Login: Employer Role

Upon the first successful login using an account with Employer role, the following options are available:

- View <u>Connected Employee Accounts</u>: Click 'Continue' to view and manage connected employee accounts.
  - <u>'Connected Employee Details'</u> displays:
    - Employee Name
    - Employee email address associated with the CBC account
    - Actions column: A 'Remove Link' option is available for each connected employee.
      - Click 'Yes' to 'Are you sure you want to remove the employee?'.
      - Confirmation message displays: 'Employee linking removed successfully'.
  - o 'Add Employee' link:
    - Click to add an applicant or employee.
    - Enter the email address associated with the employee's CBC account.
    - Click 'Continue'.
    - The 'Connected Employee Details' page updates and displays the added employee.
- <u>View Connected Agency Accounts</u>: Click 'Continue' to view and manage connected agency accounts.
  - <u>'Connected Agency Details'</u> displays:
    - Representative Name
    - Representative email address associated with the CBC account
  - <u>'Add Agency'</u> link:
    - Click to add an agency.
    - Enter the email address associated with the agency's CBC account.
    - Click 'Continue'.
    - The 'Connected Employee Details' page updates and displays the added agency. A confirmation message displays: 'Agency account is linked successfully'.

# First Login Employer Role Page Examples

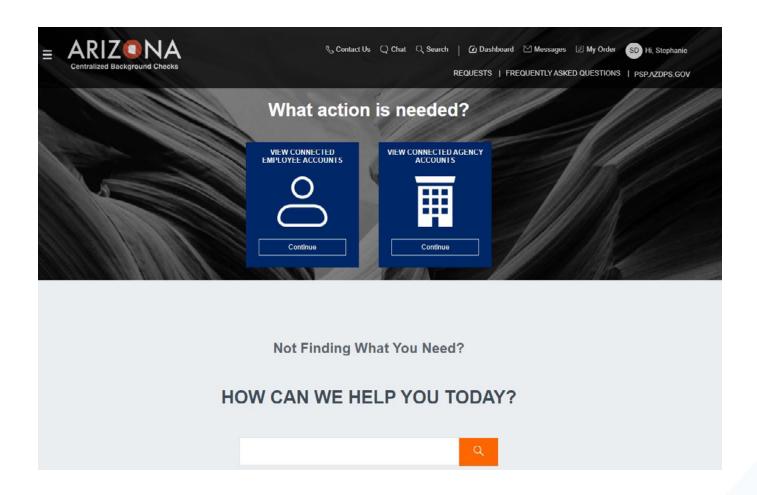


Figure 32. Landing Page after Login: Employer or DCS Caregiver Agency Role

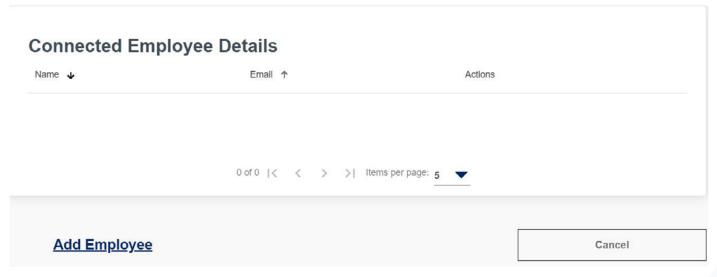


Figure 33. Connected Employee Details

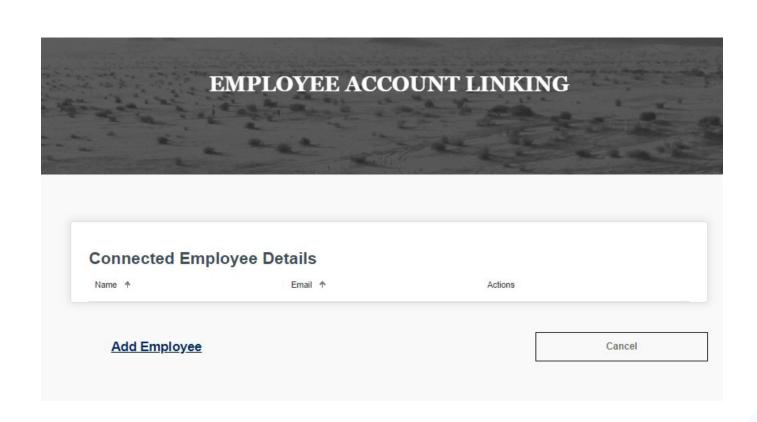


Figure 34. Add Employee Popup

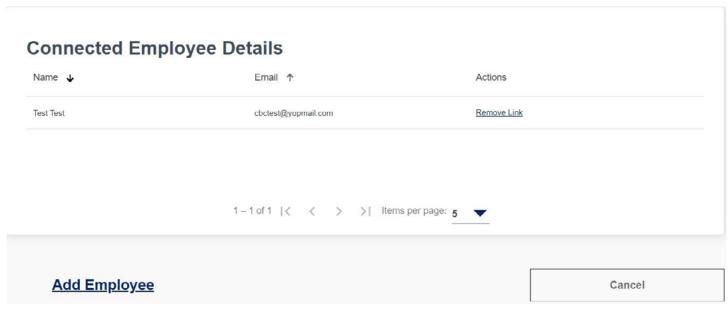
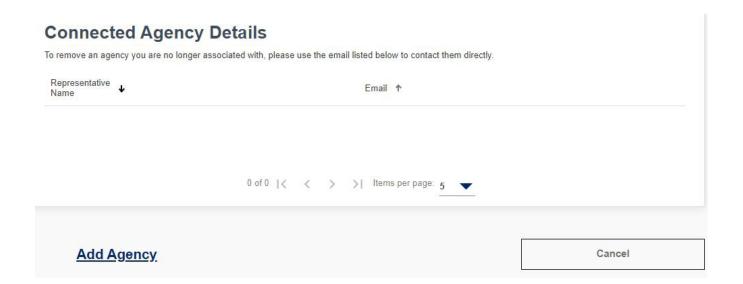


Figure 35. Employee Added



**Figure 36. Connected Agency Details** 

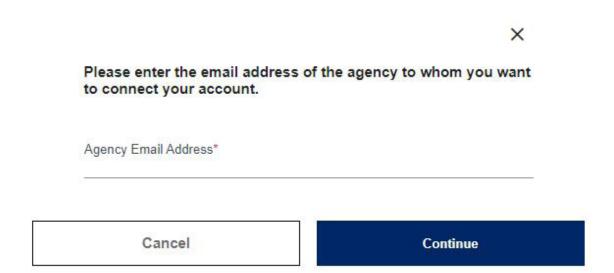


Figure 37. Add Agency Popup



Figure 38. Agency Added

# First Login: Agency Role

Upon the first successful login using an account with Employer role, the option to View Connected Employer accounts is available.

# First Login Agency Role Page Examples

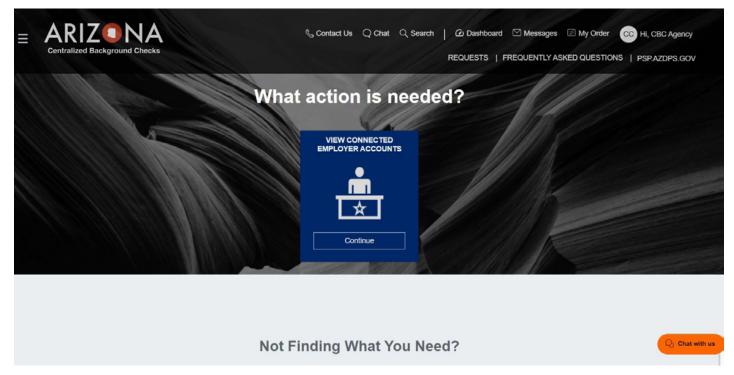


Figure 39. Landing Page after Login: Agency Role

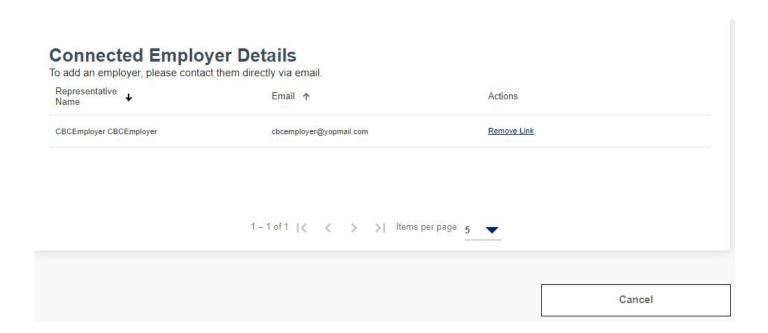


Figure 40. Connected Employer Details



Figure 41. Remove Employer Popup

# Dashboard: Employer Role

Login to the CBC and click 'Dashboard' in the upper right header. The Dashboard has the following sections:

- Recent Notifications
- Draft Forms and Requests: Not applicable for the Employer and Agency role
- My Employee Requests
- My Caregiver Requests
- My Employee's Cards (Fingerprint Clearance Cards)

**Note**: The sections will only display if a request of that type has been submitted. Example: if the individual has NOT submitted an Employment request, then the 'My Employee Requests' section will not display.

The Dashboard also includes the following menu options on the left:

- My Activity: Displays the dashboard sections where a request has been submitted.
- Message Center: Displays the inbox for CBC notifications.
- My Favorites: Not applicable for Employer and Agency roles.

### **Recent Notifications**

Employer accounts will receive an email when the following automated notifications are available in the Message Center:

- Employment result report
- Annual employment registry check due or has expired
- Confirmation of employment relationship required
- Employer added to an employee account and background check results are available
- Employment request expired due to name change

Caregiver agencies will receive an email when the Caregiver background check result report is completed and available in the Message Center.

When the CBC generates a notification, an email is sent to the email address listed on the account to indicate a new message is available. The 'Recent Notifications' section of the Dashboard displays the **unread** messages generated by the CBC. This section includes a:

- Link to the Message Center to view all messages.
- List of unread messages and the following details:
  - Date: The date the CBC generated the notification.
  - From: arizona centralized background checks@cbc.gov
  - Subject: Subject of the CBC notification.
  - o Reference: The system generated Request ID is listed for reference.
  - Attachments: Link to download the result report.

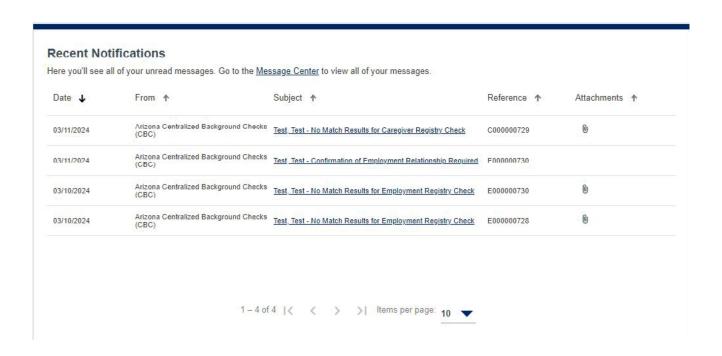


Figure 42. Recent Notifications

# **Dashboard: Employee Requests**

The 'My Employee Requests' section of the Dashboard only displays once an Employment background check request has been submitted. This section includes a:

- Link to see the connected employee details.
  - The <u>'Connected Employee Details'</u> page displays:
    - Employee Name
    - Employee email address associated with the CBC account
    - Actions column: A 'Remove Link' option is available for each connected employee.
      - Click 'Yes' to 'Are you sure you want to remove the employee?'. By removing the employee, you are affirming that they no longer provide services in any capacity that requires the DCS Registry check.
      - Confirmation message displays: 'Employee linking removed successfully'.
  - o 'Add Employee' link:
    - Click to add an applicant or employee.
    - Enter the email address associated with the employee's CBC account.
    - Click 'Continue'.
    - The 'Connected Employee Details' page updates and displays the added employee.
- Link to view or add connected agencies.
  - 'Connected Agency Details' displays:
    - Representative Name
    - Representative email address associated with the CBC account
  - <u>'Add Agency'</u> link:
    - Click to add an agency.
    - Enter the email address associated with the agency's CBC account.
    - Click 'Continue'.
    - The 'Connected Employee Details' page updates and displays the added agency.
       A confirmation message displays: 'Agency account is linked successfully'.
- <u>List of submitted employment requests</u> and the following information for each request.
  - Applicant/Employee name
  - Request Number: System generated number used to track the request. The number includes a link to <u>view the sources</u> included in the background check.
    - APS Registry
    - DCS Central Registry
  - Status:
    - Submitted: The request has been submitted and is ready for processing.
    - In Process: The request is in process and results are typically completed within three business days.
    - Completed: The background check has been completed and the result report is available. Click the paperclip icon to view the result report(s).

- Expired: The request has expired, and a new request is required for background checks. The result report for this request is still available. Click the paperclip icon to view the result report(s). If the person is still employed, they must submit a new employment background check request.
- Canceled: The request was submitted but canceled by the requestor prior to completion of the background check result report.
- Last Recheck: The CBC initiates periodic rechecks for employment requests and displays the date of the last recheck.
- Last Record Found: Click to select and download the result report.
- Contract Number:
  - If the account profile indicates the employer is associated with DES, this column displays a Contract link to view/update the Solicitation Number, Contract Number, or Provider ID. The information entered on the employment request is listed. Up to five additional numbers can be added. WARNING: The individual may be connected to more than one employer. Coordinate with the employee before changing or removing existing numbers to confirm a correction is needed.
  - Contract awarded after solicitation: Once a contract is awarded, change the Solicitation Number to the Contract Number.
- Paperclip icon: Click to select and download the result report.
- Remove Relationship button:
  - Select one or more checkboxes next to the Applicant/Employee name and click 'Remove Relationship'.
  - Click 'Continue' on the popup: 'You are about to remove the selected employee(s) and any related record(s) from your dashboard. Would you like to Continue?'
  - By removing the employee, you are affirming that they no longer provide services in any capacity that requires the DCS Registry check.
  - The Dashboard refreshes and the employee is removed.
- Confirm Relationship button: See Dashboard: Employment Rechecks.

# **Dashboard My Employee Requests Page Examples**



## Sources Checked

- APS Registry
- DCS Central Registry

Figure 43. My Employee Requests

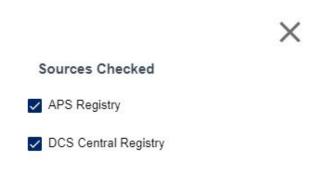


Figure 44. Sources Checked Popup



#### Attachments

InitialResults 03 10 2024 E000000728.pdf

Figure 45. Attachments Popup



Figure 46. Contract No. Popup



Figure 47. Remove Relationship Popup

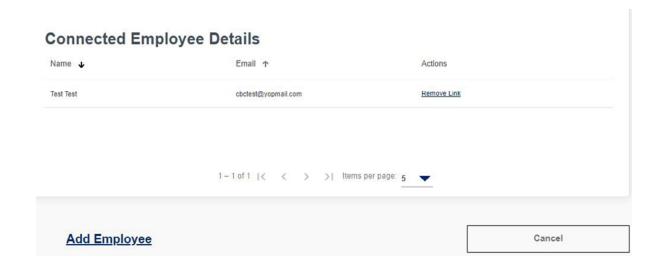


Figure 48. Connected Employee Details

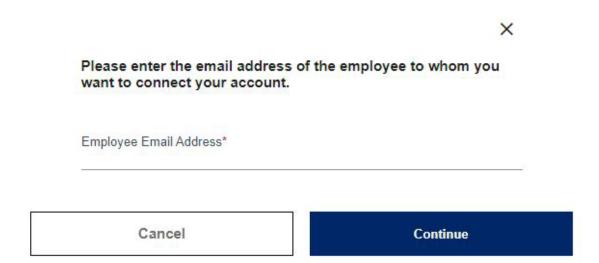


Figure 49. Add Employee Popup

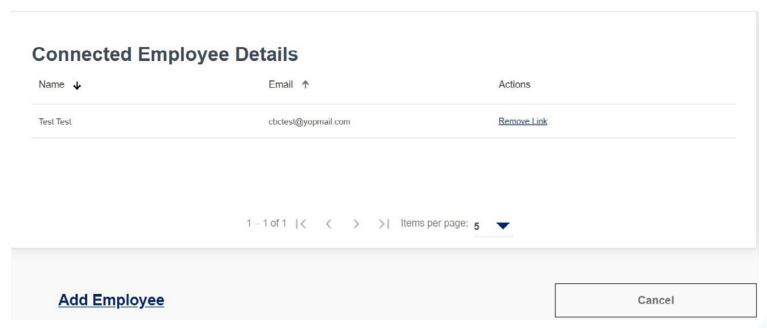


Figure 50. Employee Added



Figure 51. Remove Employee Popup



Figure 52. Employee Removed Confirmation

# Connected Agency Details To remove an agency you are no longer associated with, please use the email listed below to contact them directly.

Representative Name 

Email ↑

CBC Agency CBC Agency 

cbcagency@yopmail.com



Figure 53. Connected Agency Details

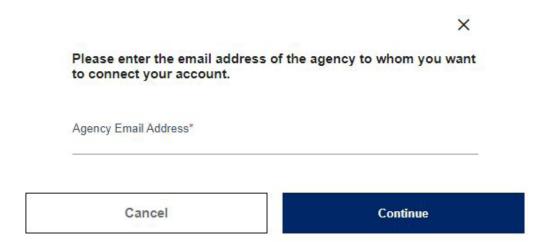


Figure 54. Add Agency Popup



Figure 55. Agency Added

### **Dashboard: Employment Rechecks**

The CBC performs periodic automated rechecks. As part of the recheck process, the CBC may send a notification to the employer to confirm the current relationship with an employee. When this occurs, each connected employer must **Confirm** or **Remove** the relationship. If a connected employer does not respond within five (calendar) days of the notification, the background check will automatically expire and notification will be sent to the individual, any connected employer, and connected agency accounts. Once the current relationship is confirmed, ilf new results are found since the last background check, notifications will be sent to the individual, any connected employer, and connected agency accounts.

When the Employer receives a notification to confirm the current relationship with an employee, login to the CBC and click 'Dashboard' in the upper right header. In the 'My Employee Requests' section of the Dashboard, complete the following:

- Click the checkbox to the left of the name for one or more employees and:
  - If the individuals are currently employed with the company, click the 'Confirm Relationship' button.
  - If the individuals are not current employed with the company, click the 'Remove Relationship' button to affirm that they no longer provide services in any capacity that requires the DCS Registry check.

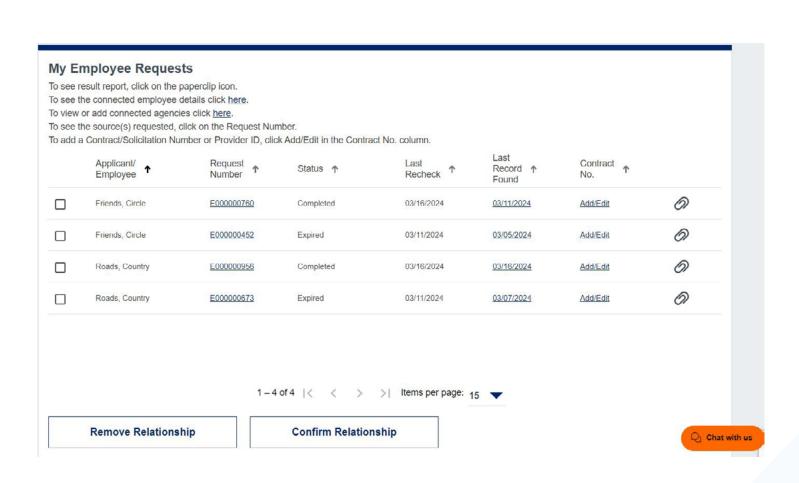


Figure 56. Remove Relationship or Confirm Relationship

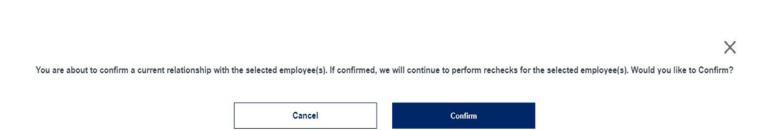


Figure 57. Confirm Relationship Popup

### **Dashboard: Caregiver Requests**

The 'My Caregiver Requests' section of the Dashboard only displays once a DCS Caregiver background check request has been submitted. This section includes:

- A Link to search for Fingerprint Clearance Card or Application.
- List of submitted caregiver requests and the following information for each request.
  - Date Submitted: The date the individual clicked the 'Submit' button on the My Order page.
  - Request Number: System generated number used to track the request. The number includes a link to view the sources included in the background check.
    - APS Registry
    - DCS Central Registry
  - Requester Name: The name listed on the account for the connected caregiver that submitted the request.
  - Status:
    - Submitted: The request has been submitted and is ready for processing.
    - In Process: The request is in process and results are typically completed within three business days.
    - Completed: The background check has been completed and the result report is available. Click the paperclip icon to view the result report(s).
    - Expired: The request has expired, and a new request is required for background checks. The result report for this request is still available. Click the paperclip icon to view the result report(s). If another check is required, the caregiver must submit another request.
    - Canceled: The request was submitted but canceled by the requestor prior to completion of the background check result report.
  - Results: A clickable hyperlink displays. Click to select and download the result report.
  - <u>Paperclip icon</u>: Click to select and download the result report.

# **Dashboard My Caregiver Requests Page Example**

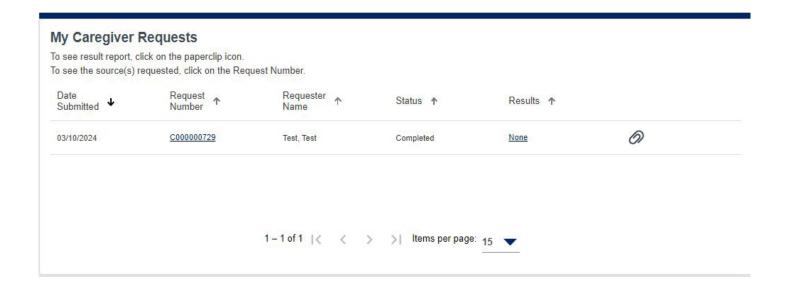


Figure 58. My Caregiver Requests

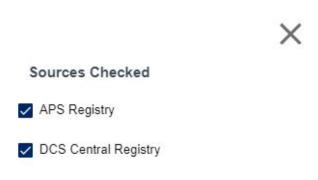


Figure 59. Sources Checked Popup



#### Attachments

InitialResults 03 10 2024 E000000728.pdf

Figure 60. Attachments Popup

## **Dashboard: Fingerprint Clearance Card (FCC)**

The 'My Employee's Fingerprint Clearance Cards' section of the Dashboard only displays if connected applicants/employees have a Fingerprint Clearance application or card associated with their DPS PSP account. This section includes:

- A link 'To see the connected employee's details': Click the 'here' link.
- A Link to search for Fingerprint Clearance Card or Application
- A list of applicants/employees with an FCC application or card and the following information for each record:
  - Date Received: Date FCC application was received
  - Application Number: FCC application number
  - Card Number: FCC card number
  - Name: FCC applicant name
  - Card Type: Make sure the Card Type is Level One
  - Invoice: Payment status
  - Card Status: FCC application or card FCC Card Number and FCC Card Expiration
     Date. CR Log 48
  - Expiration Date: FCC card expiration date

# **Dashboard My Employee's Cards Page Examples**

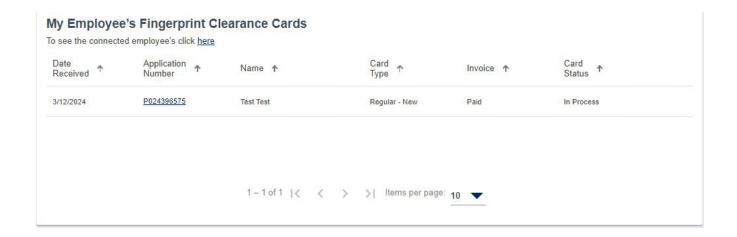


Figure 61. My Employee's Fingerprint Clearance Card

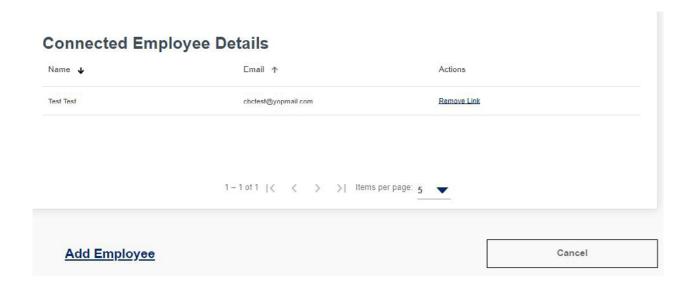


Figure 62. Connected Employee's Details (Fingerprint Clearance Cards)

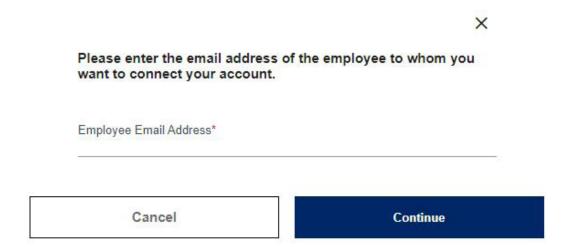


Figure 63. My Employee's Cards (Fingerprint Clearance Cards)



Figure 64. My Employee's Cards (Fingerprint Clearance Cards)



Figure 65. Remove Employee Popup (Fingerprint Clearance Cards)



Figure 66. Employee Removed Confirmation (Fingerprint Clearance Cards)

# Dashboard: Agency Role

Login to the CBC and click 'Dashboard' in the upper right header. The Dashboard has the following sections:

- Recent Notifications
- Link to remove connected employers
- My Connected Employers section with a list of connected employers

The Dashboard also includes the following menu options on the left:

- My Activity: Displays the dashboard sections where a request has been submitted.
- Message Center: Displays the inbox for CBC notifications.
- My Favorites: Not applicable for Employer and Agency roles.

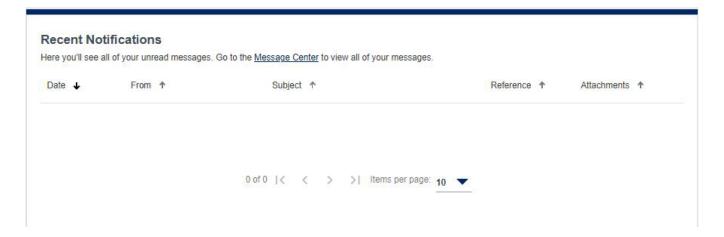
#### **Recent Notifications**

Agency accounts who provide oversight of Employer accounts will receive the following automated notifications:

- Employment background check result report
- Annual employment registry check due or has expired
- Confirmation of employment relationship required, but Employer did not respond
- Employer added to an Employee account and background check results are available
- Employment request expired due to name change

When the CBC generates a notification, an email is sent to the email address listed on the account to indicate a new message is available. The 'Recent Notifications' section of the Dashboard displays the **unread** messages generated by the CBC. This section includes a:

- Link to the Message Center to view all messages.
- List of unread messages and the following details:
  - o Date: The date the CBC generated the notification.
  - From: arizona centralized background checks@cbc.gov
  - Subject: Subject of the CBC notification.
  - Reference: The system generated Request ID is listed for reference.
  - Attachments: Link to download the result report.



**Figure 67. Recent Notifications** 

### **Dashboard: My Connected Employers**

The 'My Connected Employers' section of the Dashboard includes a:

- Link to view or remove connected employers.
  - The 'Connected Employer Details' page displays:
    - Representative Name
    - Email address associated with the CBC account with Employer role
    - Actions column: A <u>'Remove Link'</u> option is available for each connected employee.
      - Click 'Yes' to 'Are you sure you want to remove the employer?'. By removing the employer, will no longer have access to their employment background checks.
      - Confirmation message displays: 'Employer linking removed successfully'.
- List of connected accounts with Employer role and the following for each Employer:
  - Employer Name: Click the link to view open a new tab with a view of the Dashboard for the selected Employer. Then, click 'Dashboard' to return to your list of connected accounts with Employer role. The My Employee Request page includes:
    - To see result report, click on the paperclip icon.
    - To see the Source(s) requested, click on the Request number.
    - To add a Contract/Solicitation Number or Provider ID, click Add/Edit in the Contract No. column.
    - To search for the Fingerprint Clearance Card Number click here.
    - List of submitted employment requests and the following information for each request.
      - Applicant/Employee name
      - Request Number: System generated number used to track the request.
         The number includes a link to view the sources included in the background check.
        - APS Registry
        - DCS Central Registry
  - Status:
    - Submitted: The request has been submitted and is ready for processing.
    - In Process: The request is in process and results are typically completed within three business days.
    - Completed: The background check has been completed and the result report is available. Click the paperclip icon to view the result report(s).
    - Expired: The request has expired, and a new request is required for background checks. The result report for this request is still available. Click the paperclip icon to view the result report(s). If the person is still employed, they must submit a new employment background check request.
    - Canceled: The request was submitted but canceled by the requestor prior to completion of the background check result report.

- Last Recheck: The CBC initiates periodic rechecks for employment requests and displays the date of the last recheck.
- Last Record Found: Click to select and download the result report.
- Contract Number:
  - If the account profile indicates the employer is associated with DES, this column displays a Contract link to view/update the Solicitation Number, Contract Number, or Provider ID. The information entered on the employment request is listed. Up to five additional numbers can be added. **WARNING:** The individual may be connected to more than one employer. Coordinate with the employee before changing or removing existing numbers to confirm a correction is needed.
  - Contract awarded after solicitation: Once a contract is awarded, change the Solicitation Number to the Contract Number.
- Paperclip icon: Click to select and download the result report.
- Representative Name
- Contact Info: Click the 'Detail's link to view the following:
  - Employer Account Email
  - Business Phone
  - Mobile Phone
  - City
  - DES Division(s): If the Employer account is affiliated with DES, divisions listed in the Employer's account profile display.

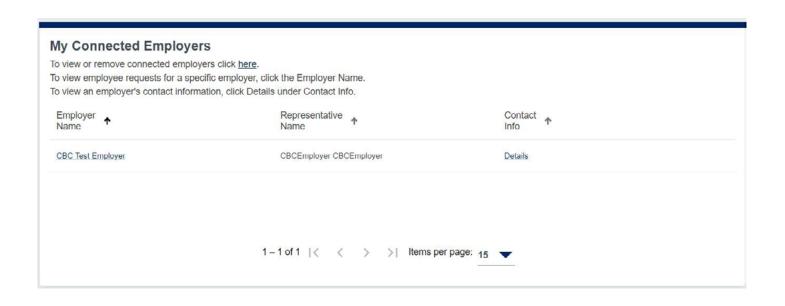


Figure 68. Agency Dashboard: My Connected Employers

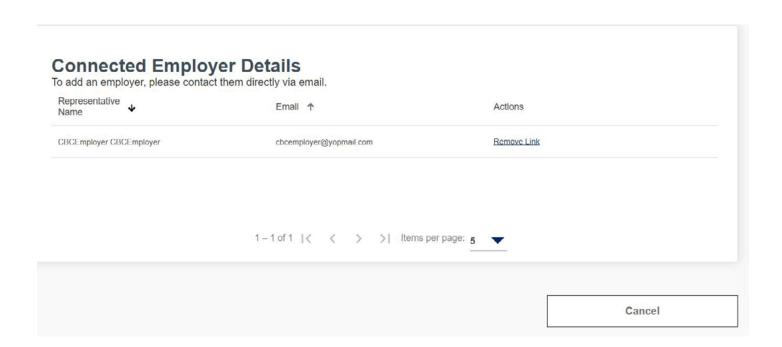


Figure 69. My Connected Employers



Figure 70. Remove Employer Popup



Figure 71. Employer Removed Confirmation



### **Contact Information**

Employer Account Email: sarah@school.com

Business Phone (542) 432-5647

Mobile Phone (483) 743-8549

City Phoenix

DES Division(s) DDD, DCC

Close

Figure 72. Employer Contact Information Popup

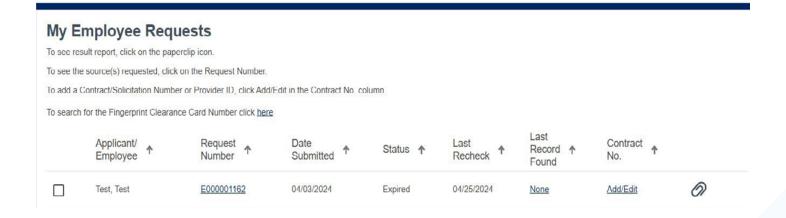


Figure 73. Selected Employer Dashboard View

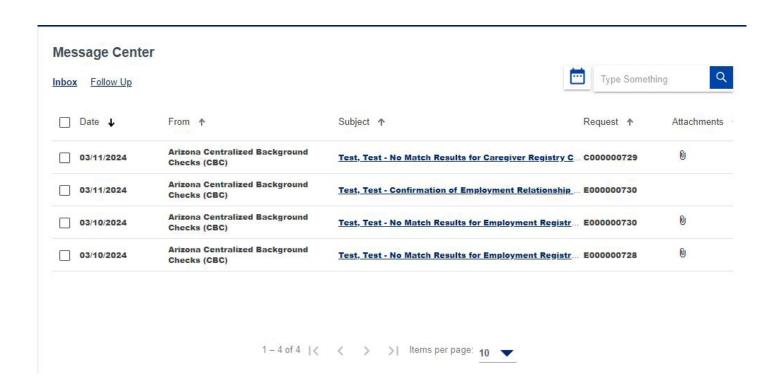


Figure 74. Inbox

# Message Center

When the CBC generates a notification, an email is sent to the email address listed on the account to indicate a new message is available. The 'Message Center' contains the notifications generated by the CBC. Login to the CBC, click 'Messages' in the upper right header.

#### The Message Center includes:

- <u>Inbox</u>: List of all read (un-bolded) and unread (bolded) inbox messages and the following details:
  - Date: The date the CBC generated the notification.
  - From: <u>arizona centralized background checks@cbc.gov</u>
  - Subject: Subject of the CBC notification.
  - Reference: The system generated Request ID is listed for reference.
  - Attachments: A paperclip icon displays if there is a result report to download. Note:
     Download and save each result report for your records.
- <u>Follow Up</u>: Click the checkbox next to one or more messages in the Inbox. The following options display:
  - Delete: Click the trash icon to delete the selected messages. Then, click 'Delete' to confirm: 'You are about to delete the selected message(s) from your inbox.' Note: The messages will no longer be displayed in the Message Center. However, they can be retrieved using the search option.
  - Follow Up flag: Click the flag icon to move the Inbox messages to the 'Follow Up'. tab.
     Click the 'Follow Up' link to view the messages flagged for follow up. From the 'Follow Up' tab,
    - Click the checkbox next to one or more messages.
    - Click the trash icon to delete the selected messages OR
    - Click the flag icon to move the messages back to the Inbox
  - Search
    - To search by a date range, click the calendar icon. Then enter a 'Start Date' and 'End Date'.
    - For any other search, enter a value in "Type Something" and click the search icon.

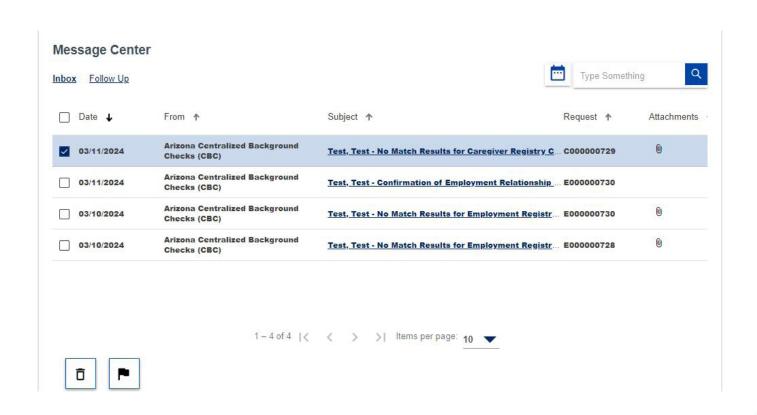


Figure 75. Delete and Follow Up Options

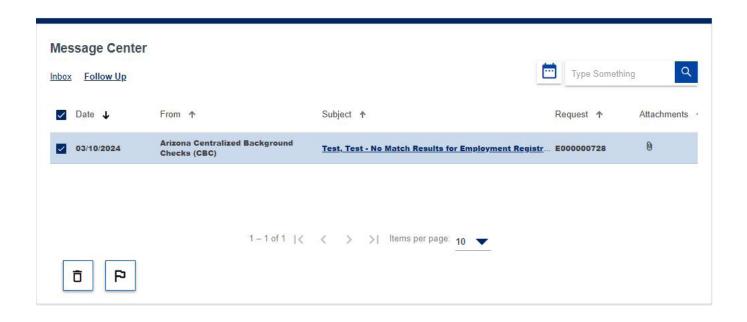


Figure 76. Delete and Follow Up Options

# Delete messages?

You are about to delete the selected message(s) from your Inbox.

Cancel Delete

Figure 77. Delete and Remove Follow Up Options



Figure 78. Search Options

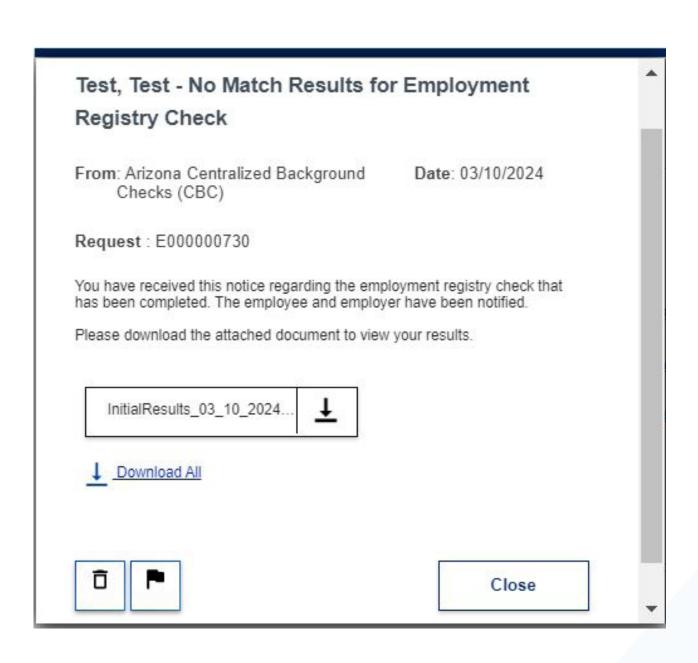


Figure 79. Search Options

# **Notifications**

Click the link in the 'Subject' column for a notification you would like to view. Use the scroll bar on the right, if needed, to view the whole message. The options within the notification are:

- Click 'X' or 'Close' to return to the Inbox or Follow Up tab.
- Click the trash icon to delete the selected messages OR
- Click the flag icon to move the messages back to the Inbox

Profile	Address	Security	Notification
Profile Informat	ion		*Indicates required field.
Edit your personal in	formation here.		
Update P	rofile		
Legal First Name*			
CBCEmployer			
Middle Initial			
Legal Last Name*			
CBCEmployer			
Date of Birth*			
12/31/1969			
MM/DD/YYYY			
Email*			
cbcemployer@yopmail.co	m		
What is your DES affiliation	on? Select the option that applies.*		
Current Contractor/S	ervice Provider		
Potential Contractor/	Service Provider		
DES Human Resour	ces (HR)		
Not affiliated with DE	s		

Division (Select all that apply)*								
Division of Developmental Disability (DDD)								
Division of Child Care (DCC)								
Division of Community Assistance and Development (DCAD)								
✓ Division of Aging and Adult Services (DAAS)								
☐ Division of Employment and Rehabilitation Services (DERS)								
Division of Arizona Early Intervention Program (AzEIP)								
	Phone #							
Phone Type  Home	(000)000-0000	(000)000-0000						

Figure 80. User Profile - Profile Tab

# **Update Profile**

The account profile is shared for the CBC and the DPS PSP portals. The profile includes the following information that can be updated. The information below can be updated from either the CBC or the DPS PSP **except** the name on the account.

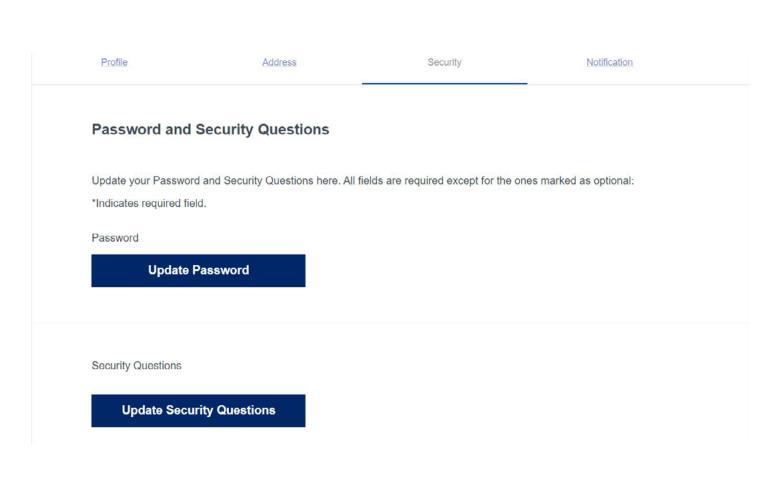
- Profile Information
  - Name
  - Phone Numbers
  - Email Address
  - O What is your DES affiliation?
    - Current Contractor/Service Provider
    - Potential Contractor/Service Provider
    - DES Human Resources (HR)
    - Not affiliated with DES
- Address
  - Physical Address
  - Mailing Address
- Security
  - Password
  - Security Questions and Answers
- <u>Notifications</u> (On/Off)
  - Email
  - Text Messages: Not available

#### To change the profile information:

- Login to the CBC and click the 'Hi <username>' link in the header.
- Select the 'Profile' option.
- Select the applicable tab and enter the updates:
  - Profile Information
  - Address
  - Security
  - Notifications
- Click "Save Changes".

	Profile	Address		Security	Notification		
	Address Informati	on.					
		OII					
	Edit your address here:						
	*Indicates required field.						
	Update Add	ress					
	Physical Address						
	Address*						
	123 Test Road						
	Apt						
	City*						
	Phoenix						
	T HOOTIA						
	State*			Zip Code*			
	AZ		$\overline{}$	85007			
	Mailing Address	Mailing Address					
						-	
	Address*						
	123 Test Road						
	Apt						
	City*						
	Phoenix						
				Zip Code*			
State*							
State* AZ			_	85007			

Figure 81. User Profile - Address Tab



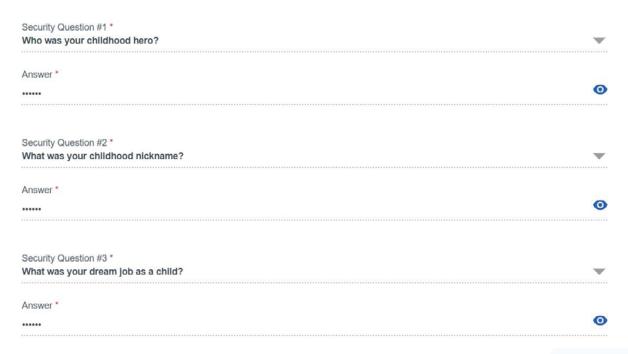


Figure 82. User Profile - Security Tab

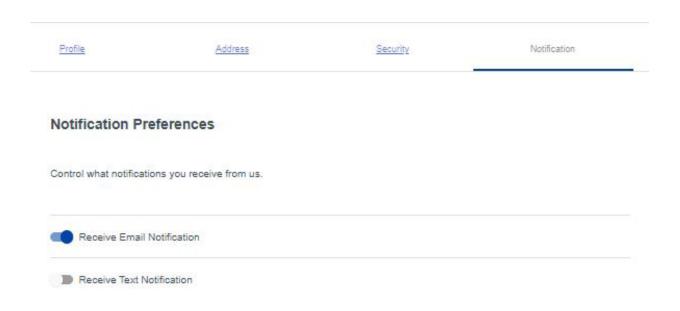


Figure 83. User Profile - Notification Tab

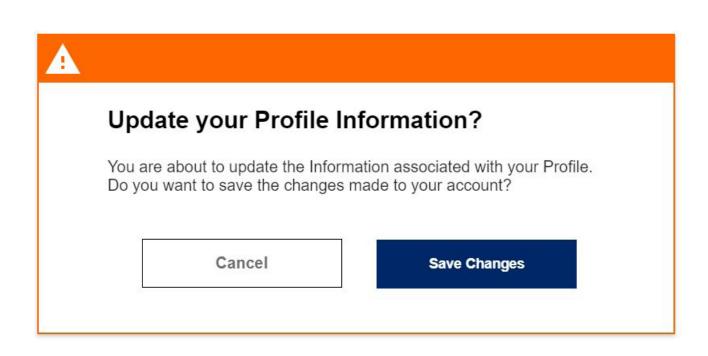


Figure 84. User Profile - Update Confirmation

# **Exhibits**

# **Employer Notification Examples**

The following section provides examples of notifications an Employer may receive.



## Roads, Country - Submission of Employment Registry Check Request

From: Arizona Centralized Background Date: 05/03/2024 Checks (CBC)

Request: E000001403

This message serves as a confirmation that Country Roads has successfully submitted an employment registry check request for CBC Test Employer.

The request has been submitted for:

Department of Child Safety (DCS) Central Registry

Arizona Adult Protective Services (APS) Registry

You may view the status of the request on your <u>Dashboard</u>. You will be notified once the results have been processed.

**Exhibit 1: Confirmation of Employment Registry Check Request Submission** 



# Test, Test - No Match Results for Employment Registry Check

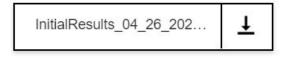
From: Arizona Centralized Background Date: 04/26/2024

Checks (CBC)

Request : E000001336

You have received this notice regarding the employment registry check that has been completed. The employee and employer have been notified.

Please download the attached document to view your results.



Download All

**Exhibit 2: No Match Results for Employment Registry Check** 



# Roads, Country - Match Results for Employment Registry Check

From: Arizona Centralized Background Checks (CBC)

Date: 05/03/2024

Request : E000001403

You have received this notice regarding the employment registry check that has been completed. The employee and employer have been notified.

Please download the attached document to view your results.



Download All

**Exhibit 3: Match Results for Employment Registry Check** 



# Roads, Country - Employment Registry Check Results Available

From: Arizona Centralized Background Checks Date: 05/13/2024 (CBC)

Request : E000001442

An employee or prospective employee, Country Roads has previously submitted an employment registry check request for:
Department of Child Safety (DCS) Central Registry
Arizona Adult Protective Services (APS) Registry

You may download the results by going to your Dashboard, scrolling down to the My Employees Requests tile, locating the employee's name, clicking the paperclip icon, and selecting the report you want to download.

Click here to go to your Dashboard.

Exhibit 4: Employer Added – Results Available



## Roads, Country - Confirmation of Employment Relationship Required

From: Arizona Centralized Background Date: 05/07/2024 Checks (CBC)

Request: E000001403

In order to perform periodic background checks, you must confirm your current employment relationship with Roads, Country. Their current background check will expire in 5 days if you do not confirm the employment relationship. Click <a href="here">here</a> and locate the employee's name to Confirm or Remove the employment relationship.

**Exhibit 5: Confirmation of Employment Relationship Required** 



#### Roads, Country - DCS Registry Match - Recheck Results

From: Arizona Centralized Background Date: 05/19/2024

Checks (CBC)

Request : E000001442

The Arizona Centralized Background Checks (CBC) system performs periodic rechecks for employment background check requests. You have received this notice regarding new substantiated findings for Roads, Country that have been found on the Department of Child Safety (DCS) Registry since the last result report. The employee and employer have been notified.



**Exhibit 6: DCS Registry Match - Recheck Results** 



### Roads, Country - APS Registry Match - Recheck Results

From: Arizona Centralized Background Date: 05/19/2024

Checks (CBC)

Request: E000001442

The Arizona Centralized Background Checks (CBC) system performs periodic rechecks for employment background check requests. You have received this notice regarding new substantiated findings for Roads, Country that have been found on the Arizona Adult Protective Services (APS) Registry since the last result report. The employee and employer have been notified.



Exhibit 7: APS Registry Match - Recheck Results



# Test, Test - Employment Background Check Expired

From: Arizona Centralized Background Checks Date: 05/12/2024 (CBC)

Request : E000001349

An employment background check for Test, Test has expired. If this employee is still with your company, they must submit a new employment background check and you must assess the employee's ongoing employment/ability to provide services.

**Exhibit 8: Employment Request Expired** 



# Test, Test - Annual Employment Registry Check Due

From: Arizona Centralized Background Checks Date: 04/16/2024 (CBC)

Request : E000001236

An annual Employment Registry Check is due for Test, Test . Your Employee has been notified.

Your employee must submit an employment background check **as soon as possible** to ensure it does not affect their ongoing employment/ability to provide services.

If this employee is no longer with your company, click <u>here</u> and locate the employee's name on the page, then click "Remove Link" in the Action column.

**Exhibit 9: Annual Employment Registry Check Due** 



# Test, Test - Annual Employment Registry Check Due in 3 Days

From: Arizona Centralized Background Checks Date: 04/17/2024 (CBC)

Request : E000001236

An annual Employment Registry Check is due in <u>3 days</u> for Test, Test Your Employee has been notified.

Your employee must submit an employment background check **as soon as possible** to ensure it does not affect their ongoing employment/ability to provide services. This will serve as the final notice before the current background check expires.

If this employee is no longer with your company, click <a href="here">here</a> and locate the employee's name on the page, then click "Remove Link" in the Action column.

Exhibit 10: Annual Employment Registry Check - Due in 3 Days



# Test, Test - Annual Employment Registry Check Not Completed

From: Arizona Centralized Background Checks Date: 04/17/2024 (CBC)

Request : E000001236

An annual Employment Registry Check was not completed for Test, Test Your Employee has been notified. Their current background check has expired.

You must assess the employee's ongoing employment/ability to provide services.

If this employee is no longer with your company, click <u>here</u> and locate the employee's name on the page, then click "Remove Link" in the Action column.

**Exhibit 11: Annual Employment Registry Check – Not Completed** 

# Ticket, Service Now - Account Name Changed – Updated Employment Background Check Request Required

From: Arizona Centralized Background Date: 05/25/2024

Checks (CBC)

Request : E000001485

The name on the employee's account has been changed. They must submit an employment background check with their new name **as soon as possible** to ensure it does not affect their ongoing employment/ability to provide services. This will serve as the **only notice** before the current background check expires.

The Employee has been notified.

Previous Name: Ticket, Service Now

New Name: Ticket, Remedy

Email: cherwellticketsteph@yopmail.com

If this employee is no longer with your company, click <u>here</u> and locate the employee's name on the page, then click "Remove Link" in the Action column.

Exhibit 12: Account Name Changed – Updated Employment Background Check Request Required



# Test, Test - Account Name Changed – Updated Employment Background Check Request Not Completed

From: Arizona Centralized Background Checks Date: 04/16/2024 (CBC)

Request : E000001158

The name on the employee's account has been changed. An updated Employment Registry Check was not submitted. The current background check has expired.

The Employee has been notified.

If they are still employed, they must submit an employment background check **as soon as possible** to ensure it does not affect their ongoing employment/ability to provide services.

Previous Name: Test, Test

New Name: Case, Test

Email: Test@email.com

If this employee is no longer with your company, click <a href="here">here</a> and locate the employee's name on the page, then click "Remove Link" in the Action column.

Exhibit 13: Account Name Changed – Updated Employment Background Check Request Not Completed

# **DCS Caregiver Agency Notification Examples**

The following section provides examples of notifications a Caregiver Agency may receive.



#### Submission of Caregiver Registry Check Request

From: Arizona Centralized Background Date: 05/10/2024 Checks (CBC)

Request: C000001431

This message serves as a confirmation that Country Roads has successfully submitted a caregiver registry check request for:

Department of Child Safety (DCS) Central Registry

Arizona Adult Protective Services (APS) Registry

You may view the status of the request on your <u>Dashboard</u>. You will be notified once the results have been processed.

**Exhibit 14: Confirmation of Caregiver Registry Check Request Submission** 



# Test, Test - No Match Results for Caregiver Registry Check

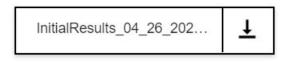
From: Arizona Centralized Background Date: 04/26/2024

Checks (CBC)

Request: C000001337

You have received this notice regarding the caregiver registry check that has been completed. The caregiver and agency have been notified.

Please download the attached document to view the results.





**Exhibit 15: No Match Results for Caregiver Registry Check** 



### Roads, Country - Match Results for Caregiver Registry Check

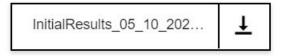
From: Arizona Centralized Background Date: 05/10/2024

Checks (CBC)

Request: C000001431

You have received this notice regarding the caregiver registry check that has been completed. The caregiver and agency have been notified.

Please download the attached document to view the results.





**Exhibit 16: Match Results for Caregiver Registry Check** 

# **Agency Notification Examples**

The following section provides examples of notifications an Agency may receive.



### CBC Test Employer - No Match Results for Employment Registry Check

From: Arizona Centralized Background Checks (CBC)

Date: 04/26/2024

Request: E000001336

You have received this notice regarding the employment registry check that has been completed for Test Test. The employee and employer have been notified.

Please download the attached document to view your results.



Download All

**Exhibit 17: No Match Results for Employment Registry Check** 

×

## CBC Test Employer - Match Results for Employment Registry Check

From: Arizona Centralized Background Date: 05/03/2024

Checks (CBC)

Request: E000001403

You have received this notice regarding the employment registry check that has been completed for Country Roads. The employee and employer have been notified.

Please download the attached document to view your results.

InitialResults\_05\_03\_202...

↓ Download All

**Exhibit 18: Match Results for Employment Registry Check** 



# CBC Employer DES 312 - Employment Registry Check Results Available

From: Arizona Centralized Background Checks Date: 05/20/2024 (CBC)

Request : E000001442

An employee or prospective employee, Country Roads has previously submitted an employment registry check request for:
Department of Child Safety (DCS) Central Registry
Arizona Adult Protective Services (APS) Registry

The employer has been notified.

You may download the results by going to your Dashboard, scrolling down to the My connected Employers tile, locating and selecting the Employer's Name, then locating the employee's name, clicking the paperclip icon, and selecting the report you want to download. Click <a href="https://example.com/here-to-go-to-

Exhibit 19: Employer Added – Results Available



# CBC Employer DES 312 - DCS Registry Match - Recheck Results

From: Arizona Centralized Background Date: 05/22/2024

Checks (CBC)

Request : E000001444

The Arizona Centralized Background Checks (CBC) system performs periodic rechecks for employment background check requests. You have received this notice regarding new substantiated findings for Test, Test that have been found on the Department of Child Safety (DCS) Registry since the last result report. The employee and employer have been notified.



↓ Download All

**Exhibit 20: DCS Registry Match - Recheck Results** 



# CBC Employer DES 312 - APS Registry Match - Recheck Results

From: Arizona Centralized Background Date: 05/22/2024

Checks (CBC)

Request: E000001444

The Arizona Centralized Background Checks (CBC) system performs periodic rechecks for employment background check requests. You have received this notice regarding new substantiated findings for Test, Test that have been found on the Arizona Adult Protective Services (APS) Registry since the last result report. The employee and employer have been notified.



Download All
 Download All

Exhibit 21: APS Registry Match – Recheck Results



## CBC Test Employer - Employment Background Check Expired

From: Arizona Centralized Background Date: 05/12/2024

Checks (CBC)

Request : E000001403

An employment background check for Roads, Country has expired. The employer has been notified that the employee must submit a new employment background check, and the employer must assess the employee's ongoing employment/ability to provide services.

**Exhibit 22: Employment Request Expired** 



# Edge Non DES Employer - Annual Employment Registry Check Due

From: Arizona Centralized Background Checks Date: 04/16/2024 (CBC)

Request : E000001236

An annual Employment Registry Check is due in 30 days for Test, Test
The employer has been notified that the employee must submit a new employment
background check as soon as possible to ensure it does not affect their ongoing
employment/ability to provide services.

**Exhibit 23: Annual Employment Registry Check Due** 



# Edge Non DES Employer - Annual Employment Registry Check Due in 3 Days

From: Arizona Centralized Background Checks Date: 04/17/2024 (CBC)

Request : E000001236

An annual Employment Registry Check is due in 3 days for Test, Test. The employer has been notified that the employee must submit a new employment background check as soon as possible to ensure it does not affect their ongoing employment/ability to provide services. This will serve as the final notice before the current background check expires.

Exhibit 24: Annual Employment Registry Check - Due in 3 Days



# Edge Non DES Employer - Annual Employment Registry Check Not Completed

From: Arizona Centralized Background Checks Date: 04/17/2024 (CBC)

Request : E000001236

An annual Employment Registry Check was not completed for Test, Test.

Their current background check has expired. The employer has been notified they must assess the employee's ongoing employment/ability to provide services.

Exhibit 25: Annual Employment Registry Check – Not Completed

Previous Name: Test, Test

New Name: Case, Test

Email: Test@email.com



# Edge Non DES Employer - Account Name Changed – Updated Employment Background Check Request Not Completed

From: Arizona Centralized Background Date: 03/21/2024

Checks (CBC)

Request : E000000753

The name on an employee's account has been changed. An updated Employment Registry Check was not submitted. The current background check has expired.

The employer has been notified they must assess the employee's ongoing employment/ability to provide services.

Exhibit 26: Account Name Changed – Updated Employment Background Check Request Not Completed

## **Employment Result Report Examples**

The following section provides examples of result reports for Employment requests.





As required by and in accordance with Arizona Revised Statute (ARS) § 8-804; Contractors, Subcontractors and Offerors are required to submit the "Request for Search of Central Registry for Background Check" form for each employee or prospective employee of the Contractor, Subcontractor or Offeror who provides direct services to children or vulnerable adults. For employers that support the Department of Economic Security - Division of Child Care, this check is required by and in accordance with Arizona Revised Statute (ARS) § 8-804 and the Code of Federal Regulations (CFR) Title 45 § 98.43.

Employers must confirm that the Request Summary section of this report accurately lists the applicant/employee's first name, last name, middle name, date of birth, and alias names. The registry checks are completed based on the information provided by the applicant/employee on the request form. If the information is incorrect, instruct the applicant/employee to submit a new request with correct information.

Registry Checks have been completed for Test Test for the Department of Child Safety (DCS) and the Arizona Adult Protective Services (APS). The report also includes the background check request details as provided by the requester.

#### Department of Child Safety (DCS) Central Registry Check Results

After conducting a search of the Department of Child Safety (DCS) Central Registry database on the referenced individual, no person(s) submitted for background check has a substantiated finding of child abuse or neglect on the Central Registry database.

Check Completed by: Office of Licensing and Regulation (OLR) Background Check Unit

Date: 04-26-2024

#### Arizona Adult Protective Services (APS) Registry Check Results

After conducting a search of the Arizona Adult Protective Services (APS) Registry on the referenced individual, no person(s) submitted for background check has a substantiated finding in Arizona of abuse, neglect, or exploitation of a vulnerable adult on the APS Registry.

#### Request Summary:

Request Number: E000001336

Date Submitted: 04-26-2024

First Name : Test Middle Name : Last Name : Test

Suffix:

Date of Birth: 01/01/1970 Physical Address: 134 Test Road

Apt.:

City: PHOENIX State: AZ Zip: 85007 Date Employed:

Fingerprint Clearance Card Application Number:

Solicitation/Contract Number(s) or Provider ID(s): 123489

Have you lived at your current address for five (5) years or longer? : no

Previous Name(s):

First Name: Sue Last Name: Test

Are you currently the SUBJECT (NOT THE VICTIM) of an investigation of child abuse or child

neglect in Arizona, or another state or jurisdiction? : yes

Have you ever been the SUBJECT (NOT THE VICTIM) of an investigation of child abuse or child neglect in Arizona, or another state or jurisdiction that resulted in a substantiated (determined to have occurred) finding? : yes

Investigation Information:

What was the allegation?: test When was the investigation?: test Where was the

investigation?: test

Additional Information: test

### **Previous Address Information:**

Address 1:

Address: 1234 Test Road

Apt:

City: Tucson Country: USA

From: 02/01/2024

To: 03/22/2024



Exhibit 27: Employment Background Check Request - No Match Found





Registry Checks have been completed for Country Roads for the Department of Child Safety (DCS) and the Arizona Adult Protective Services (APS). The report also includes the background check request details as provided by the requester.

#### Department of Child Safety (DCS) Central Registry Check Results

As required by and in accordance with Arizona Revised Statute (ARS) § 8-804; Contractors, Subcontractors and Offerors are required to submit the "Request for Search of Central Registry for Background Check" form for each employee or prospective employee of the Contractor, Subcontractor or Offeror who provides direct services to children or vulnerable adults. For employers that support the Department of Economic Security - Division of Child Care, this check is required by and in accordance with Arizona Revised Statute (ARS) § 8-804 and the Code of Federal Regulations (CFR) Title 45 § 98.43.

Employers must confirm that the Request Summary section of this report accurately lists the applicant/employee's first name, last name, middle name, date of birth, and alias names. The registry checks are completed based on the information provided by the applicant/employee on the request form. If the information is incorrect, instruct the applicant/employee to submit a new request with correct information.

After conducting a search of the Department of Child Safety (DCS) Central Registry for Employment the listings below provide all of the substantiated findings associated with the individual named below.

#### Requester Name, Date of Birth: Country Roads, 07-21-1990

Allegation	Allegation Code/ Description	Intake ID#	Disqualifying or Non- Disqualifying	Date Exception Approved
Emotional Abuse	Incident or pattern of behavior directed toward child/interferes with normal functioning (berating, name calling,	IN00026196	Disqualifying	

The individual named above may contact the DCS Protective Services Review Team at (602) 255- 2699 regarding this report referencing the Report ID cited above. To see a listing of the Disqualifying acts, please click on this link DCS Forms | Arizona Department of Child Safety (az.gov) and search for "CSO-3037".

A Central Registry for Employment Exception may be requested by the individual through the Arizona Board of Fingerprinting (AzBOF) for the Disqualifying Acts only. Additional information can be found at <a href="https://fingerprint.az.gov">https://fingerprint.az.gov</a>. They can be reached by mail at Arizona Board of Fingerprinting, P.O. Box 6129 - Mail Code 185, Phoenix, AZ 85005 or by phone at (602) 265-0135 or via email at info@fingerprint.az.gov

If an exception is approved by AzBOF, the prospective employee should submit that letter to the prospective employer for consideration in the hiring process. An exception approval does not guarantee employment on all substantiated findings that apply to either Disqualifying or Non-Disqualifying findings.

Check Completed by: Office of Licensing and Regulation (OLR) Background Check Unit

Date: 04-23-2024

#### Arizona Adult Protective Services (APS) Registry Check Results

Employers are encouraged to review the APS registry when deciding whether to employ a person to provide care for vulnerable populations. The decision to hire a person listed on the APS Registry is solely up to the employer. Refer to A.R.S. § 46-459.

Employers may consider using the AAA-1355A - APS Registry Employer Certification Form to

capture and resolve any APS findings with the applicant/employee and place the completed form in the Human Resources file.

The purpose of the APS Registry is to prevent vulnerable adults and children from being victimized by individuals who have been found, through an APS investigation and due process, to have abused, neglected, or exploited a vulnerable adult. Refer to A.R.S. § 46-459.

#### Requester Name, Date of Birth : Country Roads, 07-21-1990

Match Type	Match Details	Findings	Description of Disposition	Date Placed on Registry
Partial Match	Alias Last Name Date Of Birth	Neglect	On or about 2020, NAME (date of birth ), while acting as the owner of the assisted living home at which a vulnerable adult resided, failed to provide the vulnerable adult with supervision as NAME wore headphones and stayed on the second floor of the home while the vulnerable adult was on the first floor. As a result, NAME would have been unable to hear the vulnerable adult if she needed assistance. Such conduct is prohibited per A.R.S. § 46-451(A)(7).	04-20-2023

#### Request Summary:

Request Number: E000001321 Date Submitted: 04-23-2024

First Name : Country

Middle Name : Last Name : Roads

Suffix:

Date of Birth: 07/21/1990

Physical Address: 17 COUNTY ROAD 2054

Apt.:

City : Alpine State : AZ Zip : 85290

Date Employed:

Fingerprint Clearance Card Application Number: Solicitation/Contract Number or Provider ID: 897845

Have you lived at your current address for five (5) years or longer? : yes

Are you currently the SUBJECT (NOT THE VICTIM) of an investigation of child abuse or child neglect in Arizona, or another state or jurisdiction? : no

Have you ever been the SUBJECT (NOT THE VICTIM) of an investigation of child abuse or child neglect in Arizona, or another state or jurisdiction that resulted in a substantiated (determined to

have occurred) finding? : no Additional Information : null

Previous Address Information: None



Exhibit 28: Employment Background Check Request - Match Found



As required by and in accordance with Arizona Revised Statute (ARS) § 8-804; Contractors, Subcontractors and Offerors are required to submit the "Request for Search of Central Registry for Background Check" form for each employee or prospective employee of the Contractor, Subcontractor or Offeror who provides direct services to children or vulnerable adults. For employers that support the Department of Economic Security - Division of Child Care, this check is required by and in accordance with Arizona Revised Statute (ARS) § 8-804 and the Code of Federal Regulations (CFR) Title 45 § 98.43.

Employers must confirm that the Request Summary section of this report accurately lists the applicant/employee's first name, last name, middle name, date of birth, and alias names. The registry checks are completed based on the information provided by the applicant/employee on the request form. If the information is incorrect, instruct the applicant/employee to submit a new request with correct information.

The Arizona Centralized Background Checks (CBC) system performs periodic rechecks for employment background check requests. A recheck of the Department of Child Safety (DCS) Registry has been completed for Country Roads and the listings below provide only new substantiated findings since the last result report. The report also includes the initial background check request details as provided by the requester.

#### Requester Name: Country Roads

Allegation	Allegation	Intake ID #	Disqualifying or Non-	Date
	Code/		Disqualifying	Exception
	Description			Approved
Neglect	Unwilling or unable to meet child's needs for medical health care	IN00026196	Non-Disqualifying	

The individual named above may contact the DCS Protective Services Review Team at (602) 255-2699 regarding this report referencing the Report ID cited above.

A Central Registry for Employment Exception may be requested by the individual through the Arizona Board of Fingerprinting (AzBOF) for the Disqualifying Acts only. Additional information can be found at <a href="https://fingerprint.az.gov">https://fingerprint.az.gov</a>. They can be reached by mail at Arizona Board of Fingerprinting, P.O. Box 6129 - Mail Code 185, Phoenix, AZ 85005 or by phone at (602) 265-0135 or via email at info@fingerprint.az.gov

If an exception is approved by AzBOF, the prospective employee should submit that letter to the prospective employer for consideration in the hiring process. An exception approval does not guarantee employment on all substantiated findings that apply to either Disqualifying or Non-Disqualifying findings.

Check Completed by: Office of Licensing and Regulation (OLR) Background Check Unit

Date: 05-02-2024

#### Request Summary:

Request Number : E000001321 Date Submitted : 04-23-2024

First Name : Country

Middle Name : Last Name : Roads

Suffix:

Date of Birth: 07/21/1990

Physical Address: 17 COUNTY ROAD 2054

Apt.:

City: Alpine State: AZ Zip: 85290 Date Employed:

Fingerprint Clearance Card Application Number:

Solicitation/ Contract Number(s) or Provider

ID(s): 123456

Have you ever been the SUBJECT (NOT THE VICTIM) of an investigation of child abuse or child neglect in Arizona, or another state or jurisdiction that resulted in a substantiated (determined to

have occurred) finding? : no Additional Information : null

Previous Address Information: None



Exhibit 29: Employment Recheck - DCS Match Found



The Arizona Centralized Background Checks (CBC) system performs periodic rechecks for employment background check requests. A recheck of the Adult Protective Services (APS) Registry has been completed for Country Roads and the listings below provide only new substantiated findings since the last result report. The report also includes the initial background check request details as provided by the requester.

#### Arizona Adult Protective Services (APS) Registry Check Results

Employers are encouraged to review the APS registry when deciding whether to employ a person to provide care for vulnerable populations. The decision to hire a person listed on the APS Registry is solely up to the employer. Refer to A.R.S. § 46-459.

Employers may consider using the <u>AAA-1355A - APS Registry Employer Certification Form</u> to capture and resolve any APS findings with the applicant/employee and place the completed form in the Human Resources file.

The purpose of the APS Registry is to prevent vulnerable adults and children from being victimized by individuals who have been found, through an APS investigation and due process, to have abused, neglected, or exploited a vulnerable adult. Refer to A.R.S. § 46-459.

#### Requester Name, Date of Birth: Country Roads, 07-21-1990

Match Type	Match Details	Findings	Description of Disposition	Date Placed on Registry
Partial Match	Alias Last Name Date Of Birth	Neglect	On or about , NAME (date of birth ), while acting as the owner of the assisted living home at which a vulnerable adult resided, failed to provide the vulnerable adult with supervision as NAME wore headphones and stayed on the second floor of the home while the vulnerable adult was on the first floor. As a result, NAME would have been unable to hear the vulnerable adult if she needed assistance. Such conduct is prohibited per A.R.S. § 46-451(A)(7).	04-26-2024

Date: 05-02-2024

#### Request Summary:

Request Number: E000001321 Date Submitted: 04-23-2024

First Name : Country

Middle Name : Last Name : Roads

Suffix:

Date of Birth: 07/21/1990

Physical Address: 17 COUNTY ROAD 2054

Apt.:

City: Alpine State: AZ Zip: 85290 Date Employed:

Fingerprint Clearance Card Application Number:

Solicitation/Contract Number(s) or Provider

ID(s): , undefined, undefined, undefined, undefined, undefined, undefined

Have you lived at your current address for five (5) years or longer? : yes

Are you currently the SUBJECT (NOT THE VICTIM) of an investigation of child abuse or child neglect in Arizona, or another state or jurisdiction? : no

Have you ever been the SUBJECT (NOT THE VICTIM) of an investigation of child abuse or child neglect in Arizona, or another state or jurisdiction that resulted in a substantiated (determined to have occurred) finding? : no

Additional Information : null

**Previous Address Information:**None



Exhibit 30: Employment Recheck - APS Match Found

## **DCS Caregiver Result Report Examples**

The following section provides examples of result reports for Employment requests.





Registry Checks have been completed for the requester Test Test, and the additional adult household members, for the Department of Child Safety (DCS) and the Arizona Adult Protective Services (APS). The report also includes the background check request details as provided by the requester.

#### Department of Child Safety (DCS) Central Registry Check Results

After conducting a search of the Department of Child Safety (DCS) Central Registry database on the referenced individual, no person(s) submitted for background check has a substantiated finding of child abuse or neglect on the Central Registry database.

#### No Substantiated Findings

The names listed below do not have a record in the DCS Central Registry.

Test Test

John Test

Jamie Test

Check Completed by: Worker, Test

Date: 04-26-2024

#### Arizona Adult Protective Services (APS) Registry Check Results

After conducting a search of the Arizona Adult Protective Services (APS) Registry on the referenced individual, no person(s) submitted for background check has a substantiated finding in Arizona of abuse, neglect, or exploitation of a vulnerable adult on the APS Registry.

#### No Substantiated Findings

The names listed below do not have a record in the APS Registry.
Test Test
John Test
Jamie Test

#### **Request Summary:**

Request Number : C000001337 Date Submitted : 04-26-2024

First Name : Test Middle Name : Last Name : Test

Suffix:

Date of Birth: 01/01/1970

Physical Address: 134 Test Road

Apt.:

City: PHOENIX

State: AZ Zip: 85007

Fingerprint Clearance Card Application Number: 1234567

Have you lived at your current address for five (5) years or longer? : no

Previous Name(s):

First Name: Alice Last Name: Test

#### **Previous Address Information:**

Address 1:

Address: 1234 Main St

Apt:

City: Phoenix
Country: USA

From: 01/01/2018 To: 01/01/2020

#### Adult Household Member Information:

Adult 1:

First Name : John Middle Name : Last Name : Test

Suffix:

Date of Birth: 01/01/1998

Fingerprint Clearance Card Application Number: 87654351

Previous Name(s):

First Name: Alias Last Name: Test

Adult 2:

First Name : Jamie

Middle Name : Last Name : Test

Suffix:

Date of Birth: 10/01/1998

Fingerprint Clearance Card Application Number: 2358964

#### Child Household Member Information:

Child 1:

First Name : Child Middle Name : Last Name : Test

Suffix:

Date of Birth: 01/01/2024



Exhibit 31: DCS Caregiver Background Check Request - No Match Found





Registry Checks have been completed for the requester Country Roads, and the additional adult household members, for the Department of Child Safety (DCS) and the Arizona Adult Protective Services (APS). The report also includes the background check request details as provided by the requester.

#### Department of Child Safety (DCS) Central Registry Check Results

After conducting a search of the Department of Child Safety (DCS) Central Registry for a Caregiver request, the following results were found for the requester and/or adult household members.

#### Substantiated Findings

Name	Allegation	Allegation Code/Description	Intake ID#	Disqualifying or Non- Disqualifying	Date Exception Approved
Country Roads	Emotional Abuse	Incident or pattern of behavior directed toward child/interferes with normal functioning (berating, name calling, targeting, rejection)	IN00026196	Disqualifying	

This notice serves as information purposes only. DCS Central Registry is unable to provide any further information. If the named individual wishes to obtain more details regarding the substantiation, please direct them to the DCS website located at <a href="https://dcs.az.gov/resources/request-dcs-information">https://dcs.az.gov/resources/request-dcs-information</a>. Once on the DCS website, they can find the forms CSO-1036A (-S) in the "Area of Request" "Case File Records, complete the form, and submit as directed on the second page of the form. The named individual can also dispute a report by calling 602-255-2699 and following the prompts.

A Central Registry Exception may be requested by the individual through the Arizona Board of Fingerprinting (AzBOF). Additional information can be found at <a href="https://fingerprint.az.gov">https://fingerprint.az.gov</a>. They can be reached by mail at Arizona Board of Fingerprinting, P.O. Box 6129 - Mail Code 185, Phoenix, AZ 85005 or by phone at (602) 265-0135 or via email at <a href="https://fingerprint.az.gov/central-registry-exception-process">https://fingerprint.az.gov/central-registry-exception-process</a>.

#### No Substantiated Findings

All person(s) had substantiated finding(s), see above.

Check Completed by: Worker, Test

Date: 05-10-2024

#### Arizona Adult Protective Services (APS) Registry Check Results

Employers are encouraged to review the APS registry when deciding whether to employ a person to provide care for vulnerable populations. The decision to hire a person listed on the APS Registry is solely up to the employer. Refer to A.R.S. § 46-459.

Employers may consider using the <u>AAA-1355A - APS Registry Employer Certification Form</u> to capture and resolve any APS findings with the applicant/employee and place the completed form in the Human Resources file.

The purpose of the APS Registry is to prevent vulnerable adults and children from being victimized by individuals who have been found, through an APS investigation and due process, to have abused, neglected, or exploited a vulnerable adult. Refer to A.R.S. § 46-459.

#### **Substantiated Findings**

Name	Match Type	Match Details	Findings	Description of Disposition	Date Placed on Registry
Country Roads	Partial Match	Alias Last Name Date Of Birth	Neglect	On or about 2020, NAME (date of birth ), while acting as the owner of the assisted living home at which a vulnerable adult resided, failed to provide the vulnerable adult with supervision as NAME wore headphones and stayed on the second floor of the home while the vulnerable adult was on the first floor.	04-20-2023

#### No Substantiated Findings

All person(s) had substantiated finding(s), see above.

#### **Request Summary:**

Request Number: C000001431 Date Submitted: 05-10-2024

First Name : Country

Middle Name : Last Name : Roads

Suffix:

Date of Birth: 07/21/1990

Physical Address: 17 COUNTY ROAD 2054

Apt.:

City : Alpine State : AZ Zip : 85290

Fingerprint Clearance Card Application Number: 12345678

Have you lived at your current address for five (5) years or longer? : yes

Previous Address Information: None

Adult Household Member Information: None Child Household Member Information: None



Exhibit 32: DCS Caregiver Background Check Request - Match Found

